DTCC

EXPERIENCE THE POWER OF A RESILIENT, SCALABLE, AND INTUITIVE TRADE REPORTING TOOL

DTCC REPORT HUB®

YOUR NEXT GENERATION TRADE REPORTING SOLUTION

THE CHALLENGE

As regulations in the trade reporting landscape continue to change, internal operations, compliance, and IT teams (and systems) face the pressure to ensure successful reporting continues. Many firms have a fragmented, and therefore inefficient, technical architecture in place for managing the data required for trade reporting. Common issues include compromised control frameworks; siloed, duplicated, or non-strategic processes; sourcing the relevant regulatory expertise; and the never-ending challenge of reconciling multiple data sets and managing technology upgrades to meet regulatory changes. All of this is prone to risk and error, both which come with high remediation costs and potential fines. The cost of owning, operating, and maintaining internal trade reporting systems is expensive, can add additional risk, and derives limited competitive advantage.

THE SOLUTION

Meet DTCC's smart, innovative, and comprehensive solution. As the preeminent experts in the trade reporting business, we created DTCC Report Hub to put the power of a robust reporting solution in your hands. Invigorate your entire reporting process by bolstering your overall pre and post reporting workflows. The service's unparalleled functionality enables you to: manage the complexities of reporting across 14 jurisdictions covering global derivatives regulation, Securities Financing Transactions Regulation (SFTR) and Markets in Financial Instruments Directive II (MiFID II) with a robust control framework; minimize the burden of updating your reporting processes to stay current with changing mandates; and unleash the potential of resilient, scalable, and intuitive technology to help you master your pre and post reporting assurance tasks.

