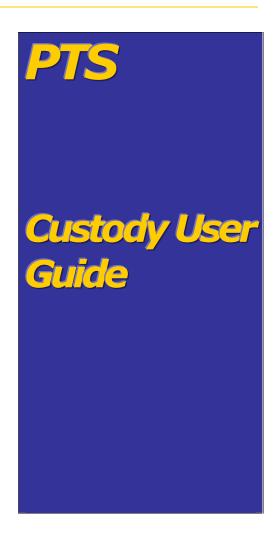
USER GUIDE





The primary purpose of this User Guide is to familiarize DTC participants with the Custody Service offered by DTC. Participants should refer to the Custody Service Guide for the legal obligations of all parties concerned. This User Guide is not intended to serve as a legal document. No statement in this User Guide should be construed as a legally binding rule or regulation, or as creating an obligation on the part of DTC or any person.

Contents

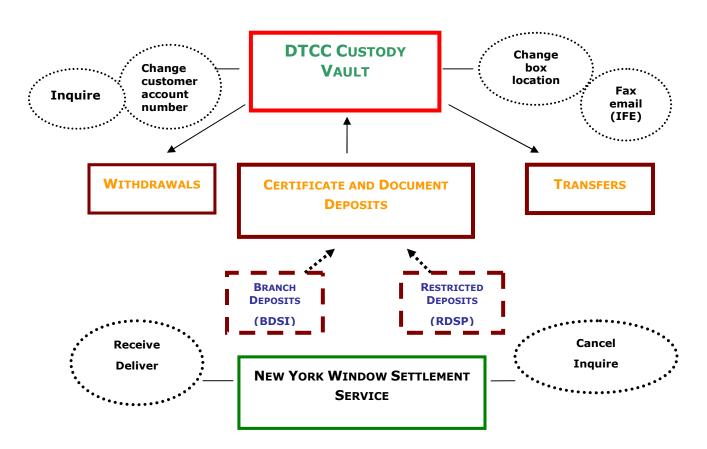
About Custody2
Procedures4
Making a Security Deposit5
Making Document Deposits7
Transferring Security From the Free Position and Requesting Breakdowns
Transferring Security From Custody to The DTC Free Position and Requesting Breakdowns 12
Processing Withdrawals14
Full Withdrawal14
Partial Withdrawal
Changing Custody Box Location17
Changing Customer Account Numbers and Viewing Information18
Changing customer account number on a single position or certificate
Changing customer account number globally19
Viewing Information
Viewing Certificate Information21
Viewing Activity Information23
Viewing Audit Trail Information25
Viewing Customer Account Information26
New York Window Settlement29
Full Deliveries
Partial Deliveries
Receives
Inquiry
Viewing Custody Information: Transfer, Certificate and Document Status37
The Imaging, Fax and Email System (IFE)39
Requesting Fax and Email Transmissions

About Custody

DTC's Custody Service allows you to outsource all or part of your physical securities inventory for safekeeping. When Regular Custody Services are used in conjunction with DTC's Branch Deposit Service (BDS), Restricted Deposit Service (RDS), and New York Window (NYW) Service, Custody participants are able to retain control of their securities without having to handle and secure them.

The Custody Service allows you to deposit securities not traditionally eligible for DTC, including securities such as customer-registered custodial assets, restricted shares, and certain DTC-ineligible securities such as certificated money market instruments (MMIs), private placements, and limited partnerships.

THE DTCC CUSTODY SERVICE



Procedures

MAKING A SECURITY DEPOSIT

Use this procedure when you want to make a deposit of securities into your custody account. Refer to the procedures for Branch deposits (BDSI function) and Restricted deposits (RDSP function) to obtain information on how to make these kinds of deposits.

1 Log in to the PTS **CUST** function, and type **1** in the **Option** field on the Main Menu.



2 Press ENTER. The Security Deposit – Certificate Screen appears.

40. C			2:00.1							08/01	10
	UPDATE MENU		LOCATION		DOCUMER SIGN OF			MMENTS	PF6: PF11:	TRANSFE PG PWD	
OFAC	CERTIFIE CERT OCOOOOA CERT ID	ID BC123	==> PRE	INTERPORT	STAI	ISSUAN DATE		IND	SEQ:		
CUSI	ID: CCYM P: 58199		SEC IBSSSS	CUST ACC DEPCSIT	POSIT -		CATE			12:12:1 01 OF (ON: 901 AY: N	
	S PF1/13	TO UP		DEPCSITO						11/15/0	

- **3** Enter the following:
 - Reference ID in the following format CCYYMMDDBBBBSSSS
 - CCYYMMDD the deposit date
 - BBBB office/branch number with leading zero.
 - SSSS sequence number for the deposit for that office/branch

Note: For the Worthless Securities conversion, use the Deposit ID on the coversheet as the reference ID.

Customer account number in the Cust Acct Num field.

Note: Since worthless securities will be deposited by CUSIP not customer account number, type all zeros in this field.

- Location Box Number at DTC For Worthless Securities it is 906. If you don't know the location codes, press PF2/14 to get the Location Codes Help Screen.
- CUSIP Number on the certificate
- Deposit Quantity (###.#### format) this is the total quantity of shares in the deposit
- Same Day = N
 Y/N The default is N.
- Certificate identification number for a single certificate. For a sequence of certificates enter a valid prefix, start sequence number and end sequence number.
- Denomination amount this is the number of shares on the certificate
- Issuance Date of the certificate
- Restriction Ind Y (Yes) or N (No) if restricted or not
- Expiration Date Optional field
- Registration as it appears on the certificate
- 4 Press PF4/16 if there are documents associated with this deposit. The Security Deposit -Documents screen appears.



- **5** Type information in the following fields:
 - Code: 3-digit document code (press PF2/14 for a list of codes)
 - Exp Date: The expiration date (if applicable)
 - Number: The number of documents.
- 6 Press **PF1/13** to update.

MAKING DOCUMENT DEPOSITS

Use the following procedure when you want to deposit documents.

- **1** Log in to the PTS **CUST** function and type **2** in the **Option** field on the Main Menu.
- **2** Enter the following:
 - Reference ID
 - CUSIP
- **3** Press **ENTER.**

The Document –Deposit Summary screen appears.

000000	DEPOSITORY TRUS PARTICIPANT CUST DOCUMENT - DEPOS	ODY SYSTEM		PAGE	12/03/08 11:13:34 01 OF 02
REF ID	CUST ACCT NUM	CUSIP	DEPOSIT	QUANTITY	LOC
2000033000030198 2000033000030206 2000033000030207 2000033000030213 2000033000030214 2000033000030216 2000060900000888 2001012300000710 2001052405000002 2002112205000008		459200101 459200101 459200101 459200101 459200101 459200101 459200101 459200101 459200101 459200101 459200101		40.00000 300.00000 70.00000 20.00000 12.00000 8.00000 8.00000 3.00000 1.00000	947 947 947 947 947 947 947 947 947 947
PF2: HELP PF9: SIGN OFF 48 (2)	PF7: MENU PF10: PG BWD :00.6)		PF8: PF11:F	XIT FUNC PG FWD 10/002

4 Type **D** to the left of the reference ID you select to view detail information or select certificates for partial processing

Or

Type \mathbf{S} to the left of the reference ID you select to select a deposit document for deposit processing.

5 Press **ENTER**. If you typed **D**, the Document Deposit - Certificate Summary screen appears. If you typed **S**, the Document Deposit – Process screen appears.

