6.11 Out Transfer Detail Activity (STSWTO): Function User's Guide

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1.0   Overview

This document describes the STSWTO function used for transmission of DTC Out Transfer Detail Activity.

Users who communicate with DTC via CCF-II (RJE, SNA/RJE or NDM) should read the appropriate CCF-II System User's Guide.

Users who communicate with DTC via CCFUSER software should read the CCF User's Guide before reading this document.

Additional prerequisites for a thorough understanding to this document are a familiarity with DTC operating procedures and a familiarity with computer operations.

2.0   The STSWTO Function

A CCF/CCF-II User may utilize the STSWTO function in order to receive DTC Out Transfer Detail Activity information. When using the STSWTO function, the CCF/CCF-II User will receive a series of machine-readable records. Each record corresponds to an Out Transfer transaction which has updated a Participant's account activity.

The STSWTO function informs the User of completed WT items which DTC has received from the transfer agent, in addition to completed Direct Mail by the Agent (DMA) and by the Depository (DMD) items. New Certificate detail is not included

The information received via the STSWTO function may be used to automate the updating of a User's files and verification of the transaction processing sent by the User to DTC.

2.1   Availability of STSWTO

The STSWTO function will generally be available from approximately 6:00 p.m. until 2:00 p.m. the next day.

3.0 STSWTO Record Formats

3.1 The CCF Header Record

The first record on the function file will be a Header Record when "HEADER=YES" is specified as a CCFDTFDB parameter. The Header Record contains information regarding the creation of the file.

Note: NDM Users executing NDMDTF01 and RJE 3770 Users executing RJESDTF2 will receive the CCF Header Record. These Users will not receive the CCF-II Header and Trailer Records described on the following page.

Format of the CCF Header Record

Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	Value "STSWTO".
7	6	Character	Data Type Created	Value "STSWTO".
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY)
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only: do not use.

3.2   The CCF-II Header and Trailer Records

The format of each CCF-II Header and Trailer Record is described below. Please note that the Header and Trailer Records are identical except for the first and last field of each record.

CCF-II Header and Trailer Record (DTC Transmits to User)

Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	Value "HDR" or "TLR".
4	4	Character	Signon ID	Signon ID.
8	6	Character	Data Type Requested	Value "STSWTO".
14	6	Character	Data Type Created	Value "STSWTO".
20	8	Character	Creation Date	Format MM/DD/YY.
28	8	Character	Spool Date	Data Load Date (MM/DD/YY).
36	8	Character	Load Time	Data Load Time (HH:MM:SS).
44	4	Numeric	Record Length	Record Length of data requested.
48	8	Numeric	Record Count	Number of data records in file.
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested.
60	15	Character	Filler	DTC use only: do not use.
75	6	Numeric	Sequence Number	Numbering Sequence. Used as a data integrity check HDR ===> 000000 TLR ===> 999999

3.3   The STSWTO Detail Record

The remaining records on the file are STSWTO transaction detail records. The format of the Out Transfer Detail Activity record is as follows:

Out Transfer Detail Activity Record				
Position	**Length**	**Format**	**Field Name**	**Field Description**
1	4	Character	Participant Number	
5	9	Character	CUSIP Number	
14	6	Numeric	Out of Transfer Date	WT Out Transfer Date. Format MMDDYY.
20	11	Character	Filler	This area will contain zeroes.
31	15	Character	Reference ID Number	The Participant assigned ID number.
46	1	Character	Direct Mail Indicator	"0" or "1" = Non Direct Mail "2" = DMD - Direct Mail by the Depository "3" = DMA - Direct Mail by the Agent
47	13	Numeric	Shares	Number of shares involved in the Out Transfer, in units of 1.
60	21	Character	Filler	DTC use only: do not use.