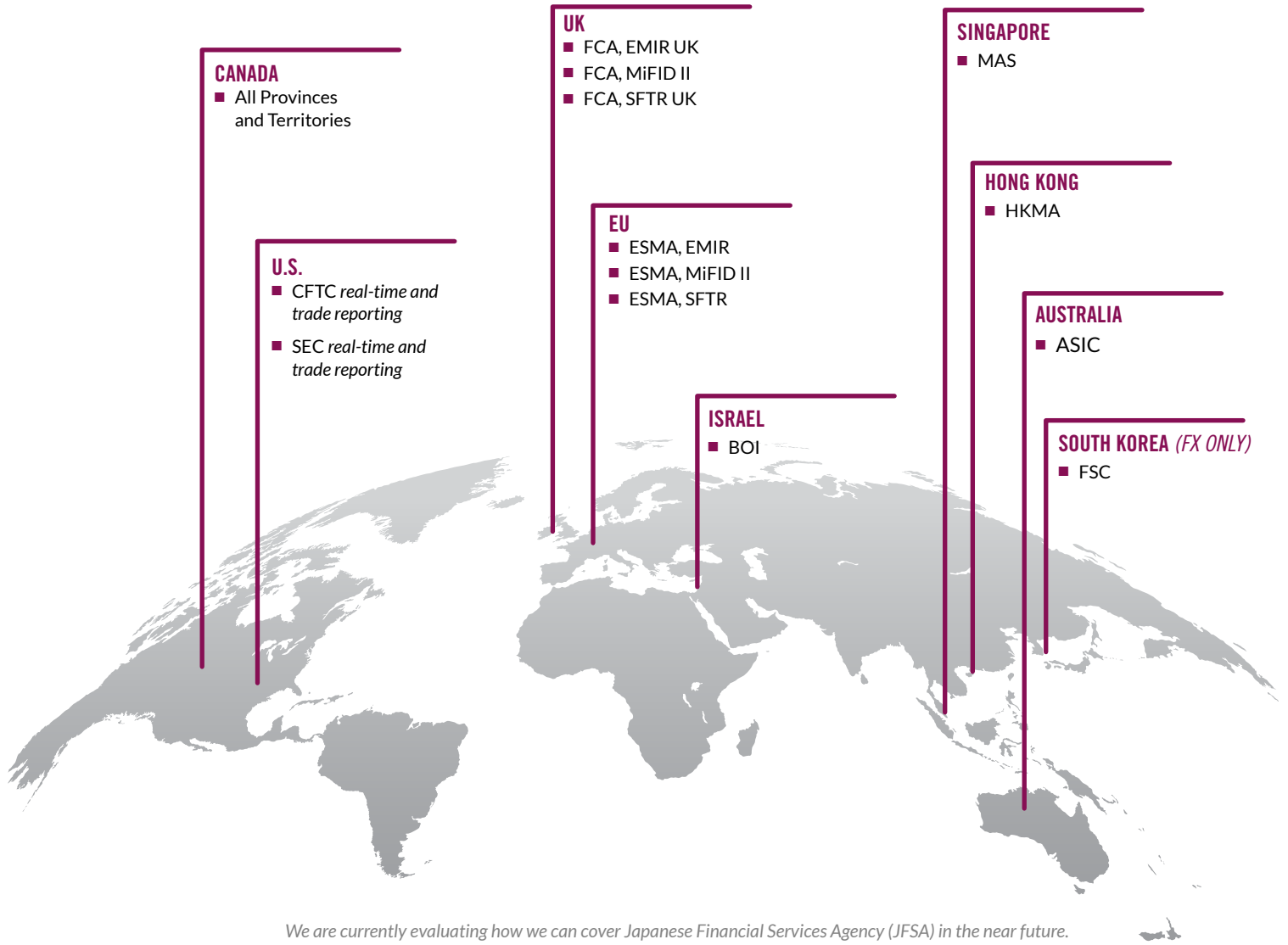# MANAGE YOUR HOLISTIC GLOBAL DERIVATIVES AND SFTR TRADE REPORTING NEEDS

WHEN YOU USE BOTH DTCC REPORT HUB AND OUR GLOBAL TRADE REPOSITORY (GTR) SERVICES.

LEARN MORE AND SEE A DEMO!

Talk to us today

ADVANCING FINANCIAL MARKETS. TOGETHER.™

JURISDICTIONS, REGULATIONS AND MANDATES COVERED

CANADA
- All Provinces and Territories

U.S.
- CFTC *real-time and trade reporting*
- SEC *real-time and trade reporting*

UK
- FCA, EMIR UK
- FCA, MiFID II
- FCA, SFTR UK

EU
- ESMA, EMIR
- ESMA, MiFID II
- ESMA, SFTR

ISRAEL
- BOI

SINGAPORE
- MAS

HONG KONG
- HKMA

AUSTRALIA
- ASIC

SOUTH KOREA *(FX ONLY)*
- FSC

We are currently evaluating how we can cover Japanese Financial Services Agency (JFSA) in the near future.

LEGEND

ASIC = *Australian Securities and Investments Commission*
BOI = *Bank of Israel*
CFTC = *Commodity Futures Trading Commission*
EMIR = *European Market Infrastructure Regulation*
ESMA = *European Securities and Markets Authority*
FCA = *Financial Conduct Authority*

FSC = *Financial Services Commission*
HKMA = *Hong Kong Monetary Authority*
MAS = *Monetary Authority of Singapore*
MiFID II = *Markets in Financial Instruments Directive II*
SEC = *Securities Exchange Commission*
SFTR = *Securities Financing Transactions Regulation*

WHY DTCC REPORT HUB IS YOUR IDEAL SOLUTION

Deep Expertise in the Global Trade Reporting Ecosystem
Since 2012, our award-winning Global Trade Repository service (GTR) has served clients through locally registered trade repositories (TRs), today with over 8,500 clients combined, reporting to 60+ regulators across 35 countries.

Proven as a Trusted, Scalable & Secure Solution
Trusted, resilient and scalable, the platform is built on the latest, most secure, and reliable technology. Microsoft cloud-optimized since 2014, with multi-layered security, backed by a team of cyber security experts.

Expansive Reporting Regime Coverage
Manage the reporting complexities across 14 jurisdictions, covering global derivatives, SFTR and MiFID reporting.