000000	P	DEPOSITORY TRUST ARTICIPANT CUSTO T DEPOSIT - DERT	DY SYSTEM	ARY	1	2/03/08 1:17:01 1 OF 01
REF ID: 2000033 CUSIP: 4592001 CE		CUST ACCT NUM DEPOSIT QTY: DENOMINATION			TRICTION	N: 947
0000	QA566314 10A125357 10D303530	30.00000 8.00000 2.00000	05/17/73	N N N		
PF2: HELP PF8: EXIT FUNC		PREV SIGN OFF	PF7: MEN PF10: PG		PF11:	PG FWD
4B	0.00 1					12/010

6 Type **R** to the left of a selected Certificate ID on the Document Deposit - Certificate Summary screen.

The Document Deposit – Registration screen appears.

DEPOSITORY TRUST COMPANY 000000 PARTICIPANT CUSTODY SYSTEM DOCUMENT DEPOSIT REGISTRATION REF ID: 2000033000030198 CUST ACCT NUM: CUSIP: 459200101 DEPOSIT QTY: 40.00000 LOCATION: 94 REGISTRATION 								
REF ID: 2000033000030198 CUST ACCT NUM: DEPOSIT QTY: 40.00000 LOCATION: 94 REGISTRATION ELLIOT JAFF								
CUSIP: 459200101 DEPOSIT QTY: 40.00000 LOCATION: 94 REGISTRATION ELLIOT JAFF								
CUSIP: 459200101 DEPOSIT QTY: 40.00000 LOCATION: 94 REGISTRATION ELLIOT JAFF								
REGISTRATION ELLIOT JAFF								
ELLIOT JAFF								
ELLIOT JAFF								
ELLIOT JAFF								
ELLIOT JAFF								
C/O DRESS BARN	ELLIOT JAFF							
PF6: PREV PF7: MENU PF8: EXIT FUNC PF9: SIGN 0	FF							
4B ():00.1 ()	01							

7 Type the required information on the Document Deposit – Process screen.

000000		PARTICIPANT	TRUST COMPANY CUSTODY SYSTEM POSIT – PROCESS		12/03/08 11:16:40 PAGE 01 OF 01
REF ID: 200003 CUSIP: 459200		CUST ACC DEPOSIT		L	OCATION: 947
		NEW LOC	SAME DAY		
			 - - -		
DOCUMENT CODE	EXP DATE	STATE CODE	L DOCUMENT CODE		STATE CODE
PF1: UPDATE	PF2: LOC CO	DES PF4:	DOC CODES PF6	: PREV	
PF7: MENU	PF8: EXIT F	UNC PF9:	SIGN OFF PF1	0: PG BWD	PF11: PG FWD

8 Press **ENTER** to validate the data.

If there are no errors, the message PRESS PF1/13 TO UPDATE appears.

9 Press **PF1/13**.

The message UPDATE SUCCESSFUL appears.

TRANSFERRING SECURITY FROM THE FREE POSITION AND REQUESTING BREAKDOWNS

Use this procedure when you want to transfer security from the DTC free position and request certificates in specific denominations from the transfer agent.

1 Log in to the PTS **CUST** function and type **3** in the **Option** field on the Main Menu.

The Transfer From DTC Free – Process screen appears.

000000. TR	DEPOSITORY TRUST COMPANY PARTICIPANT CUSTODY SYSTEM ANSFER FROM DTC FREE - PROCESS	12/03/08 11:43:13
AGT ID:	DEPOSIT QTY:	TRF TYPE: _ CITIZEN/LP: _ CORR ACT:
PF1: UPDATE PF7: MENU	PF2: LOC CODES PF8: EXIT FUNC	 PF4: BREAKDOWN PF9: SIGN OFF

- **2** Type information in the required fields.
- **3** Press **ENTER** to validate the data.

If there are no errors, the message PRESS PF1/13 TO UPDATE appears

4 Press **PF1/13**.

The message UPDATE SUCCESSFUL appears.

5 Press **PF4/16** on the Transfer From DTC Free – Process screen.

The Transfer From DTC Free – Process Breakdown screen.

PRESS PFI TO UPDATE	DEPOSITORY T PARTICIPANT CU TRANSFER FROM DTC	ISTODY SYSTE	M	12/03/08 11:44:54
REF ID: 20081203005 CUSIP: 459200101	0 CUST ACCT N Deposit QTV		10000 L	OCATION: 901
	BREAF	(DOWN		
NUM 001 001 	DENOMINATION 100.00000 500.00000	008	DENOMINATION 50.00000)
PF1: UPDATE PF7: MENU	PF2: LOC (PF8: EXIT			REGISTRATION SIGN OFF

- **6** Type information in the following fields:
 - Num
 - Denomination
- 7 Press **PF1/13** to update.

TRANSFERRING SECURITY FROM CUSTODY TO THE DTC FREE POSITION AND REQUESTING BREAKDOWNS

Use this procedure when you want to transfer security from the Custody position to the DTC free position and request certificates in specific denominations from the transfer agent.

 Log in to the PTS CUST function and type 4 in the Option field on the Main Menu and press ENTER.

000000.	DEFOSITORY TRUS PARTICIPANT CUST RANSFER FROM CUSTODY -	DDY SYSTEM	03/15/07 14:06:07 PAGE 01 OF 01
REF ID \$ 20060502MEX00071 200612215LX00106		CUSIP DEPOSIT 459200101 459200101	QUANTITY LOC 1.00000 902 15.00000 902
PF2: HEIP PF9: SIGN OFF	PF7: MENU PF10: PG BWD 3:00.1)	PFS: FXIT FUNC PF11: PG FWD 11/02

The Transfer From Custody – Deposit Summary screen appears.

2 Type **S** next to the reference number you select and press **ENTER**.

The Transfer From Custody – Process screen appears.

ENTER DESTINATION/LOCATIO	W 03/15/07 EM 14:08:15 OCESS PAGE 01 0= 01	
REF ID: 2006D302MEX00071 CUSIP: 459200101	CUST ACCT NUM: DATA N DEPOSIT QTY: 1.0000	
TRANSFER QTY TRANS 1.00000 20070	SFER REF ID DEST/LOC 3150005 010_	MAEL TYPE TRF TYPE
TAXPAYER ID TAX	K wH CITIZEN/_P	LIT CD UIT CUSEP
REGISTRAT.	tōn —	HAIL TO NAME/ADDRESS
PF1: UPDATE PF2: LOC CO PF7: MENU PF8: EXIT		S: HELP P=6: PREV 10: PG BKW P=11: PG FWD 11/46

3 Type applicable information in the following fields:

Rush Ind

- Location
- Mail Type
- TRF Type
- Taxpayer ID

Other fields are optional.

4 Press **ENTER** to validate the data.

If there are no errors, the message PRESS PF1/13 TO UPDATE appears

5 Press **PF1/13**.

The message UPDATE SUCCESSFUL appears.

PROCESSING WITHDRAWALS

Use this procedure when you want to withdraw securities from your custody account.

- **1** Log in to the PTS **CUST** function, and type **5** in the **Option** field on the Main Menu.
- **2** Type information in any of the following fields:
 - Ref ID
 - CUSIP
 - Cust Acct Num
- **3** Press **ENTER**.

The Withdrawal – Deposit Summary screen appears.

