As DTCC continues to review and understand usage of fund level dates for reporting on fixed annuities and fixed accounts within the Positions and Valuations (POV) file.

. This document may be used to define the expected reporting defined within the 13/04 – Contract Band/Guaranteed Loop within the Underlying Assets record, there are number of date related fields to allow the carrier to report fund related dates.

* **Deposit/Guaranteed Start Date** (item # 3151) - starting date for deposit for a rate.
* **Deposit/Guaranteed End Date** (#3152) - ending date for deposit for a rate.
* **Deposit/Guaranteed Maturity Date** (#3153) – date deposit must be maintained or final date to get the rate.

**Business Scenario**:

Business Scenario: Fixed Annuity that has a 7-year surrender charge. The chosen term for the initial investment is 1 year and is guaranteed for 1 year at 5% with a rate bonus of .50%.

|  |  |  |
| --- | --- | --- |
| 3151 | Deposit/Guaranteed Start Date | 9/1/2023 |
| 3152 | Deposit/Guaranteed End Date | 9/1/2024 |
| 3153 | Deposit/Guaranteed Maturity Date | 9/1/2024 |

*Please note: the Maturity Date (3153) will be optional but feel that this date should be used when specifically reporting the date where the funds must continue to be invested to ensure complete rate inclusion.*

It was determined if the maturity date, at the contract level, will be reported at the contract/policy level on the 13/02 Contract Date record under the Maturity Date (code = 917).