



ASSET SERVICES SIGMA- AGENT PERFORMANCE REPORT

January 2021

Executive Summary

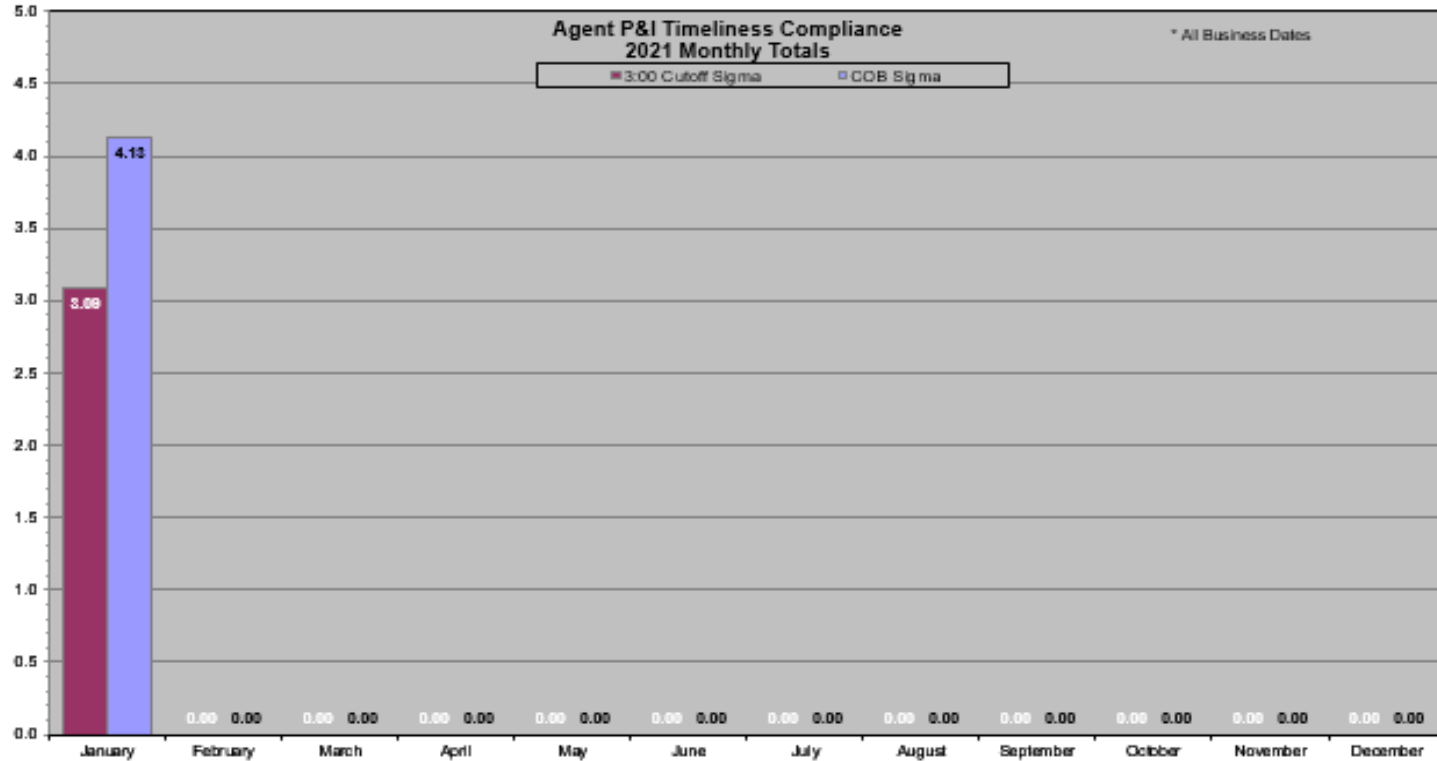
This report highlights the January 2021 performance of the top agents vs. industry targets. This report focuses on the following metrics:

Principal and Interest payment timeliness compliance - percentage of funds received from agents at cut-off (3:00pm ET) and at close of business (6:00pm ET), relative to the total dollars paid for the month. The Agent sigma performance for January 2021 was 3.09σ 94.37%. This month's performance is below the target of 3.67σ (98.50)%.