000000	DEPOSITORY TRU PARTICIPANT CU: WITHDRAWAL - DE	STODY SYSTEM	1	1/20/06 0:42:09 1 OF 03
REF ID	CUST ACCT NUM		DEPOSIT QUANTITY	LOC
5 13960628NY 0521 19960628NY 054 19960712NY 057. 19970625NY 000: 19971231NY 006: 19971231NY 006: 19980429CI 000: 19980824NY 001: 19980824NY 001: 199906015F 008: 19990602NY 003:	012345678 012345678 012345678 012345678 012345678 012345678 012345678 012345678 012345678 012345678 012345678	459200101 459200101 459200101 459200101 459200101 459200101	$\begin{array}{c} 100.00000\\ 65.00000\\ 41.00000\\ 100.00000\\ 50.00000\\ 50.00000\\ 8.00000\\ 10.00000\\ 100.00000\\ 200.00000\end{array}$	903 903 902 903 903 903 903 902 903 903 903
PF2: HELP PF9: SIGN OFF	PF7: MENU PF10: PG BU	ND		IT FUNC
A 8	0:00.1			10/002

Full Withdrawal

- **1** Type **S** to the left of a selected item.
- 2 Press ENTER.

The Withdrawal Process screen appears.

0000001	DEPOSITORY TH PARTICIPANT CU WITHDRAWAL	USTO Y SYSTEM	11/20/06 10:42:47
REF ID: 19960628NY 052 CUSIP: 459200101 SAME/NEXT DAY: 5	CUST ACCT M DEPOSIT QT	NUM: 012345678 Y: 100.00000	LOCATION: 903
DEST CODE	MAILING TYPE	MAILING A	ADDRESS
1. PICK UP 2. DELIVER 3. MAIL TO CUSTOMER 4. NY WINDOWS 5. RESTRICTED DEPOSIT 6. NFE DEPOSIT	1. 1ST CLASS 2. FEDEX 3. DFL 4. UPS 5. AIRBORNE		
PF1: UPDATE PF6: PREV PF7:	MENU	PF8: EXIT FUNC	L PF9: SIGN OFF
48 · · · · · · · · · · · · · · · · · · ·	.1		10/019

3 Type the applicable information in the following fields:

- Same/Next Day
- Dest Code
- Cust Acct Num
- 4 Press **PF1/13**.

Partial Withdrawal

- **1** Type **D** to the left of a selected item on the Withdrawal Deposit Summary screen.
- **2** Press **ENTER**.

The Withdrawal – Certificate Summary screen appears.

00000	00		P	ARTICIP	ORY TRUST ANT CUSTON - CERTIFIC	DY SYSTE	м		PAGE	10	20/06 55:05 0F 01
REF II CUSIP		980429c1 0 9200101 CERT ID 90000k0186	00 03 I		ACCT NUM: IT QTY: NATION 8.00000	0123456 8.00100 IS.0A DAT 03/15	NCE	RES IND	LOCATJ TRICTION EXP DATE	(ON :	902
PF2: PF8:			PF6: PF9:	PREV SIGN OF	FF	₽₹7: ₽₹10:	MENU PG B		PF11	L: P	G FW

3 Type:

- **R:** to view registration details
- **P:** to the left of a selected item to select a certificate for partial withdrawal.

4 Press ENTER.

If you typed **R** the Withdrawal – Certificate Registration screen appears.

000:	DEPOSITORY PARTICIPANT CU DOCUMENT WITHDRA		HH/DD/YY HH:HH:SS
PEF ID: 19960706SF CUSIP: 786514208		NUM: 3025-4511 Y: 2.00000	LOCATION: 903
	REGIST	DEPTON	
	KB9131	FAILON	
	JOHN JAMES		
	6500 S CENTPAL ENGLEWOOD CO 9999	9	
		-	
PF6: PDEV	PF7: MENU	PFOL BUT FUNC	PF9: SIGN OFF
PP61 PREV	VF71 RENO	PPOL EXT PONC	PPS: SIGN OFF
		7	

If you typed **P**, the Withdrawal – Process screen appears.



5 Type the applicable information in the following fields on the Withdrawal – Process screen

- Same/Next Day (S/N)
- Dest Code
- Mailing Type (leave blank for NY Window)
- Mailing Address (leave blank for NY Window)

6 Press **PF1/13** to update.

CHANGING CUSTODY BOX LOCATION

Use this procedure when you want to move your certificates from one custody box location to another.

- **1** Log in to the PTS **CUST** function, and type **6** in the **Option** field on the min Menu.
- **2** Type the applicable information in any of the following fields:
 - Ref ID
 - CUSIP
 - Cust Acct Num
- **3** Press **ENTER**.

The Location Movement – Deposit Summary screen appears displaying all deposited items dependent on the information you entered (Step 2).

00000:	DEPOSITORY TRU PARTICIPANT CUS LOCATION MOVEMENT -			03/15/07 15:07:45 01 OF 01
REF ID	CUST ACCT NUM	CLSIP	CEPOSIT QUANTITY	LOC
2006061300000347 2006063003120001 2007011003120001	DATA WASKED DATA WASKED DATA WASKED	989485Hx8 989485Hx8 989485Hx8	21,262,50000 6,075,00000 10,531,25000	901 901 901
PF2; HELP PF9; SIGN OFF	P=7; MENU P=10: P3 Bw ~:00.1	'D		KIT FUNC 3 FWD 10/D2

4 Type **S** next to the deposit you want to move.

Note: You can only select one item at a time.

5 Press **ENTER**.

The Location Movement – Process screen appears.



6 Type the number of the box to which you want to move your deposit in the **New Location** field.

Note: The new location cannot be the DTC Free location (010). Press PF2/14 to view a list of location codes.

7 Press **PF1/13** to update.

CHANGING CUSTOMER ACCOUNT NUMBERS AND VIEWING INFORMATION

Use the applicable one of these procedures when you have to change a customer account number on a single position or certificate, globally or you want to view certificate activity, audit trail or customer information for deposits accepted into the vault using the AIM Custody Interface.

Changing customer account number on a single position or certificate

- **1** Log in to the PTS **CUST** function, and type **7** in the **Option** field on the Main Menu.
- 2 Press ENTER.

DEPOSITORY TRUST COMPANY AIM CUSTODY INTERFACE 11/15/2006 12:35:35 1. INQUIRY 2. CUSTOMER ACCOUNT UPDATE - SINGLE CERTIFICATE 3. CUSTOMER ACCOUNT GLOBAL UPDATE CUSIP ID: 459200101 CERTIFICATE ID: ENTER YOUR SELECTION: 2 PF7: MAIN MENU PF8: EXIT FUNC 0:00.1 12/15/2006 12:35:35 11/15/2006 12:35:35 12:35 12:35:35 12:35

The AIM Custody Interface screen appears.

3 Type 2 in the Enter Your Selection field.

4 Press ENTER.

The Customer Account Update Single Certificate screen appears.

PART ID: 5 REGISTRATION	CUSIP:	450200				
REGISTRATION		459200	10 1	CERT I	D: _	
TYPE	REGI TEXT	STRATION				
BOX ID: OLD CUSTOMER AC	DENOMINATION: COUNT:				POSIT :	
	PF3: PREV PF9: SIGN		PF7:	MAIN MENU	PF8:EXIT	FUNC

- **5** Type information in the following fields:
 - CUSIP
 - Cert ID
- 6 Press ENTER.

The message ENTER A NEW CUSTOMER ACCOUNT NUMBER appears.

