



DTCC

LICENSING & APPOINTMENTS

DTCC's Insurance & Retirement Services (I&RS) offers a suite of streamlined processing and compliance-driven solutions for carriers and their distribution partners through a secure, centralized infrastructure.

OVERVIEW

Licensing & Appointments (LNA) automates and standardizes the two-way flow of information needed to manage producer information between insurance carriers and distributors, eliminating paper and simplifying data exchange. LNA supports annuity, disability/health, life insurance, and long term care, product lines.

WHO CAN USE THE SERVICE?

Insurance carriers, distributors and third parties acting on behalf of authorized carriers and distributors can use this service.

BENEFITS

With LNA, clients can:

- Mitigate the potential for unauthorized sales by reconciling distributors' and carriers' records for licenses, appointments and training completions.
- Decrease operating costs by reducing redundant manual processes and eliminating paperwork, faxes and overnight mail.
- Reduce the lead time for obtaining licensing and appointment authorizations, allowing agents to begin selling the same day, depending on various rules and regulations.
- Use LNA Access[®], a web-based/standalone tool with no additional cost, to enter required data for appointment requests and appointment terminations, producer terminations, address changes, producer ID changes, license confirmations, and training completions.

LNA offers a feature that helps manage NAIC Suitability in Annuities model regulation for producer training.

FOR MORE INFORMATION

Please contact us at **1-888-382-2721 (options 5-5-3)**.

E-mail us at WMSConnect@dtcc.com or visit us on the web at www.dtcc.com/insurance.

© 2022 DTCC. All rights reserved. DTCC, DTCC (Stylized), ADVANCING FINANCIAL MARKETS. TOGETHER, and the Interlocker graphic are registered and unregistered trademarks of The Depository Trust & Clearing Corporation.

The services described above are provided under the "DTCC" brand name by certain affiliates of The Depository Trust & Clearing Corporation ("DTCC"). DTCC itself does not provide such services. Each of these affiliates is a separate legal entity, subject to the laws and regulations of the particular country or countries in which such entity operates. See www.dtcc.com for a detailed description of DTCC, its affiliates and the services they offer.

Certain DTCC ITP LLC services are subject to regulation by the U.S. Securities and Exchange Commission ("SEC") and are offered by DTCC ITP Matching (US) LLC ("DTCC Matching"), which is set out in SEC Release No. 34-44188; File No. 600-32; 66 FR 20494 (April 17, 2001). TradeSuite ID and CTM are services of DTCC Matching and are subject to SEC regulation when used for trades in which either the broker-dealer or its institutional customer is a U.S. entity and the securities in the trade are issued by a U.S. issuer. No other services offered by DTCC ITP LLC are regulated. 27569_LC012022 DTCC Public (White)