CCF/CCF-II/MDH

07.21 DWAC/STS Participant Acknowledgment - Data Transmission Facility (DWCEOD): Function User's Guide

07.21   DWAC/STS Participant Acknowledgment - Data Transmission Facility (DWCEOD): Function User's Guide

Table of Contents

1. Overview

This document describes DTC's DWCEOD function, used for transmission DWAC instructions via CCF/DTF DTC's Data Transmission Facilities.

2. The DWCEOD Function

DTC creates this file daily.

Participants submit Deposit/Withdrawal instructions via online PTS functions PDWC and NDWC. These instructions must be approved/cancelled by the custodian.

The DWCEOD function will provide Participants with information pertaining to the disposition of their DWAC instructions. These DWAC instructions initiated by Participants were either 'confirmed' or 'rejected' by the authorized Transfer Agents (TA).

2.1. Availability of DWCEOD

The DWCEOD' will generally be available at 6:30 P.M. the next business day.

2.2. Holiday Processing

- All Closed - Banks, Exchange and DTC closed: (New Year's Day, Presidents Day, Memorial Day, July 4th, Labor Day, Thanksgiving Day, and Christmas Day) - *None*

- Banks Closed, Exchange Open and DTC Open (Columbus Day, Veterans Day) - *Will be processed*

- Banks Open, DTC Open, Exchange Closed (Good Friday) - *None*

- Exchange Open, Banks and DTC Closed (Martin Luther King Day) - *None*

3. DWCEOD Record Formats

The record layout for the DWAC/STS Participant Acknowledgment Facility is defined below. This record contains a transaction header and application detail data and has a record length of 526. The DTF header is prefixed to every application record.

3.1. The DTF Header Record

The field layout of the 26-byte header is defined as:

Position	Length	Format	Field Name	DWAC DTF Record Description
1	1	Character	Message Type Indicator	Indicates an output message. **This field is to be left blank on input.**
2	1	Character	Test/Production Indicator	Indicates if the transaction is to be processed in the Test or Production environment. **This is a required field.** Values are: T = Test / P = Production Must be "P" for all DTF messages.
3	6	Character	Record Type	Value = "**DWCEOD**"
9	2	Numeric	Record Suffix	Indicates the Record # on single and multiple Data Records within a transaction.
11	2	Numeric	Version Number	Indicates the version (e.g., latest or previous format) the data is in.
13	6	Character	User Reference Number	Used by the transmitting party to uniquely identify the transaction (optional).
19	8	Character	Addressee	The entity on behalf of which the transaction is being processed: Participant number full numeric addressees.

3.2. The DWCEOD Detail Record

DWAC/STS Participant Acknowledgment Facility Record - Length 500 This application data has 1 record type defined as follows:				
Position	Length	Format	Field Name	DWAC DTF Record Description
27	8	Character	TA number	The ID number of the Custodian.
35	8	Numeric	Transaction Entry Date	The date transaction was entered into DWAC. Format = 'CCYYMMDD'

DWAC/STS Participant Acknowledgment Facility Record - Length 500
This application data has 1 record type defined as follows:

Position	Length	Format	Field Name	DWAC DTF Record Description
43	8	Numeric	Transaction Process Date	The date Custodian confirmed/rejected transaction. Format = 'CCYYMMDD'
51	1	Character	Status Type	Process status of DWAC transaction. 'C' = confirmed 'R' = rejected
52	1	Character	Instruction Type	Type of DWAC Instruction D = Deposit W = Withdrawal
53	12	Character	CUSIP	DTCC's eligible FAST CUSIP. Pos. 1 - 2, value = zeros Pos. 3 - 11, eligible CUSIP number Pos. 12, value = zero
65	9	Numeric	Whole Security Quantity	Quantity of securities representing a Deposit/ Withdrawal instruction. Field contains whole quantity data.
74	5	Numeric	Fractional Security Quantity	Quantity of securities representing a Deposit/ Withdrawal instruction. Field contains fractional quantity data.
79	26	Character	Reference ID	Reference identifier for transaction.
105	20	Character	Participant Contact Name	Participant's contact name.
125	78	Character	Participant Comments	Participant's contact comments.
203	15	Numeric	Participant Telephone Number	Participant's contact telephone number. Format: Pos. 1 - 3 Area code Pos. 4 - 10 Exchange Pos. 11 - 15 Extension
218	20	Character	TA Cancel Contact Name	Custodian's contact name.
238	78	Character	TA Cancel Comments	Custodian's contact comments.

DWAC/STS Participant Acknowledgment Facility Record - Length 500 This application data has 1 record type defined as follows:				
Position	**Length**	**Format**	**Field Name**	**DWAC DTF Record Description**

316	15	Numeric	TA Cancel Telephone Number	Custodian's contact telephone number.
				Format: Pos. 1 - 3 Area code
				        Pos. 4 - 10 Exchange
				        Pos. 11 - 15 Extension

331	26	Character	Transaction Unique Identifier	Transaction's unique identifier for DTCC use.
357	170	Character	Filler	Value = spaces