



CA ID	CUSIP	Security Description	Product Type	Event Type	Announced Date	Ex Date	Record Date	Payable Date	Due Bill Redemption Date*****	Effective Date	DTC Instruction Expiration Date	DTC Cover/Protect Expiration Date	DTC Anticipated Pay Date	Publication Date	Cash Rate	Security Rate	DTC Contra CUSIP	Disbursed CUSIP	DTC Security Positions
543339132	192422103	COGNEX	10 - Common Stock	Tender Offer	12/15/2023	N/A	N/A	N/A	N/A	N/A	3-Jan-24	4-Jan-24	5-Jan-24	N/A	\$ 25.00	N/A	192LVTO39	N/A	10,000
543335857	12628R107	CSB BANCORP INC (OHIO)-	10 - Common Stock	Optional Dividend	12/13/2023	12-Jan-24	4-Jan-24	11-Jan-24	12-Jan-24	N/A	9-Jan-24	N/A	N/A	N/A	\$ 2.50	0.25	N/A	12628R107	10,000
543335737	902494103	TYSON FOODS, INC.	10 - Common Stock	Spin Off	12/13/2023	12-Jan-24	9-Jan-24	11-Jan-24	12-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	364760108	10,000
543335751	714046109	REVVITY INC. COM	10 - Common Stock	Cash Dividend	12/13/2023	2-Jan-24	2-Jan-24	5-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
543375951	364760108	Gap Inc	10 - Common Stock	Stock Dividend	12/22/2023	4-Jan-24	4-Jan-24	5-Jan-24		N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	364760108	10,000
543335757	55303J106	MGP INDGREDIENTS INC +	10 - Common Stock	Optional Dividend	12/13/2023	12-Jan-24	4-Jan-24	11-Jan-24	12-Jan-24	N/A	9-Jan-24	N/A	N/A	N/A	\$ 2.50	0.25	N/A	55303J106	10,000
543335753	680194107	OLD POINT FINANCIAL CORP-	10 - Common Stock	Cash Dividend	12/13/2023	8-Jan-24	3-Jan-24	5-Jan-24	8-Jan-24	N/A	N/A	N/A	N/A	N/A	\$ 0.20	N/A	N/A	N/A	10,000
543335746	704699107	PEAPACK GLADSTONE FINANCIAL CORP	10 - Common Stock	Stock Split	12/13/2023	10-Jan-24	5-Jan-24	9-Jan-24	10-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	704699107	10,000
543335750	896095106	TRICO BANCSHARES +	10 - Common Stock	Stock Dividend	12/21/2023	4-Jan-24	4-Jan-24	5-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	896095106	10,000
543335754	43708L108	HOME FED BANCORP INC L	10 - Common Stock	Cash Dividend	12/13/2023	12-Jan-24	9-Jan-24	10-Jan-24	12-Jan-24	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
543335747	989817101	ZUMIEZ INC.	10 - Common Stock	Stock Split	12/13/2023	9-Jan-24	5-Jan-24	8-Jan-24	9-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	989817101	10,000
543343968	706327103	PEMBINA PIPELINE CORPORATION F	10 - Common Stock	Exchange Offer	12/18/2023	N/A	N/A	N/A	N/A	N/A	8-Jan-24	9-Jan-24	10-Jan-24	N/A	N/A	0.15	706LVTO38	989817101	10,000
543343969	923725105	VERMILION ENERGY INC F	10 - Common Stock	Tender Offer	12/18/2023	N/A	N/A	N/A	N/A	N/A	3-Jan-24	4-Jan-24	5-Jan-24	N/A	\$ 25.00	N/A	923LVTO50	N/A	10,000
543375952	14167R308	Carecloud Inc	20 - Preferred Stock	Stock Dividend	12/22/2023	5-Jan-24	3-Jan-24	4-Jan-24	5-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	0.10	N/A	14167R308	10,000
543335748	78590A406	SACHEM CAPITAL CORP. 7.75% NOTES DUE 2025	22 - Preferred Stock - REIT	Stock Split	12/13/2023	N/A	4-Jan-24	5-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.15	N/A	78590A406	10,000
543335744	72200U209	\$ARP PIMCO CORPORATE	102 - Auction Rate Preferred Stock - ARPS	Special Dividend	12/13/2023	N/A	3-Jan-24	4-Jan-24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.10	N/A	N/A	N/A	10,000