7 Type the new customer account number.

The message PLEASE PRESS PF1 KEY TO CONFIRM AND UPDATE appears.

8 Press **PF1/13**.

Note: If there are multiple certificates in that position repeat steps 2 through 8 for each certificate.

Changing customer account number globally

- **1** Log in to the PTS **CUST** function, and type **7** in the **Option** field on the Main Menu.
- 2 Press ENTER.

The AIM Custody Interface screen appears.

SEEK.		
000000	DEPOSITORY TRUST COMPANY AIM CUSTODY INTERFACE	11/20/2006 11:04:38
	1. INQUIRY 2. CUSTOMER ACCOUNT UPDATE - SINGLE CERTIFICATE 3. CUSTOMER ACCOUNT GLOBAL UPDATE	
	CUSIP ID: CERTIFICATE ID:	
ENTER	YOUR SELECTION: 3	
PF7: MAIN MENU	PF8: EXIT FUNC PF9: S	IGN OFF
	@:00.1	19/043

- **3** Type 3 in the Enter Your Selection field.
- 4 Press ENTER.

The Customer Account Global Update screen appears.

0000000.			SITORY TRUS ACCOUNT GL				11/20/2000 11:06:33
PART ID:	0000000						
PLEASE EN	TER OLD AND	NEW CUSTOM	ER ACCOUNT	IDS:			
	OLD CUST AC	CT: (
	NEW CUST AC	CT:					
		PF3: PRE PF9: SIG		PF7:	MAIN MENU	PF8:	EXIT FUN
		00.1					17.0

- **5** Type the customer account number in the **Old Cust Acct** field.
- 6 Press ENTER.

The message ENTER A NEW CUSTOMER ACCOUNT NUMBER appears.

- **7** Type the new customer account number in the **New Cust Acct** field.
- 8 Press ENTER.

The message PLEASE PRESS PF1 KEY TO CONFIRM AND UPDATE appears.

9 Press **PF1/13**.

Viewing Information

- **1** Log in to the PTS **CUST** function, and type **7** in the **Option** field on the Main Menu.
- 2 Press ENTER.

The AIM Custody Interface screen appears.

	SEEK							
	00000	00:				ST COMPANY NTERFACE		11/15/2006 12:23:50
			2.	INQUIRY CUSTOMER ACCO CUSTOMER ACCO		PDATE - SINGLE LOBAL UPDATE	CERTIFICATE	
				CUSIP ID: RTIFICATE ID:	45	9200101		
			ENTER YO	UR SELECTION:		-		
	PF7:	MAIN	MENU	PF8:	EXIT	FUNC	PF9: \$1	GN OFF
-6	8		ő •	00.1				10/042
- 10			Q :	00.1				19/045

3 Type 1 in the Enter Your Selection field.

4 Press ENTER.

The AIM - Custody Inquiry Menu appears.

0000000		ITORY TRUST COMPAN TICIPANT CUSTODY S		DATE: 11/15/06 TIME: 12:24:27
	AIM	- CUSTODY INQUIRY	MENU	
	1) CER 2) ACT 3) AUD 4) CUS	TIFICATE INQUIRY IVITY INQUIRY DIT TRAIL INQUIRY TOMER ACCT INQUIRY		
	ENT	ER YOUR SELECTION	1	
	PF7: MAIN SCREEN PF3: PREV SCREEN	PF8: EXIT FUNC	PF9: SIGNO	FF
MILL I	G :00.1			18/055

5 Type one of the following in the **Enter Your Selection** field.

- 1: to view certificate information
- 2: to view activity
- **3**: to view audit trail information
- **4**: to view customer account information.

6 Press ENTER.

The screen displays the information you requested.

Note: You can sort displayed information by entering information in the fields at the top of the screen.

7 Type **S** to the left of a selected item and press **ENTER** to view details for that item.

Viewing Certificate Information

1 Log in to the PTS **CUST** function, and type **7** in the **Option** field on the Main Menu.

2 Press ENTER.

The AIM Custody Interface screen appears.

SEEK		
0000000	DEPOSITORY TRUST COMPANY AIM CUSTODY INTERFACE	11/15/2006 12:23:50
	L. INQUIRY 2. CUSTOMER ACCOUNT UPDATE - SINGLE 3. CUSTOMER ACCOUNT GLOBAL UPDATE	CERTIFICATE
	CUSIP ID: 459200101 CERTIFICATE ID: YOUR SELECTION: 1	
PF7: MAIN MENU	PF8: EXIT FUNC	PF9: SIGN OFF
28 .	:00.1	I 19/043

- **3** Type **1** in the **Enter Your Selection** field.
- 4 Press ENTER.

The AIM - Custody Inquiry Menu appears.

0000000		ITORY TRUST COMPAN TICIPANT CUSTODY S		DATE: 11/15/06 TIME: 12:24:27				
	AIM	- CUSTODY INQUIRY	Y MENU					
	1) CERTIFICATE INQUIRY 2) ACTIVITY INQUIRY 3) AUDIT TRAIL INQUIRY 4) CUSTOMER ACCT INQUIRY							
		TER YOUR SELECTION						
	: MAIN SCREEN PREV SCREEN	PF8: EXIT FUNC	PF9: SI					
4 11	@:00.1			18/055				

5 Type **1** in the **Enter Your Selection** field.

The Certificate Inquiry screen appears.

PR09 - SELECT CERT		ITORY TRUST	COMPANY	DATE: 12\03\08
000000.		TIFICATE INQ		TIME: 11:19:46
PART ID : 000000! DTC BOX #:	CUSIP : CUST ACCT:		REI	-OF DATE : 12022008 F#:
CERT ID :	SEQ	ID:	SEC DESCR:	INTER BUSINESS MACH <
2000000	SEQ DTC	CERT IN-A	CTY OUT-ACT	Y
CERT ID	ID BOX#	STATUS DA	TE DATE	DENOMINATION
Q00ZQA356921	000 000094	7 1105	2004	3.
00ZQA403883	000 000094	7 0515	2008	6.
000ZQA566314	000 000094	7 1211	2003	30.
000084766686	000 000094	7 0515	2008	1.
00000A125357	000 000094	7 1211	2003	8.
000000072114	000 000094	7 0515	2008	1.
000000269183	000 000094	7 1105	2004	1.
00000D303530	000 000094	7 1211	2003	2.
00000E084243	000 000094	7 0525	2001	··· 3.
00000K316833	000 000090	5 1125	2002	1.
TOTAL CERTIFIC	ATES: 1	7	AITH QUANTITY:	1,556.
PF2:HELP (BOX)	PF3:PREV PF9:SIGN		PF7:MAIN MENU PF10:PG BACK	PF8:EXIT FUNC PF11:PG FWD

You can sort the deposits by typing information in any of the search fields at the top of the screen and pressing ENTER.

