



<b>A#:</b>	<b>9071</b>
<b>P&amp;S:</b>	<b>8644</b>
<b>Date:</b>	<b>November 11, 2021</b>
<b>To:</b>	<b>ALL MEMBERS AND LIMITED MEMBERS</b>
<b>From:</b>	<b>WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS</b>
<b>Attention:</b>	<b>MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&amp;S DEPARTMENT</b>
<b>Subject:</b>	<b>MF Info Xchange Service Enhancements</b>

Effective **December 3, 2021**, National Securities Clearing Corporation (NSCC) will implement additional enhancements and capabilities to MF Info Xchange – the first communications center of its kind from DTCC’s Wealth Management Services. MF Info Xchange facilitates and streamlines the delivery and receipt of time-critical notices and helps to effectively organize, prioritize and manage events and notifications through a centralized communications service and event calendar - never miss an event again!

**To further streamline and automate communication processes, MF Info Xchange will be enhanced to provide API capabilities:**

- API capabilities will allow for machine to machine ingesting of notifications. Receivers can ingest the data via API calls
  - Fund clients can utilize API calls to request and receive Large Trade notification data provided by their firm partners.
  - Firms can utilize API calls to request and receive notification data required for processing of upcoming fund events. Firms can further streamline and limit notifications to only those needed by providing a list of Security Issue IDs to filter fund communications, allowing firms to receive only notifications for the requested securities.
- API capabilities for notification submitters to create event notifications via API calls
  - Firms can provide Large Trade notifications.
  - Funds can provide fund originated notifications, for example Dividend/Capital Gain Schedule, Fund Mergers and other event types.
  - Provides the capability to deliver notifications directly from internal systems, avoiding manual entry.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including Real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS Alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Access to event data will be readily available, on-demand, through the user interface as well as an API enabled platform. All existing user interface functionality available within the MF Info Xchange portal will remain in place. Clients subscribing to MF Info Xchange can continue to send and/or receive notifications via the portal. Clients utilizing API capabilities will submit and/or receive notifications via API calls. All notification receivers (including organizations utilizing API capabilities) will continue to receive event data through email.

The new API call capabilities allow you to automate your communication process, customize data extraction, and achieve straight-through processing directly to and from your system!

API functionality will be available to fund and firm clients as well as their authorized service providers. Clients and their authorized service providers wishing to utilize API capabilities for MF Info Xchange communications must contact their Relationship Manager to complete the API integration and onboarding process.

Contact your Relationship Manager today to learn how you can use APIs to integrate MF Info Xchange APIs with your existing operational processes.

**PLEASE NOTE:** In order to perform code migration, MF Info Xchange will not be available in production on Thursday, November 18, 2021 between 8pm-11:30pm ET.

### **TESTING**

Effective November 17, 2021, clients can begin testing in the Participant Services Environment (PSE) region. Clients are encouraged to test and must contact their Relationship Manager before testing begins if access to the PSE region has not been previously established.

### **DOCUMENTATION**

The updated user guide will be available in the DTCC Learning Center (<https://dtcclearning.com/products-and-services/mutual-fund-services/>) on or about November 30, 2021.

Clients can find more information on MF Info Xchange at <http://www.dtcc.com/wealth-management-services/mutual-fund-services/mf-info-xchange>

MF Info Xchange API technical specifications will be available in the DTCC API Marketplace at <https://developer-test.dtcc.com> on or about November 17, 2021 and <https://developer.dtcc.com> on or about November 19, 2021. Clients must contact their Relationship Manager to request access to the DTCC API Marketplace.

Questions and comments regarding this Important Notice may be directed to your Relationship Manager, Account Manager or Wealth Management Services at **212-855-8877**.

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Product Manager

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DTCC Wealth Management Services