Principal and Interest allocations on payable date - percentage of allocated dollars and CUSIPS, relative to the total expected dollars and CUSIPs allocated. The Agent sigma performance for January 2021 was 3.77σ 98.84%. This month's performance is below the target of 3.83σ (99.00)%.

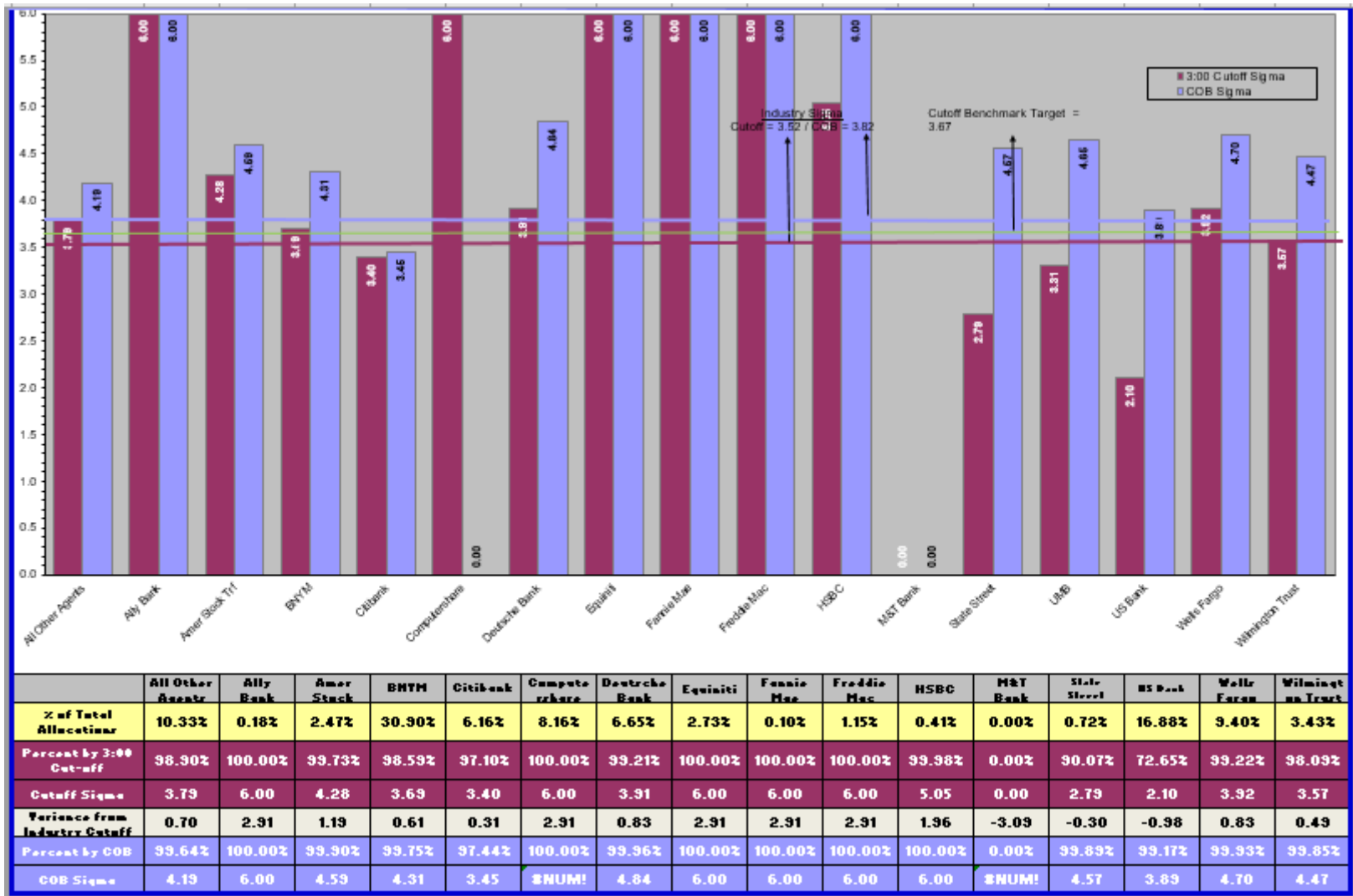
Please visit DTCC's web-site <http://dtcc.com/asset-services/asset-services-performance-metrics.aspx> for more detailed metric definitions and agent trend charts.