KEY FEATURES & BENEFITS

- Customize pre and post reporting capabilities to fit your firm's unique needs

- Seamlessly process large message volumes

- Easily set entitlement rules

- Access advanced exception management and reprocessing functionality

- Receive auto-generated submission monitoring

Topnotch Client Experience
From a modern, intuitive user interface, dedicated integration team, early pre-user acceptance testing, to testing tools and 24 x 7 production support, we are here to support you every step of your journey with us.

Tremendous Value of Community
We prioritize creating market efficiencies and cost optimization, as well as establishing common interpretation and standards as our community of 70+ Report Hub and 8,500+ GTR clients continues to grow.

HOW IT WORKS

DTCC Report Hub's robust functionality and flexible integration, provides you with access to a wide range of pre and post trade reporting capabilities to help you successfully meet your trade reporting obligations. The service interfaces with registered TRs, approved reporting mechanisms (ARMs) and approved publication arrangements (APAs) to help you facilitate trade submission. Further simplify your processes when you manage your holistic global derivatives and SFTR reporting needs by using both DTCC Report Hub and our GTR services.

A dynamic dashboard summary acts as your pre reporting home screen, providing a consolidated view of exceptions in need of remediation, easily showing systemic and thematic reporting issues identified.

The newly modernized DTCC Report Hub UI includes a data insights summary to draw attention to trends and potential anomalies in your reporting data.

EXTENSIVE CAPABILITIES

PRE REPORTING OFFERS CAPABILITIES TO HELP YOU PREPARE YOUR TRANSACTION DATA FOR SUBMISSION TO A TR, ARM OR APA. THESE INCLUDE:

Data Translation & Enrichment
Configure data files in any format. Standardize to the required regulated fields per reporting regime and map to the required regulatory file format for reporting to a TR, ARM or APA. Automatically enrich and standardize data from defined, configurable reference data sources to populate key fields for self submissions. These data sources can include your firm's own data such as internal client master files.

Reporting Eligibility
Through configurable or pre-defined rules, identify the regulatory regimes under which a transaction is reportable. A jurisdictional view screen provides a summary of trades processed by regime with ineligible trades identified within a separate view.

Customizable Rules, including Pre-Validation
Configure bespoke rules to provide pre reporting validations that can be set up at a field level with format, mandatory and conditional checks. Based on the result of the pre-validation checks, the rules engine will indicate that the submission will pass, fail, is out of scope for further processing, or will be processed with a warning.

UTI Generation
Generate a Unique Trade Identified (UTI) for reports that have failed because a trade does not have a UTI.

Message Submission Automation
Message submission from DTCC Report Hub to a TR, ARM or APA in the required format.

