

CA 2022 Service

OVERVIEW

The DTCC CA 2022 Service delivers near-real-time messaging on corporate action announcements for 1.3 million active security issues held.

DTCC's CA 2022 Service can vastly improve operational efficiency and reduce errors in processing critical events by using Corporate Action Identifier (CA Identifier) and the CA ISO 2022 common messaging language. With CA Identifier, a DTCC innovation, DTCC assigns a unique identifier to each corporate action announcement event. DTCC, one of the world's first adopters of the CA ISO 2022 messaging standard for corporate actions, has worked with its clients and the industry as a whole to create a mature and efficient flow of information.

HOW IT WORKS

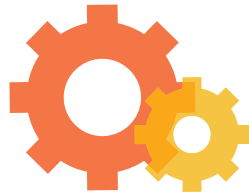
1. DTCC's subsidiary, The Depository Trust Company ("DTC"), receives new corporate action announcement data from issuers and agents.
2. DTC assigns a unique identifier to each event.
3. DTC disseminates new announcement data to users in near-real time using the ISO 2022 messaging standard.
4. Issuers' designated agents work with DTC on an ongoing basis to update announcement data.
5. Updates are incorporated into the event information and a complete audit trail of changes is maintained.
6. DTC disseminates updated announcement data in near-real time using the ISO 2022 messaging standard.

DELIVERY

- You can subscribe for announcement data for any or all event types, which can be delivered as soon as they are available, through up to 16 configurable time slices throughout the day, or in an end-of-day (approximately 11:30 p.m. ET) XML message file.
- DTCC's SMART Network
 - MQ
 - FTP/NDM



CA 2022
CENTRALIZES



DATA AUTOMATION



NEAR-REAL TIME



DATA QUALITY
AND EFFICIENCY

BENEFITS

TRACEABILITY	Follow an event through its lifecycle using the unique Corporate Action Identifier (CA Identifier) DTC assigns to each event.
TIME EFFICIENCY	Receive comprehensive data in a consolidated form.
ADAPTABILITY	Standardize compliance with evolving market practices, as business needs change.
RELIABILITY	Validate data through verification of XML populated values.
INTEROPERABILITY	Facilitate integration with custodians and data vendors through use of the ISO 20022 standard.

DATA COVERAGE

The DTCC CA 20022 Service provides announcement data for approximately 1.3 million active security issues held at DTC, including American Depositary Receipts. The announcement types covered include, among others:

Distributions

- Cash Dividends
- Principal and Interest
- Stock Distributions
- Optional Dividends
- Capital Gains Distributions
- Return of Capital
- Spin-offs

Reorganizations

- Tender Offers
- Mergers/Splits
- Maturities For Stocks
- Name Changes
- Warrants
- PUTS (Put Option Bonds)
- Meetings

Redemptions

- Partial Calls
- Full Calls
- Maturities (Cash)
- Terminations
- Final Paydowns
- Full Call Convertibles

FOR MORE INFORMATION

To learn more, visit [DTCC.com/Data-Services](https://www.dtcc.com/Data-Services) or **contact DTCC Data Services Client**

Support: 1-888-382-2721, Option 8