P&I Allocations Monthly Trend

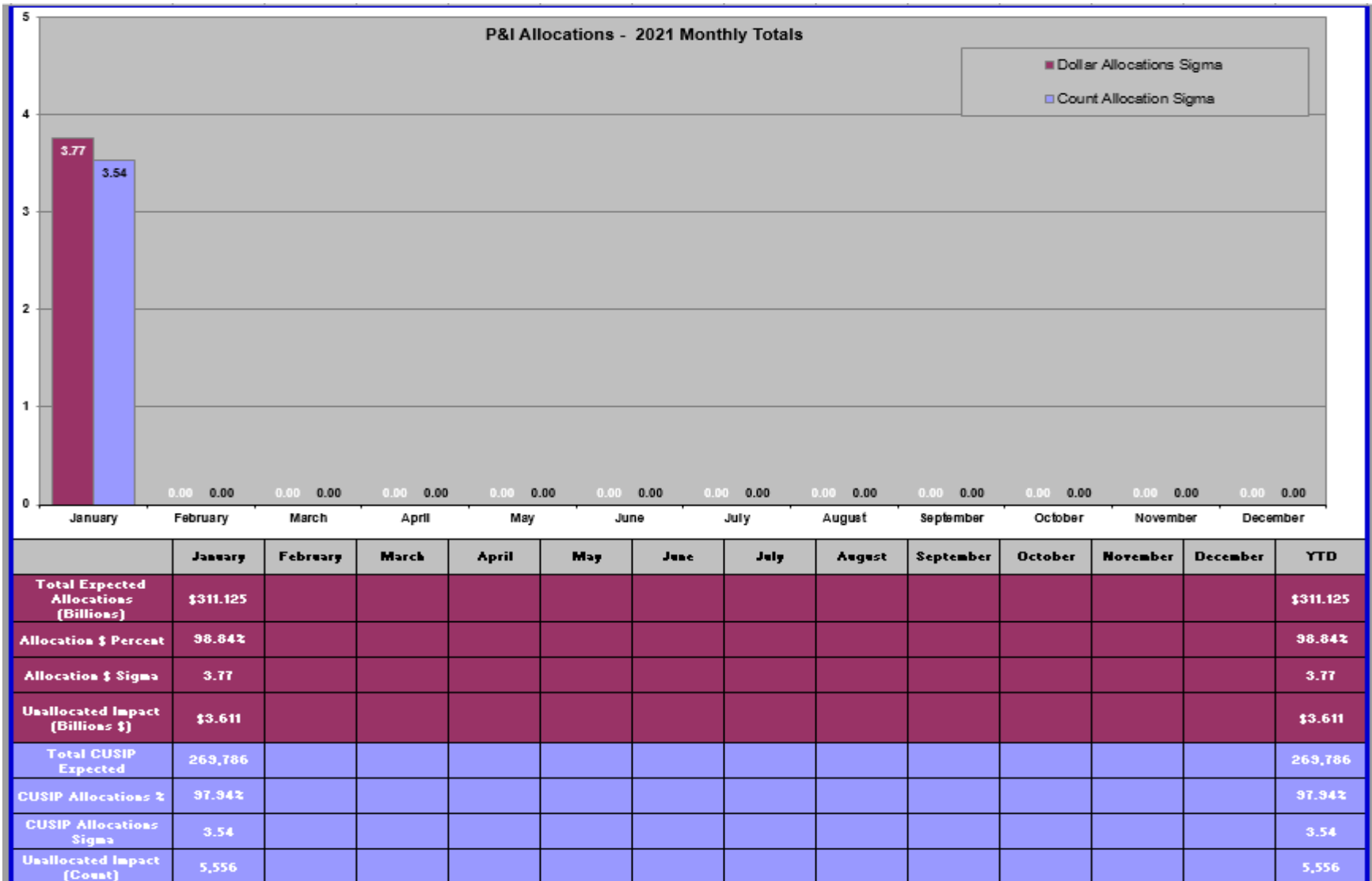


	January	February	March	April	May	June	July	August	September	October	November	December	YTD
Percent by 3:00 Cutoff	94.37%												94.37%
Cutoff Sigma	3.09												3.09
Percent by COB	99.57%												99.57%
COB Sigma	4.13												4.13

P&I Timeliness Compliance – Agent Performance



P&I Allocations Monthly Trend



P&I Allocations – Agent Performance

