



IMPORTANT NOTICE

DTCC Deriv/SERV LLC

TIW#:	TIW#740
Date:	March 12 th , 2021
To:	Distribution
From:	Product Management
Subject:	Trade Information Warehouse Successor Event Processing for Unilever N.V.

Please be advised that, as set forth in Section VII of the TIW Post-Trade Service Appendix to the DTCC Deriv/SERV LLC Operating Procedures, it has been requested that the Trade Information Warehouse (“TIW”) create a Successor Event for Unilever N.V.

Following are the pertinent details of the Successor Event on ISDA 2014 Derivatives Definitions.

Senior Reference Obligation

Successor Event Name: UNILEVER MAR21
Old Reference Entity: Unilever N.V.
Adherence Method: Auto-Auto adherence
Effective Date: March 5th, 2021

First New Reference Entity: UNILEVER PLC
First New RED code: 994BDDAD3
First New Reference Entity ISIN: XS1684780031

Second New Reference Entity: Unilever Finance Netherlands B.V.
Second New RED code: NW925IAB3
Second New Reference Entity ISIN: XS1566100977

Event Processing Start Date: March 22nd, 2021
Event Processing End Date: March 24th, 2021

Events that are set for Auto-Auto adherence will mean that the TIW will automatically adhere all transactions with the relevant reference entity name, regardless of the reference obligation used on that transaction.

If firms do not want transactions to be renamed in accordance with these events, firms must un-adhere those transactions. This un-adherence should be completed prior to March 22nd, 2021 the Event Processing Start Date of these events. The TIW will only process Records that remain adhered by both parties. Additional information can be found in the Product Training and Support section of the TIW in a Self Study Guide: Practical Guide – Successor Events Processing.

Any questions or comments regarding this notice or the TIW in general should be directed to TIWSupport@dtcc.com.

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