



DTCC

OMNI/SERV®

Omni/SERV® provides a streamlined communication platform for the transmission of Activity and Position files for fund accounts held in Omnibus, enabling participating trading partners to share sub-account information and creating transparency for Omnibus relationships.

ABOUT

Since its introduction in 2010, Omni/SERV® has afforded enhanced capabilities for a secure and economically efficient standard for the exchange of mutual fund activity and position files necessary for Omnibus relationships.

Omni/SERV®, offered as part of NSCC's Wealth Management Services' (WMS) Networking service, eliminates the necessity for firms and funds to maintain various direct connections and manual processes, providing a central and secure location for the transmission of data via two files:

- **Omnibus Activity** – this file allows firms to transmit detailed trade and sub-accounting client activity to fund companies.
- **Omnibus Position** – this file allows firms to transmit sub-accounting client positions to fund companies.

WHO CAN USE THE SERVICE

Both firms and funds transacting in an Omnibus environment can benefit from using Omni/SERV. Any financial organization that meets NSCC's membership qualifications may use the feature.

BENEFITS

- Standardization saves on costs and brings processing efficiencies
- Automation brings added accuracy, speed and transparency to funds and firms in Omnibus relationships
- Centralization via DTCC promotes secure file transmission

HOW THE SERVICE WORKS

Firms and funds can utilize Omni/SERV as a central location to transmit Activity and Position files for accounts held in Omnibus. The platform facilitates an efficient flow of information between firms and funds. It is accessible via mainframe over DTCC's SMART connection or through MyDTCC Web Portal.

FOR MORE INFORMATION

Please contact your relationship manager or Mutual Fund Services at [212-855-8877](tel:212-855-8877)

© 2021 DTCC. All rights reserved. DTCC, DTCC (Stylized), ADVANCING FINANCIAL MARKETS. TOGETHER, and the Interlocker graphic are registered and unregistered trademarks of The Depository Trust & Clearing Corporation.

The services described above are provided under the "DTCC" brand name by certain affiliates of The Depository Trust & Clearing Corporation ("DTCC"). DTCC itself does not provide such services. Each of these affiliates is a separate legal entity, subject to the laws and regulations of the particular country or countries in which such entity operates. See www.dtcc.com for a detailed description of DTCC, its affiliates and the services they offer. DTCC Public (White). 26932-WK060421