Delegated Reporting Support
Submit trades on behalf of a reporting counterparty once all required permissions have been set at the trade repository level, including support for dual-sided submissions.
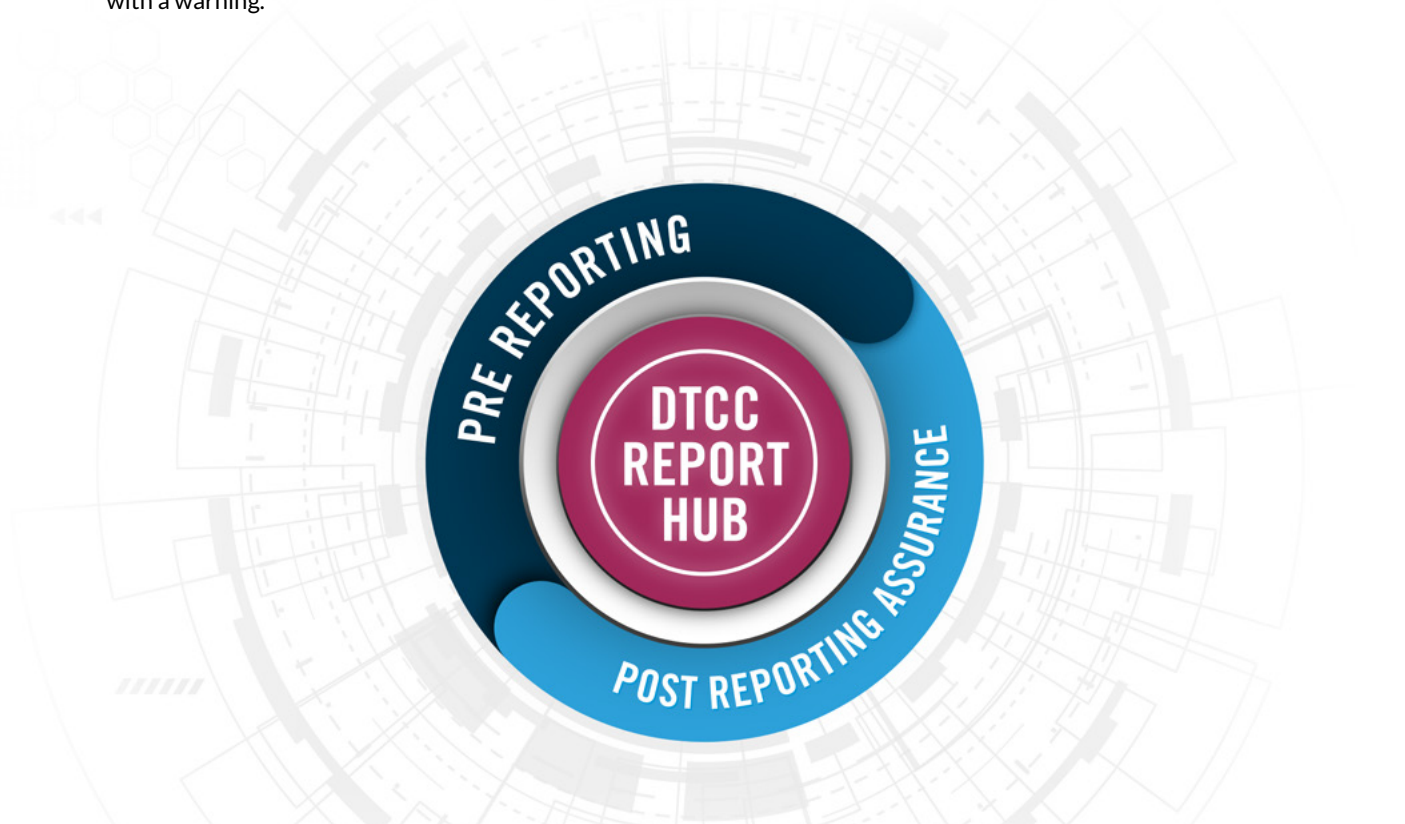
Exception Management
Manage the identification and sorting of rejected trade submissions, including a view of the UTIs, and submitted trade data, to easily identify rejections and facilitate resubmissions.

Data Lineage & Audit
Export an audit log of all activities by time period.

POST REPORTING ASSURANCE OFFERS RECONCILIATION AND DATA INSIGHTS CAPABILITIES TO HELP YOU CHECK FOR REPORTING ACCURACY AND COMPLETENESS, AFTER TRADES HAVE BEEN SUBMITTED TO A TR, ARM OR APA. THESE INCLUDE:

Accuracy & Anomaly Checks

Enhance your accuracy controls with data quality checks against specific validation criteria outside of any regulatory validations already applied by TRs and ARMs. Examples include large notional transactions, blank maturity date, and stale collateral and valuations.

Timeliness Checks

Gain insight into your timeliness controls and view trends of repeatable issues with an aggregated historical record of whether reports have been submitted on time as per the regulatory requirements.

Configurable Insights

Tailor insights to fit your individual needs, including threshold adjustment, through the intuitive user interface.

Smart Reconciliations with Ticketing Workflow

Reconcile your books and records against reports provided by TRs, ARMs, or regulators. Ticketing allows clients to assign and manage the resolution of breaks, and an aggregated historical view allows for quick identification of repeating issues.

Delegated Reporting Monitoring

Users who delegate their reporting to other parties can effectively monitor the quality (completeness, accuracy, and timeliness) of your delegated reporting through insights and reconciliation features available as described above.

LEARN MORE

Please visit **dtcc.com/reporthub** or **talk to us today**.

If you're looking to have post trade experts evaluate your overall reporting infrastructure, **DTCC Consulting Services** can help you implement techniques and tools to drive business innovation and transformation.