



<b>A#:</b>	8980
<b>P&amp;S:</b>	8553
<b>Date:</b>	March 15, 2021
<b>To:</b>	All Participants
<b>From:</b>	GENERAL COUNSEL'S OFFICE
<b>Attention:</b>	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER
<b>Subject:</b>	Presidential Executive Order 13959 – Additional DTCC Processing Information March 15, 2021

Based on recent guidance from OFAC, DTCC will allow normal processing on the following securities. The suspensions or restrictions previously communicated to go into effect on March 15, 2021 will no longer be applied. All normal activities including clearance, settlement and asset servicing will continue for the securities listed below.

CUSIP	Security Description	SYMBOL	DTC/NSCC
G9830T106	Xiaomi Corp	XIACF	DTC/NSCC
98421U108	Xiaomi Corp	XIACY	DTC/NSCC

As OFAC provides further guidance, additional notification will be provided.

We remind you that in using DTCC services, you are obligated to comply with all applicable laws, rules and regulations including sanctions laws, rules and regulations administered by OFAC, including complying with EO 13959.

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