6 Type **S** to the left of a selected certificate to view details for that certificate.

000000	DEPOSITORY TRU	UST COMPANY	DATE: 12\03\08
	CERTIFICATE	INQUIRY	TIME: 11:20:08
PART ID : 000000 0	CUSIP : 4592	00 10 1 A	S-OF-DATE : 12022008
DTC BOX #: 0000947 0	CUST ACCT:	R	EF #: 2004110400
CERT ID : 000ZQA35692	21 SEQ ID: 000	SEC DESCR	: INTER BUSINESS MACH(
IN-ACTY DATE : 1 OUT-ACTY DATE : MICRO\IMAGE # : T REG\BEAR IND (Y\N): R NEGOT STATUS (Y\N): Y CUSTODY ID : 0 DOCUMENT IND (Y\N): ORIG IN-ACTY DATE : 1 DENOMINATION : PART INTERNAL REF#: 2	143105110339 R Y 0431065065 11052004 3.	JOURNAL PROCESSI TRANSFER AGENT TRANSFERABILITY TAX ID TYPE TAXPAYER ID RESTRICTED IND(1 RESTRICT EXP DAT	NG DATE : : TYPE (Y\N): Y : 0 : -YES.0-NO): 0 E :
			PF6:PREV SCREEN PF12:FAX-EMAIL IMAGE 01/001

7 Press **PF5/17** on any of the registration screens to access document information.

Viewing Activity Information

- **1** Type 2 in the Enter Your Selection field.
- 2 Press ENTER.

The Activity Inquiry screen appears.

PR01 - ENTER SELEC 00000000[PART ID : DTC BOX #: CERT ID :	CUSIP : 459200 10 1 CUST ACCT: SEQ ID: SEC	DATE: 03\30\07 TIME: 12:12:08 A\$-OF DATE : 03152007 REF #: DESCR:
CERT ID	SEQ DTC CERT IN-ACTY D ID BOXÀ STATUS DATE	DATE DENOMINATION
PF2:HELP (BOX)	PF3:PREV SCREEN PF7:MAIN	MENU PF8:EXIT FUNC
PPZ:HELP (BOX)	PF9:SIGNOFF PF10:PG	

- **3** Type the CUSIP number in the **CUSIP** field.
- **4** Type the as-of date in the **As-Of-Date** field.
- **5** Press **ENTER**.

The screen displays the deposit information.

PRC9 - SELECT CERT	FICATE FOR DETAILED DEPOSITORY TR ACTIVITY 1		DATE: 03\30\07 TIME: 12:13:15
PART ID : 0000000 DTC BOX #: CERT ID :	CUSIP : 4593 CUST ACCT: SEQ IC:	200 10 1 AS-OF REF # SEC DESCR: IN	
CERT ID s 000000451787 - 000000451787 - 000000451787 000000471010 000000471010	SEQ DTC CERT ID 30X# STATUS 000 0000901 000 0000902 000 0000905 000 0000902 E N D	IN-ACTY DATE DATE 03152007 05032006 03152007 03152007 122222006 03152007 0 F D A T A	DENOMINATION 1. 16. 16. 16. 16.
PF2:HELP (BOX)	PF3:PREV SCREEN PF9:SIGNOFF	PF7:MAIN MENU PF10: PG BACK	PF8:EXIT FUNC PF11:PG FWD
41	(c):00.1		12/03

6 Type **S** to the left of a selected certificate to view detail information for the certificate.

0000000		TRUST COMFANY 'INQUIRY	DATE: 03\30\07 TIME: 12:14:56
	1 CUST ACCT: DATA		AS-OF-DATE : 03152007 REF #: 20060502MEX00071 R: INTER BUSINESS NACH<
REGUBEAR IND (YY NEGDT STATUS (YY CUSTODY 3D DOCIMENT IND (YY ORIG IN-ACTY DAT DENDMENATION	: Q61233034065 N): R	TEANSFERABILITY TAX ID TYPE TAXPAYER ID RESTRICTED IVD RESTRICT EXP DA OFAC IND:	TYPE (Y\N): 4 (Y\N): 4 (Y\N): 5 (1-YES,0-NO):) (1-YES,0-NO):)
PF2:HELP BOX PF7:MAIN MENU	9=4:VI&W IMAGE 9=8:EXIT FUNC 0::00.1	PF5:NEXT SCREEN PF9:SIGNCFF	PFG:PREV SCREEN PF12:FAX-EMALL IMAGE 01/01

7 Press PF4/16 to view an image of the certificate or PF12/24 to request that a fax or e-mail of the image be sent to you and up to six other persons.

Viewing Audit Trail Information

- **1** Type 3 in the Enter Your Selection field.
- 2 Press ENTER.

The Audit Trail Inquiry screen appears.

PROL - ENTER SELECTION C	DEPOSITORY TRU AUDIT TRAIL	ST COMPANY	DATF: 03\30\07 TIME: 12:21:31
PART 1D : CUS DTC BOX #: CUS CERT 1D : 0000000451787	TP: 45920 TACCT: SEQ ID:	0 10 1 AS-OF REF # SEC DESCR:	CATF :
CERT ID ID	DTC CERT I BOX# STATUS	N-ACTY OUT-ACTY DATE DATE	DENDMINATION
	PF3: PREV SCREEN PF9: SIGNOFF	PF7:MAIN MENU PF10:PG BACK	PF8:EXIT FUNC PF11:PG FWD
4 2 G : 00).1		07/13

- **3** Type the certificate identification number in the **Cert ID** field.
- 4 Press ENTER.

The screen displays the audit trail information for the certificate you selected.

PR09 - SELECT CERTI	FICATE FOR DETAILED DEPOSITORY TR AUDIT TRAIL		UE THE BROWSE DATE: 03\30\07 TIME: 12:21:56
PART ID : 3000000 DTC BOX #: CERT ID : 30000045	CUST ACCT:	REF	DATE : 03302007 F: TTER BUSINESS MACH <
CERT ID 5 000000451787	ID BOX# STATUS 000 0000901 000 0000902	EN-ACTY DUT-ACTY DATE DATE 03152007 05052006 03152007	DENCMINATION 1. 1.
	END	OF DATA	
PF2:HELP (60X)	PF3: PREV SCREEN PF9: SIGNOFF	PF7:MAIN MENU PF10:PG BACK	<pre>>F8:EXIT FUNC >F11:PG FWD 12/03</pre>

5 Type **S** to the left of the certificate ID and press **ENTER** to view details for the certificate.

0000.000	DEPOSITORY AUDIT TR	TRUST COMPANY AIL ENQUIRY	0ATE: 03\30\07 TIME: 12:22:40
			AS-CE-DATE : 03302007 REF #: 20060502MEX00071 CR: INTER BUSINESS MACH<
DOCUMENT IND (Y ORIG IN-ACTY DA DENOMINATION	03152007 Q61233034065 N): R N): N 0612365026 N): TE: 05032006 1. EF#: 20060502MEX0007	RESTRICTED INDU RESTRICT EXP DO	(1-YES, O-NO): O
PF2:HELP BOX PF7:MAIN MENU	PF4:VIEW IMAGE PF8:EXIT FUNC @:DD.1		PF5:PREV SCREEN PF12:FAX-EMAIL IMAGE 01/01

6 Press **PF4/16** to view an image of the certificate or **PF12/24** to request that a fax or e-mail of the image be sent to you and up to six other persons.

Viewing Customer Account Information

- **1** Type 4 in the Enter Your Selection field.
- 2 Press ENTER.

The Customer Account Inquiry screen appears.

0000000	DEPOSITORY TR CUSTOMER AG		DATE: 03\30\07 TIME: 12:32:41
PART ID : DTC BOX #: CERT ID :	CUSIP CUST ACCT: SEQ ID:	AS-OF REF & SEC DESCR:	DATE : :
	SEQ DTC CERT ID BOX# STATUS	IN-ACTY OUT-ACTY DATE DATE	DENOMINATION
PF2:HELP (BOX)	PF3:PREV SCREEN PF9:SIGNOFF	PF7:MAIN MENU PF10:PG BACK	PF8:EXIT FUNC PF11:PG FWD
4.0	0:00.1		06/45

3 Type the customer account number in the **Cust Acct** field and press **ENTER**.

The screen displays the information.

