Securities Processing

17.11 RAMPOS: FUNCTION USER'S GUIDE

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OVERVIEW

The DTC RAMPOS function gives the user the ability to request the *Custody Position File for Reorg/Redemptions* records from DTC.

This function is being offered over CCF and CCF-II. This is a combined user guide describing the RAMPOS for CCF and CCF-II.

Users who communicate with DTC via CCFUSER software should read the CCF User Guide before reading this document.

Users who communicate with DTC via CCF-II (RJE, SNA/RJE, or NDM) should read the appropriate CCF-II System User Guide.

THE RAMPOS FUNCTION

The *Custody Position File for Reorg/Redemptions* will be generated by DTC and will list each position that is in Custody and has a Reorganization or Redemption that has affected the status of that CUSIP. The information is available in machine-readable format via CCF/CCF-II.

Transmission Modes

RAMPOS files are available via CCF and CCF-II. Users requesting RAMPOS via CCF receive a file consisting of a CCF Header Record followed by the RAMPOS detail records. Users requesting RAMPOS via CCF-II receive a file comprised of CCF-II Header and Trailer Records separated by detail records.

RAMPOS Availability

The RAMPOS function is normally available from approximately *12:30 am through 8:30 am the following day*.

Holiday Processing

1. All Closed - Banks, Exchange, DTC Closed: (New Year's Day, President's Day, Memorial Day, July 4th, Labor Day, Thanksgiving Day, and Christmas Day)

   No Processing

2. Banks Closed, Exchange Open, and DTC Open (Columbus Day, Veterans Day)

   File Available

3. Banks Open, DTC Open, Exchange Closed (Good Friday)

   File Available

4. Exchange Open, Banks and DTC Closed (Martin Luther King Day)

   No Processing

CCF Header Record

The first record on the RAMPOS function file is header record, when "Header=Yes" is specified as a CCFDTDB parameter, which contains information regarding the creation of the file.

NDM users executing NDMDTF01 or RJE 3770 users executing RJESDTF2 should note that they receive the following CCF Header Record.

Format of the CCF Header Record				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	6	Character	Data Type Requested	RAMPOS (In special instances when data must be reloaded, this name will correspond with the SPECx name)
7	6	Character	Data Type Created	RAMPOS
13	8	Character	Creation Date	mm/dd/yy
21	8	Character	Spool Date	mm/dd/yy
29	8	Character	Load Time	hh:mm:ss
37	2	Binary	Record Size	Size of each data record
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB
43	4	Binary	Record Count	Number of data records
47	???	Character	Filler	DTC use only. Do not use.

CCF-II Header and Trailer Record

The format of each CCF-II Header and Trailer Record is described below.

Note:

The Header and Trailer Records are identical except for the first and last field of each record.

NDM users executing NDMDTF01 and RJE 3770 users executing RJESDTF2 note that they will receive the CCF Header record shown on the previous page.

Position	Length	Format	Field Name	Field Description
**The CCF-II Header and Trailer Records**
1	3	Character	Record ID	"HDR" or "TLR"
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	RAMPOS (In special instances when data must be reloaded, this name will correspond with SPECx name)
14	6	Character	Data Type Created	RAMPOS
20	8	Character	Creation Date	mm/dd/yy
28	8	Character	Spool Date	mm/dd/yy
36	8	Character	Load Time	hh:mm:ss
44	4	Numeric	Record Length	Record Length of Data requested
48	8	Numeric	Record Count	Number of records in file
56	4	Numeric	80-byte Record Count	Number of 80-byte records per data type requested
60	15	Character	Filler	DTC Use only. Do not use.
75	6	Numeric	Sequence Number	Data integrity check HDR 000000 TLR 999999

RAMPOS Detail Record

The format of the RAMPOS Detail Record is described below.

DTC notification regarding Custodial Position held which is undergoing some type of Reorganization or Redemption.

RAMPOS Detail Field Record (1 of 2)				
Position	**Length**	**Format**	**Field Name**	**Field Description**
Transaction Header				
1	8	Character	CCF Sign on ID	Sign on ID
9	1	Character	Eligibility Indicator	C
10	1	Character	Filler	Space
11	1	Character	Feedback indicator	A constant "*" indicates an output message in the new format
12	1	Character	Test/Production indicator	For this function a constant "P" indicates a Production system message
13	6	Character	Record Type	RAMPOS
19	2	Character	Record Suffix	01
21	2	Numeric	Version Number	01
23	6	Character	User Reference Number	Constant spaces
29	8	Character	Filler	Constant spaces
37	1	Character	File Indicator	R
38	2	Character	Country Code	Not currently used.
40	9	Character	CUSIP Number	
49	1	Character	International Check Digit	Not currently used.
50	20	Character	CUSIP Description	Short Security Description on the DTC Master File
70	3	Character	Reorg/ Redemption Activity Type	
73	8	Numeric	Critical Date	cc/yy/mm/dd
81	7	Character	Box Location	DTC SEG ACCT.
88	20	Character	Customer Account number	Number
108	8	Character	Part ID	CCF Part ID
116	13	Character	Whole Quantity	Quantity

RAMPOS Detail Field Record (1 of 2)				
Position	**Length**	**Format**	**Field Name**	**Field Description**
129	7	Character	Fractional Quantity	Quantity
136	20	Character	Filler	DTC Use only. Do not use.

RAMPOS Detail Field Record (2 of 2)				
Position	**Length**	**Format**	**Field Name**	**Field Description**
78	20	Character	Custody Customer Account Number	
98	8	Character	Participant ID	
106	13	Numeric	Whole Quantity	Share quantity
119	7	Character	Fractional Quantity	Fractional Share quantity
126	20	Character	Filler	DTC use only. Do not use.

CCF AND CCF-II TAPE BACKUP PROCEDURE

If a user is not able to retrieve data via CCF/CCF-II because of modem, telephone line or equipment failure at their site, and the data is critical, the user should call DTC Customer Support Center to specify the data type desired and make arrangements to have a magnetic tape picked up via messenger.

When the magnetic tape is created by DTC, it will have the following format:

For CCF Users

1-Non-labeled

2-1600/6250 bpi

3-RECFM= VB

4-LRECL= 1504

5-BLKSIZE= 1508

The tape will contain the data in exactly the same format as it would have been received at the user's computer site. CCF users must use CCFDTFBD to deblock the tape.

For CCF-II Users

1-Non-labeled

2-1600/6250 bpi

3-RECFM= FB

4-LRECL= Refer to the specific user guide

5-BLKSIZE= Efficient block size

The tape will contain the data in exactly the same format as it would have been received at the user's computer site.

If the user is unable to pickup a magnetic tape at DTC (as in the case of regional participants), their alternative at the present time is to wait until their equipment problems are resolved or fall back to an alternative method of communication such as PTS. If the problem is resolved within the same day, and the function is available, the user can receive the function normally.

If the problem is not quickly resolved, DTC using a backup procedure for delivering noncurrent data, can make the user's data available anytime within the next five business days. This data will be spooled out to the data base using a special data type name ("SPECx", where "x" is a one character numeric) and must be requested by the user using this data type name.

For More Information

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