



Important Notice
National Securities Clearing Corporation

A #:	9424
P&S:	8997
Date:	May 3, 2024
To:	ALL PARTICIPANTS
Category:	CLEARING SERVICES - EQUITIES
From:	PRODUCT MANAGEMENT
Attention:	MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT
Subject:	NSCC BEGINS COLLECTING FEES FOR NYSE AMERICAN

Effective May 2024, National Securities Clearing Corporation (“NSCC”) will begin collecting fees through its consolidated settlement system for NYSE American LLC (“NYSE AMX”) unless a firm has previously instructed NYSE AMX to be excluded from this process.

NYSE AMX fees will be collected under the following Settlement Category Code(s):

- 210 - NYSE AMX Membership Fees
- 211 - NYSE AMX Transaction Fees

If a scheduled collection date falls on a non-NSCC settlement day, NSCC will collect the fees on the following settlement day.

For inquiries regarding your fee amount, collection dates, or to opt out of direct debit, please contact your NYSE representative.

For questions about this Important Notice, please contact your Relationship Manager.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.