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# Securities Processing

## 06.15 DEPOSIT OUT TRANSFER FILE - DEPOTF: FUNCTION USER'S GUIDE

AUGUST 31, 2023

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# DOCUMENT HISTORY

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|----------|---|
| 10/01/08 | Document created.   |
| 09/12/14 | The following changes were made to bring the existing document up to current standards.<br><br>Added CCF Header Record description.<br><br>Added CF2 Header and Trailer Records<br><br>Added FTP specific CF2 Header and Trailer Record Format. |
| 04/10/17 | Revised file description in <a href="#">The DEPOTF File</a> .   |
| 08/21/23 | Document reformatted to the current template.   |

# THE DEPOTF FILE

A CCF User may use the DTPOTF File to receive a daily listing of all previously submitted deposits that have been transferred to Cede & Co on the previous business day.

The information received through use of the DEPOTF File may be used to automate the tracking of all deposits submitted to DTC.

## Availability of DEPOTF File

The DEPOTF File will be ready for pick-up each business day after 1:00 a.m.

# HEADER AND TRAILER RECORDS

## The CCF Header Record

NDM users executing NDMDTF01, NDMDTFGP, or NDMDTFSP will receive the following CCF Header record:

CCF Header Record Format				
Position	Length	Format	Field Name	Field Description
1	6	Character	Data Type Requested	DEPOTF
7	6	Character	Data Type Created	DEPOTF
13	8	Character	Creation Date	Date of data (MM/DD/YY).
21	8	Character	Spool Date	DTC data load date (MM/DD/YY).
29	8	Character	Load Time	DTC data load time (HH:MM:SS).
37	2	Binary	Record Size	Size of each data record.
39	4	Binary	Block Count	Number of data blocks input to CCFDTFDB.
43	4	Binary	Record Count	Number of data records.
47	???	Character	Filler	DTC use only. Do not use.

## The CF2 Header and Trailer Records

The CF2 Header and Trailer records come in two different formats: NDM and FTP.

### NOTE

The Header and Trailer records are identical, except for the first and last field of each record.

## NDM – CF2 Header and Trailer Records

**NDM users** executing NDMDTF02 or NDMDTFGH will receive the following:

CF2 Header and Trailer Record Format - NDM				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	"HDR" or "TRL"
4	4	Character	Signon ID	Signon ID
8	6	Character	Data Type Requested	DEPOTF
14	6	Character	Data Type Created	DEPOTF
20	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
28	8	Character	Spool Date	Data Load Date (MM/DD/YY)
36	8	Character	Load Time	Data Load Time (HH:MM:SS)
44	4	Numeric	Record Length	Record Length of data requested
48	8	Numeric	Record Count	Number of data records in file
56	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
60	???	Character	Filler	DTC use only. Do not use.

## FTP - CF2 Header and Trailer Records

FTP users will get the following:

CF2 Header and Trailer Record Format - FTP				
Position	Length	Format	Field Name	Field Description
1	3	Character	Record ID	"HDR" or "TRL"
4	8	Character	Sign-on ID	Sign-on ID
12	6	Character	Data Type Requested	DEPOTF
18	6	Character	Data Type Created	DEPOTF
24	8	Character	Creation Date	Data Creation Date (MM/DD/YY)
32	8	Character	Spool Date	Data Load Date (MM/DD/YY)
40	8	Character	Load Time	Data Load Time (HH:MM:SS)
48	4	Numeric	Record Length	Record Length of data requested
52	8	Numeric	Record Count	Number of data records in file.
60	4	Numeric	80-Byte Record Count	Number of 80-byte records per data type requested. (0001)
64	???	Character	Filler	DTC use only. Do not use.

# DEPOTF DATA RECORD

Position	Length	Format	Field Name	Field Description
1	8	Character	Participant ID	8 character participant number, right aligned, zero filled
9	2	Character	SEC Country Code	00 = (zero filled) international security prefix
11	9	Character	CUSIP	9-character issue identifier
20	1	Character	SEC Check Digit	0 =(Zero filled) international check digit
21	20	Character	Security Description	20-character Security Description
41	30	Character	Participant Reference ID	30-character Participant Reference number
71	10	Character	Deposit ID	DTC Deposit ID number, right aligned, zero filled
81	8	Numeric	In Transfer Date	<i>ccyymmdd</i> Deposit In Transfer Date – date the deposit was credited by DTC
89	8	Numeric	Out Transfer Date	<i>ccyymmdd</i> Deposit Out Transfer Date – date the deposit was transferred to Cede & Co or rejected
97	16	Numeric	In Transfer quantity	Number of in transfer shares on the deposit; right aligned, zero filled (9999999999V99999)
113	16	Numeric	Out Transfer Quantity	Number of out transfer shares on the deposit; right aligned, zero filled (9999999999V99999)
129	8	Numeric	Cert Issuance Date	<i>ccyymmdd</i> Cede & Co certificate issuance date
137	8	Numeric	FRAC Date	<i>ccyymmdd</i> FAST Balance increase date
145	31	Character	Filler	<i>Filler</i>



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