



Securities Processing

14.13 SAFEDW: TRANSFER AGENT END OF DAY REPORT - FUNCTION USER'S GUIDE

NOVEMBER 27, 2023

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OVERVIEW

This document describes DTC's SAFEDW function, which allows Agents to receive information about Safe Deposits and Withdrawals for a specific day via DTF, DTC's Data Transmission Facility. This file will be generated once a day.

THE SAFEDW FUNCTION

DTC creates a file of its Safe Deposits and Withdrawals daily from DTC Participants who submit Safe Deposits and Withdrawals. Agents who request function SAFEDW will receive SAFEDW information via CCF or CCF-II.

Availability of SAFEDW

The SAFEDW function will generally be available from approximately 6:00 p.m. until 2:00 p.m.(Eastern Time) the following day.

SAFEDW RECORD FORMATS

The SAFEDW files are available via CCF and CCF-II.

Users requesting SAFEDW via CCF will normally receive a file comprised of a CCF Header Record followed by the SAFEDW Detail Records.

Users requesting SAFEDW via CCF-II will receive a file comprised of CCF-II Header and Trailer Records separated by the SAFEDW Detail Records.

The CCF Header Record

The first record on the function file will be a Header Record when "HEADER=YES" is specified as a CCFDTFDB parameter. The Header Record contains information regarding the creation of the file.

Note:

NDM Users executing NDMDTF01, and RJE 3770 Users executing RJESDTF2, will receive the CCF Header Record. These Users will not receive the CCF-II Header and Trailer Records described on the following page.

The CCF Header Record's format is as follows:

Format of the CCF Header Record (Page 1 of 2)				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	Value "SAFEDW".
7	6	Character	Data Type Created	Value "SAFEDW".
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY)
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only; do not use.

The CCF-II Header and Trailer Records

The format of each CCF-II Header and Trailer Record is described below. Please note that the Header and Trailer Records are identical except for the first and last field of each record.

Format of the CCF Header Record (Page 1 of 2)				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	Value "HDR" or "TLR".
4	4	Character	Signon ID	Signon ID.
8	6	Character	Data Type Requested	Value "SAFEDW".
14	6	Character	Data Type Created	Value "SAFEDW".
20	8	Character	Creation Date	Format MM/DD/YY.
28	8	Character	Spool Date	Data Load Date (MM/DD/YY).
36	8	Character	Load Time	Data Load Time (HH:MM:SS).
44	4	Numeric	Record Length	Record Length of data requested.
48	8	Numeric	Record Count	Number of data records in file.
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested.
60	15	Character	Filler	DTC use only; do not use.
75	6	Numeric	Sequence Number	Numbering Sequence. Used as a data integrity check. HDR ==> 000000 TLR ==> 999999

The SAFEDW Detail Record

SAFEDW Detail Record (1 of 2)				
Position	Length	Format	Field Name	Field Description
Transaction Header				
1	8	Character	Sort out Preference 1	Value Space
9	1	Character	Sort out Preference 2	Value Space
10	1	Character	Sort out Preference 3	Value Space
11	1	Character	Feedback Indicator	Value "**". Indicates an output message in the new format.
12	1	Character	Test/ Production Indicator	"P" = Production "T" = Test
13	6	Character	Record Type	Value "SAFEDW".
19	2	Character	Record Suffix	Value "01".
21	2	Numeric	Version Number	Value "01".
23	6	Character	User Reference Number	Constant spaces.
29	8	Character	Addressee	The ID of the Participant for whom this transaction was created. Right justified, zero filled.
37	8	Character	Transfer Agent ID	Transfer agent requesting SAFEDW information.
45	2	Character	Filler	DTC use only; do not use.
47	9	Character	Security CUSIP	Industry defined CUSIP number.
56	1	Character	Filler	DTC use only; do not use.
57	1	Character	Activity Type	"D" = Regular Deposit "W" = W/T
58	5	Character	Certificate Prefix	Prefix of Certificate Number.
63	7	Numeric	Certificate Number	Actual Certificate Number.

SAFEDW Detail Record (2 of 2)				
Position	Length	Format	Field Name	Field Description
70	13	Numeric	Denomination	Face value of certificate.
83	4	Numeric	Participant Number	DTC eligible Participant Number.
87	10	Numeric	DTC Deposit ID	
97	9	Numeric	Tax ID Number	
106	8	Numeric	Process Date	Date of transaction. Format CCYYMMDD.
114	11	Character	Account Number	Account number of customer.
125	26	Character	Filler	DTC use only; do not use.

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Doc Date: November 27, 2023

Publication Code: AS195

Service: AS195

Title: 14.13 SAFEDW: Transfer Agent End Of Day Report - Function User's Guide

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