5433357 49	83175M2 05	SMITH & NEPHEW GROUP P L C	110 - American Depositar y Receipt	Stock Split	12/13/20 23	N/ A	3- Jan- 24	4-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.20	N/A	83175M 205	10,000
5433357 58	1084412 05	BRIDGESTONECORPADR*+	110 - American Depositar y Receipt	Optional Dividend	12/13/20 23	N/ A	2- Jan- 24	12- Jan- 24	N/A	N/A	9-Jan- 24	N/A	N/A	N/A	\$ 2.50	0.25	N/A	1084412 05	10,000
5433357 45	47103U1 00	ETF JANUS DETROIT STR BE<	117 - Exchange Traded Funds	Special Dividend	12/13/20 23	5- Jan- 24	3- Jan- 24	4-Jan- 24	5-Jan-24	N/A	N/A	N/A	N/A	N/A	\$ 0.12	N/A	N/A	N/A	10,000
5433440 58	643154J L2	KY COMMWLTH REF RV KY D05/14/08 4.500% MN18 BE	310 - Municipal Bond	Tender Offer	12/18/20 23	N/ A	N/A	N/A	N/A	N/A	9-Jan- 24	10-Jan-24	11-Jan- 24	N/A	\$ 30.00	N/A	643LVT AA7	N/A	10,000
5433440 64	64966LU 41	\$VRDO NEW YORK N Y NY D06/18/15 00.010% 44 BE	314 - Municipal Variable Rate Demand Obligation (VRDO)	Tender Offer	12/18/20 23	N/ A	N/A	N/A	N/A	N/A	9-Jan- 24	10-Jan-24	11-Jan- 24	N/A	\$ 30.00	N/A	649LVT AB9	N/A	100,00 0
5433352 55	24703DB G5	DELL INTERNATIONAL L.L.C. AND EMC CORPORATION	500 - Corporate Bond (CB) or Global Corporate Bond (GCB)	Partial Call	12/12/20 23	N/ A	N/A	3-Jan- 24	N/A	N/A	N/A	N/A	N/A	2-Jan-24	\$ 1,000. 00	N/A	N/A	N/A	10,000
5433357 38	444425A A0	CD Barclays DEL WIL D12/30/13 0.010%	507 - Certificate s of Deposit	Interest	12/13/20 23	N/ A	5- Jan- 24	8-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$25.0 0	N/A	N/A	N/A	100,00 0
5433357 60	61762FA M8	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collaterali zed Mtge Obligation or Global CMO	Partial Call	12/12/20 23	N/ A	N/A	11- Jan- 24	N/A	N/A	N/A	N/A	N/A	2-Jan-24	\$ 1,000. 00	N/A	N/A	N/A	100,00 0
5433357 39	64830XC J6	GCMO BOACM 2008-1 D06/01/08 0.010% JJ51	540 - Collaterali zed Mtge Obligation or Global CMO	Interest	12/13/20 23	N/ A	5- Jan- 24	8-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,00 0
5433391 34	G18375 AA6	GABS CAPITAL ONE 04-3 D04/14/04 0.010% JJ22	541 - Asset- Backed Security (ABS) or Global ABS (GABS)	Partial Call	12/15/20 23	N/ A	N/A	9-Jan- 24	N/A	N/A	N/A	N/A	N/A	2-Jan-24	\$ 1,000. 00	N/A	N/A	N/A	100,00 0
5433357 40	G84393 AC4	STAR ENERGY GEOTHERMAL (WAYANG WINDU) LIMITED DUE 2033	542 - Non- CMO/AB S Amortizin g Issue	Interest	12/13/20 23	N/ A	4- Jan- 24	5-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,00 0
5433357 41	46124AA A9	CB144A INVACARE HLDGS D05/05/23 07.500% MN28	572 - Convertibl e Corporate Debt	Interest	12/13/20 23	N/ A	9- Jan- 24	10- Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	100,00 0
5433357 42	61768CB J5	MORGAN STANLEY ABS CAPITAL I 2006-NC1	704 - Corporate Debt Derivative	Interest	12/13/20 23	N/ A	8- Jan- 24	9-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 25.00	N/A	N/A	N/A	10,000

5433357 43	11276B1 09	BROOKFIELD INFRASTRUCTURE	705 - Equity Derivative	Rights Distributi on	12/13/20 23	N/ A	2- Jan- 24	5-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	N/A	1.00	N/A	59160R1 02	10,000
5433958 20	59160R1 02	ADMETROGF	730 - Right	Rights Subscript ion	12/27/20 23	N/ A	2- Jan- 24	5-Jan- 24	N/A	N/A	9-Jan- 24	10-Jan-24	11-Jan- 24	N/A	\$12.0 0 + plus 1 right	1.00	N/A	11276B1 09	10,000
5433357 59	74348T1 02	PROSPECT CAPITAL CORPORATION RR	761 - Closed End Fund	Optional Dividend	12/13/20 23	N/ A	3- Jan- 24	12- Jan- 24	N/A	N/A	9-Jan- 24	N/A	N/A	N/A	\$ 2.50	0.25	N/A	74348T1 02	10,000
5433357 55	67101M4 54	NUVEEN TAX EXEMPT UNIT TRUST GEORGIA INSURED 27	790 - Unit Investme nt Trust (Nuveen Only)	Cash Dividend	12/13/20 23	N/ A	2- Jan- 24	5-Jan- 24	N/A	N/A	N/A	N/A	N/A	N/A	\$ 0.05	N/A	N/A	N/A	10,000
5433958 18	00781N5 75	ADVISORS DISCIPLINED TR	795 - Unit Investme nt Trust	Exchang e Offer	12/27/20 23	N/ A	N/A	N/A	N/A	N/A	3-Jan- 24	4-Jan-24	5-Jan-24	N/A	N/A	.30	007LVT0 67	67101M 454	10,000
543338 803	00777D7 06	UIT ADVISORS DI BE	795 - Unit Investme nt Trust	Exchang e Offer (UNWIN D)	12/14/20 23	N/ A	N/A	N/A	N/A	N/A	8-Jan- 24	NA	NA	N/A	N/A	NA			10,000

### Important Considerations:

When a field is marked N/A it will usually not be applicable for this event with the exception being where Elective Dividend Services apply in certain cases such as Tax Relief and DRIP. Participants may apply instructions in these cases but it is not mandatory that they do so

\* The CA ID will be populated and available when the announcement has been generated in DTCC's Corporate Actions systems

\*\*\* The DTC Contra CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\* The DTC Disbursed CUSIP will be available when the event has been created in DTCC's Corporate Actions systems

\*\*\*\*\* Participants testing Rights Subscriptions in the PTS PSOP and PBS Rights Subscriptions functions should refer to the event details in PTS/PBS for subscription level information

\*\*\*\*\* Participants testing IVORS should refer to the redemption/rollover details in PTS/PBS Instructions Submission and Inquiries functions for further details

\*\*\*\*\* Due Bill Redemption Date is the first date that Due Bills can be redeemed and should be considered allocation date. This column is not to be confused with the CA Web Due Bill Redemption Date data element which is mapped to Due Bill Off Date