PR09 - SELECT CERT	DEPOSITORY TR	INFORMATION OR CONTIN RUST COMPANY CCT INQUIRY	DATE: 03\30\07 TINE: 12:33:21
PART ID : 0000000 DTC BOX #: CERT ID :	CUSIP : GOLA CUST ACCT: DATA MA SEQ ID:		DATE :
S 0000000058	SEQ DTC CERT IC BDX# STATUS DC0 0000902	IN-ACTY OUT-ACTY DATE DATE 06202005	DENOMINATION 1,417,200.
		-	MORE DATA==>
PF2:HELP (BOX)	PF3:PREV SCREEN PF9:SIGNOFF	PF7:MAIN MENU PF10:PG BACK	PF8:EXIT FUNC PF11:PG FWD
41	Ø:00.1		06/34

4 Type **S** to the left of a selected of a selected certificate and press **ENTER** to view details for that certificate.

000000		TRUST COMPANY ACCT INQUIRY	DATE: 03\30\07 TIME: 12:35:10
	CUSIP : G CUST ACCT: DATA COOSS SEQ ID: (AS-OF-DATE : 03302007 REF #: 20060616NYX00075 CR: AFRICAN COPPER +
MICRO\IMAGE # REG\BEAR IND (Y\N NEGOT STATUS (Y\N CUSTODY ID DOCUMENT IND (Y\N ORIG IN-ACTY DATE DENOMINATION	(): Y : 0617065034	TRANSFER AGENT TRANSFERABILIT TAX ID TYPE TAXPAYER ID RESTRICTED IND RESTRICT EXP D/ OFAC IND:	SING DATE : 00002700 Y TYPE (Y\N): Y 0 MA5KED (1-YES, 0-NO): 1
PF2:HELP BOX PF7:MAIN MENU	PF4:VIEW IMAGE PF8:EXIT FUNC	PF5:NEXT SCREEN PF9:SIGNOFF	PF6:PREV SCREEN PF12:FAX-EMAIL IMAGE 01/01

5 Press **PF4/16** to view an image of the certificate or **PF12/24** to request that a fax or e-mail of the image be sent to you and up to six other persons.

New York Window Settlement

DTC provides physical settlement and clearance for all positions held in Custody through the New York Window (NYW). You can withdraw securities from custody and have your messenger pick them up at the NYW. The Return to Firm service also offered by the NYW allows you to have some or all of a current day's certificates returned to you at your request.

FULL DELIVERIES

Use this procedure when you want to process physical settlement deliveries.

- **1** Log in to the PTS **CUST** function, and type **8** in the **Option** field on the Main Menu.
- 2 Press ENTER.

The Physical Settlement menu screen appears.

ENTER REFERENCE I	D OR CUSEP DEPOSETORY TRUST COMPANY PARTICEPANT CUSTODY SYSTEM PLYSECAL SETTLEMENT	04/02/07 17:06:59
	 DELIVER ANTICIPATED RECEIVE ENQUIRY 	
	OPTION CUSTOOM END: Y REF 12 CUSIP:	
PET: MAIN MENU	PFI: EXIT FUNC	PER: SIGN OFF
4 <u>R</u>	49:0 0.1	19/48

3 Type **1** in the **Option** field.

4 Type **Y** in the **Custody Ind** field if the certificate is to be pulled from the vault or **N** if the certificate came in as a receive and is being turned around.

Note: If the certificate is to be pulled from the vault, you must enter the CUSIP number or the Reference ID.

5 Press **ENTER**.

The screen displays the data.

00000.	DEPOSITORY TRUS PARTICIPANT CUST PHYSICAL SETT	ODY SYSTEM	04/02/07 17:08:00 PAGE 01 OF 01
REF ID <u>5</u> 2005102400000272	CUST ACCT NUM	CUSIP 461139DR5	DEPOSIT QUANTITY LOC 20,000.00000 901
ENTER 'S' TO SEL ENTER 'D' FOR DE'	ECT ITEM(S) TAILS		
PF6: PREV PF9: SIGN OFF	PF7: MENU PF10: PG BWD		PFS: EXIT FUNC PF11: PG FWD 10/07

- **6** Type **S** next to the deposit you want to be delivered.
- 7 Press ENTER.

The Physical Settlement – Deliver screen appears.

ENTER SETTLEME		
	DEPOSITORY TRUST COMPANY	04/02/07
00000	PARTICIPANT CUSTODY SYSTEM	17:18:46
		01 OF 02
	DELIVER	
TRADE DATE:	/ / SETTL DT:// COUPON RATE:/ / / / SHR QTY: 20,000.00000	
SECURITY ID:	COUPON RATE:	
MATUR DATE:	// SHR QTY: 20,000.00000	
BROKER NO:	BROKER MM: DUE BILL / SETTL AMT: DUE BILL / TRANS REF NO: 0704020312	
CUST ACCT:	SETTL AMT: DUE BILL (ATTCH:
DUE BILL AMT:	TRANS REF ND; 0704020512	-
	SECURITY TY: MOD	E IND: _
CUST ACCT NM:	AS OF STL DT: /	_/_
CUSIP DESC:	INTERVEST MTG CP 40111 D12/01/03 6	
	STANDING INSTRUCTIONS :	
PF1: UPDATE	PF2: NEXT PF5: SJB MENU PF6:	SUMMARY
PF7: MENU	PF8: EXIT FUNC PF9: SIGN OFF	o on and the
01:1	x :00.1	07/15

8 Type the applicable data in the entry fields on the screen that appears.

Note: You can press ENTER after each entry and the system will indicate the next mandatory field.

9 Press P**F2/14** to go to the next page where you can enter the applicable data.



10 Press **PF1/13** to update.

PARTIAL DELIVERIES

Use this procedure when you want to process partial physical settlement deliveries.

- **1** Log in to the PTS **CUST** function, and type **8** in the **Option** field on the Main Menu.
- 2 Press ENTER.

The Physical Settlement menu appears.

ENTER REFERENCE ID 00000	OR CUSEP DEPOSETORY TRUST CEMPANY PARTICEPANT CUSTODY SYSTEM PLYSECAL SETTLEMENT	04/02/07 17:06:59
	 DELIYER ANTICIPATED RECEIVE INQUIRY 	
	OPTION 1 CUSTODY IND: REF 12 CUSIP:	
PF7: MAIN MENU	PFS: EXIT FUNC	PFB: SIGN OFF

- **3** Type **1** in the **Option** field.
- **4** Type **Y** in the **Custody Ind** field.

Note: You must enter the CUSIP number or the Reference ID.

5 Press **ENTER**.

The screen displays the data.

0000000	DEPOSITORY TRUS PARTICIPANT CUST PHYSICAL SETT	ODY SYSTEM	1	5/03/07 7:07:51 1 pF 02
REF ID * 19950628NY 0540 * 19950712NY 0573 1997123LNY 0062 1997123LNY 0064 19930824NY 0011 199906015F 0084 20000515NY 0074 20000825NY 0115 20000925NY 0115 20000925NY 0116	DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED DATA MASKED	CUSIF 459200101 459200101 459200101 459200101 459200101 459200101 459200101 459200101	DEPOSIT QUANTITY 55.00000 41.00000 50.00000 10.000000 100.00000 208.00000 700.00000 175.00000 74.00000	LOC 903 903 903 903 903 903 903 903 903 903
ENTER 'D' FOR DET PF6: PREV PF9: Stign off	CT ITEM(S) ACLS PF7: NENU PF10: PG BWC 00.1)		IT FUNC FWD 17/002

6 Type **D** next to the deposit you select for delivery and press **ENTER**.

The screen displays all the certificates for the CUSIP.

