



Important Notice

Fixed Income Clearing Corporation - GOV

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| GOV #: | GOV837-20 |
| Date: | February 13, 2020 |
| To: | Government Securities Division Members |
| Category: | Service Updates |
| Subject: | Submission of Rule Filing SR-FICC-2020-001 – Interpretive Guidance With Respect to Settlement Finality |

On February 13, 2020, Fixed Income Clearing Corporation (“FICC”) filed a rule change (File No. SR-FICC-2020-001) (“Rule Filing”) for immediate effectiveness to the Securities and Exchange Commission (“SEC”) pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change is to add the Interpretive Guidance With Respect to Settlement Finality to the Government Securities Division Rulebook.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Rule Filing may be addressed to Fixed Income Clearing Corporation - Government Securities Division, c/o General Counsel’s Office, 55 Water Street, New York, NY 10041, Attention: James Nygard, Director and Assistant General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an e-mail to rulecomments@sec.gov. Please include File Number SR-FICC-2020-001 on the subject line. We request that you provide FICC with a copy of your comments.

Questions or inquiries regarding this Important Notice may be addressed to your relationship manager. Questions or inquiries regarding the Rule Filing may be addressed to James Nygard, Director and Assistant General Counsel, at (813) 470-1898.

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