	DEPCSITORY TRUST ARTICIPANT CUSTOR PHYSICAL SETTLE	Y SYSTEM	05/03/07 17:10:15 PAGE 01 OF 01
REF ID: 20000515NY 0074 CUSIP: 459200101 CERT ID	CUST ACCT NUN: DEPOSIT QTY: DENOMINATION	208.00000	LOCATION: 903 STRICTION EXF DATE
P 002QA732876 ■ 0002QH7313D0 0000DA11C774 00000A261312 00000K089006 00000K089006 00000K056542	81.00000 100.00000 5.00000 1.00000 11.00000 10.00000	05/26/79 N 07/26/79 N 05/10/73 N 05/01/73 N 07/15/70 N 01/10/69 N	
	SUE MENU SIGN OFF	P=6: FREV P=10: FG BWD	PF7: MENU PF11: PG FVD 13/010

- **7** Type **P** to the left of the certificate you want to deliver.
- 8 Press ENTER.
- **9** Type the applicable information in the entry fields on the screen that appears.
- **10** Press P**F2/14** to go to the next page where you can enter the applicable data.
- **11** Press **PF1/13** to update.

RECEIVES

Use this procedure when you want to process partial physical settlement receives.

- **1** Log in to the PTS **CUST** function, and type **8** in the **Option** field on the Main Menu.
- 2 Press ENTER.

The Physical Settlement menu appears.

ασαασ	EEPOSITORY TRUST COMPANY PARTICIPANT CUSTODY SYSTEM PHYSICAL SETTLEMENT	04/02/07 17:58:31
	1. DELIVER 2. ANTICIPATED RECEIVE 3. INQUERY	
	OFTICN: 2 CUSTCDY IND: REF ID: CUSIF:	
PF7: MAIN MENU	PF3: EXIT FUNC	PF9: SIGN OFF
- Hora	2:00.1	16/38

3 Type **2** in the **Option** field.

4 Press ENTER.

The first Anticipated Receive screen appears.

C00001	PARTICIPANT CUS PHYSICAL SET	TLEMENT	04/02/07 17:56:42 PAGE 01 OF 02
SECURITY ID:	ANTECIPATED / / SETTL DT: COUPON RATE / SHR QTY: BROKER VN:	: <u> </u>	CUSI >:
CUST ACCT: EUE BILL ANT: .INK ID: CUST ACCT NM:	BROKEK VM: SETTL AMT: TRANS REF NO SECURITY TV	0704020312	NGDE IND:
CUSIP DESC: _	STANDING INST		TRANSFER (Y/N): _
FF1: UPDATE F6: PREV		F5: TRANSFERS F5: EXIT FJNC	2F9: SIGN OFF 07/15

- **5** Type the applicable information in the entry fields.
- **6** Type the box number in the **Custody Loc**. field if the certificate will be put in the vault and **N** in the **Transfer** field.
- **7** Press **ENTER**. The system prompts you to press **PF2/14** to go to the next page.
- **8** Press P**F2/14** to go to the next page where you can enter the applicable data.

		POSITORY TRUS				f 33 /
600COG:	PAR	TICIPAN US				: 50:
		PHYSICAL SET ANTICIPATED R			PAGE 🚺	٩F
TRACE DATE: 🛃				03	CUSTP: 15970	mor
SECLRITY JD:	IST	COUPON RATE:				
NATUR DATE:	1-1-	SHR OTY:	1.00000			
CUST ACCT:		SETTL AMT:			OVE BILL ATT	CH:
CUE BILL AMT:		TRANS REF NO:	0705030005	0.004		
LINK ID:		SECURITY TY:	CORF		NODE IND:	
LINK ID: SUST AGGT NM: 1	TEST	SECURUTY TY:	CORP		CUSTODY LCC:	29
LINK ID: SUST AGGT NM: 1		SECURUTY TY:	CORP			291 X
LINK ID: SUST AGGT NM: 1	INTERNATIONAL B	SECURITY TY:	NES		CUSTODY LCC:	29 (X
LINK ID: SUST AGGT NM: 1	FEST INTERNATIONAL B	SECURUTY TY:	NES		CUSTODY LCC:	291 X
LINK ID: SUST AGGT NM: 1	INTERNATIONAL B	SECURITY TY:	NES		CUSTODY LCC:	291
LINK ID: SUST AGGT NM: 1	FEST INTERNATIONAL B	SECURITY TY:	NES		CUSTODY LCC:	291 X
LINK ID: SUST AGGT NM: 1	FEST INTERNATIONAL B	SECURITY TY:	NES		CUSTODY LCC:	59 1 ¥
LINK ID: CVST ACCT NM: CUSIP DESC:	TEST INTERNATIONAL B TEST	SECJHUTY TY:	CCRP NE3 UCTIONS :	⊤RA	CUSTODY LCC:	291
UTINK ID: CVST ACCT NM: CUSIP DESC: D	PP2; NEST	SECJHUTY TY:	CORP NES UCTIONS :	TRA	CUSTRDY LCC: ASFER (Y/A):	*
LINK ID: CVST ACCT NM: CUSIP DESC:	PP2; NEST	SECJHUTY TY: DJSINESS MACHI STANDING INSTR	CCRP NE3 UCTIONS :	TRA	CUSTODY LCC:	*

10 Press **PF1/13** to update.

INQUIRY

- **1** Log in to the PTS **CUST** function, and type **8** in the **Option** field on the main Menu.
- 2 Press ENTER.

The Physical Settlement menu appears.

00000	EEPOSITORY TRUST COMPANY PARTICIPANT CUSTODY SYSTEM PHYSICAL SETTLEMENT	04/02/07 17:58:31
	1. BELIVER 2. ANTICIPATED RECEIVE 3. INQUERY	
	OPTICN:	
PF7: MAIN MENU	PF3: EXIT FUNC	PF9: SIGN OFF
413	2:00.1	16/38

- **3** Type **3** in the **Option** field.
- 4 Press ENTER.

The Settlement Inquiry screen appears.

800000	DEPOSITORY TRUST COMPANY NEW YORK WINDOW SYSTEM SETTLEMENT INQUIRY	12/03/08 11:47:23	
	TRANSACTION NUMBER		
I	PARTICIPANT NUMBER - CUSIP - QUANTITY - PROCESS DATE - ITEM STATUS - SETTLEMENT MODE - SETTLEMENT DATE - SETTLEMENT AMOUNT -		
	DESTINATION - Contra Broker -		
	TRANSACTION TYPE - TYPE OF PAPER -		
MONEY SETTLE	MENT TOTALS REPORT -		
PF7: MAIN MENU	PF8: EXIT FUNC	PF9: SIGN OFF	

5 Type the information in the applicable fields.

6 Press ENTER.

The Settlement Summary screen appears.

000	800		DEPOSITORY TRUST COMPANY New York Window System Settlement Summary			12/03/ 11:54: PAGE: 06		
SEL	BROKER	CUSIP	QUANTITY		SETTLE AMT	TRAN TYPE	STATUS	
Ċ	00000 00000 00000 00000	92765V103 465138CQ6 G6635W102 G6635W102	5	000.00000 500.00000 900.00000 238.00000	\$499.90 \$14,337.00	UAP UAP	MADE MADE MADE MADE	
PF6	: UPDATE : PREV SC : SIGN OF				I MENU BACK		PF8: EXIT FUNC PF11: PAGE FWD	

7 Type **S** to the left of a selected item and press **ENTER**.

The Settlement Detail screen appears displaying detail information for the item you selected.

	DEPOSITORY T	RUST COMPANY		12/03/08
000000	NEW YORK WI			11:54:56
	SETTLEMENT DETAIL		PAGE:	
TRANSACTION NUM: 060	32400000308		TRANSACTION TYPE: PARTICIPANT:	
CUSIP: 92765V103 - \	IREXX MEDICAL CORP.	COM		
STATUS: MADE	QUANTITY:	2000000.00000	RECLAIM CD:	
SETTLE DATE: 2006-0	13-24 SETTLE AMT:	\$2,250,000.00	SETTLE MODE:	SS
PROCESS DATE: 2006-0	3-24 ACCOUNT:	004G5087	DESTINATION:	0000928
TRADE DATE: 0001-0	I-01 TICKET ID:		BROKER NUM:	000000
MATURITY DATE: 0001-0	1-01 LINK ID:		PAPER TYPE:	
BANK ABA ID:	BANK NAME:			
BANK ACCT:		032464	AS OF STL DT: 000	01-01-01
STANDING INSTR: REC				
INSTRUCTION CREATED/	RECEIVED ON D	ATE: 2006-03-2	4 TIME: 13.39.49	
PF6: PREV SCREEN	PF8: E	XIT FUNC	PF10:	PAGE BWD
PE7: MAIN MENU	PF9 : 9	TON OFF	PF11:	PAGE FUR

Viewing Custody Information: Transfer, Certificate and Document Status

Use this procedure when you want to view status information for transfers, certificates and documents that have not yet been booked into the vault. For certificate activity, audit trail and customer information for deposits accepted into the vault use the AIM Custody Interface (Option 7).

1 Log in to the PTS **CUST** function, and type **9** in the **Option** field on the Main Menu.

2 Press ENTER.

The Inquiry screen appears.

0000000		SITORY TRUST CON CIPANT CUSTODY S INQUIRY		11/20/06 11:10:47
DATE: 41 20	OG ACTIVITY:	CUSIP: MOVE, PMOV, TRE	REF ID: , ITRF, REJ, TDOC,	CXL ***
PF1: TRANSFE PF7: MENU		DOC PF4: SIGN OFF PF10:	DETAIL PF5: CANC PG BWD PF11: PG F	

- **3** Type the applicable information in any of the following fields:
 - Date
 - Activity
 - CUSIP
 - Ref ID

Valid activities are

- Dep: Deposit
- COD: Certificate on Demand (withdrawal)
- MOVE: Location move
- PMOV: Intra-Participant move
- TFR: Transfer
- Rej: Reject
- TDOC: Trailing Document(s)
- CxI: Cancel

```
4 Press ENTER.
```

The screen displays a list of the activities that match the search you entered or all activities if the entry fields were left blank.

0000000 DATE: 11 20 06 *** VALID ACT:	DEPOSITORY TRUST PARTICIPANT CUSTO INQUIRY ACTIVITY: COO CUSIP: VITY: DEP, COD, MOVE, PMOV,	DY SYSTEM 10:51:29 PAGE 001 OF 001
REF ID	CUSIP QUANTI 52: 459200101 10	TY FROM TO STAT ACTIVITY 00,00000 903 1 PEND COD
PF1: TRANSFER PF7: MENU	F2: CERT PF3: DOC PF F8: EXIT PF9: SIGN OFF PF	
MIR	0:00.1	12/001

- **5** Position the cursor to the left of a selected reference ID and press.
 - **PF1/13**: to access the Transfer Inquiry screen
 - **PF2/14** : to access the Certificate Inquiry screen
 - **PF3/15**: to access the Document Inquiry screen
 - **PF4/16**: to access the Detail Inquiry screen
 - **PF6/18**: to access the Summary screen.

The Imaging, Fax and Email System (IFE)

The Imaging Fax and E-mail System allows you to request that imaged copies of certificates and other deposit-related documents you sent to DTC be transmitted to up to six recipients by either fax or e-mail. You can also obtain copies of legal notices via this system.

Note: Due to the nature of the Internet, an e-mail request may take anywhere from a few minutes to several hours to reach its destination. However, the average time it will take an e-mail request to reach its destination is 15 to 20 minutes.

REQUESTING FAX AND EMAIL TRANSMISSIONS

1 On the Certificate Inquiry screen or the Certificate Detail screen press **PF12/14**.

000000	DEPOSITORY TRUST COMPANY CERTIFICATE INQUIRY	
	: 459200 10 1 AS ACCT: REI SEQ ID: 000 SEC DESCR:	
REG\BEAR IND (Y\N): R NEGOT STATUS (Y\N): Y CUSTODY ID : 043100 DOCUMENT IND (Y\N): ORIG IN-ACTY DATE : 110520	204 ISSUANCE DATE JOURNAL PROCESSING 5110339 TRANSFER AGENT TRANSFERABILITY T TAX ID TYPE 65065 TAXPAYER ID RESTRICTED IND(1- 204 3. OFAC IND:	YPE (Y\N): Y : 0 : YES.0-NO): 0 :
PF2:HELP BOX PF4:VIE PF7:MAIN MENU PER:EXT	N IMAGE PF5:NEXT SCREEN	

The Imaging Fax and E-Mail System Selection pop-up appears.

000000	CERTIFICATE INQUIRY	DATE: 05\24\07 TIME: 15:45:12
ART I TC BO ERT I	WELCOME TO THE IMAGING FAX AND E-MAIL SYSTEM	242007 MACH 4
N-AC	ENTER F FOR FAX	1979 9232
REG\B NEGOT CUSTO DOCUM	===> <mark>e</mark> =	ED
DRIG DENOM PART	PF7/19 = PREV SCREEN	

2 Select the transmission method by typing **E** (email) or **F** (fax) next to the arrow.

3 Press **ENTER**.

The E-Mail Transmission Request screen or the Fax Transmission Request screen appears.

Note: The fax screen requests the fax number.

WELCOME TO THE	IFE E-MAIL I - M A I L I M A C E S	INTERFACE PROGRAM. PLEASE TRANSMISSION SFOR CUSTODYJD	ENTER REQUEST R E Q U E S T	INFO 05/24/2007 15:47:01
SENT FROM: NAVE: 3chr ADCRESS: 2 TE	T T ST		PART ID:	00000
SƏND TO: E-MAIL ADDR⊇SE	55: 1. N. N. N. N. N.	ktest@ms.com		
SUBJECT: Three COMMENTS: Test	is a test_ ingge IFE _B			
P=7/19 = PREV 9		IMAGE FOR	MAT: T CONSOL	IDATE: Y
<u> 1</u>	@:CO.1			18/027

Note: You can enter the email or fax numbers of up to six prospective recipients of the imaged deposit material.

- **4** Type data in all the required fields.
- **5** Press **ENTER** to submit your request.

The message YOUR REQUEST HAS BEEN SUBMITTED appears.