

B #:	19440-23
Date:	December 22, 2023
To:	All DTC Agents and Issuers
Category:	Corporate Actions Service Updates
From:	DTC Asset Services Product Management Team
Attention:	Operations Managers
Subject:	New Requirement for Announcement Detail Submission to DTC

Today, DTC receives announcement detail three different ways: (i) documentation via email, (ii) automated DCN/BMA/REDCal¹ files, or (iii) a standardized DCN/BMA/REDCal spreadsheet format via email (known as “Lite Files”). On September 28, 2023, DTC’s Operational Arrangement was amended to require Agents to send announcement detail *only* by way of:

- (i) Automated DCN/BMA/REDCAL files, or
- (ii) Standardized Lite Files

Effective July 1, 2024, DTC will no longer accept announcement notifications sent outside of the required formats specified in the Operational Arrangement. As a result, agents should review the documentation on DTCC’s website to obtain the user guides and templates for the standardized Lite Files. The links to the (i) connectivity user guide (*CCF Asset Services & Corporate Actions*), (ii) DCN/BMA/REDCal Lite files (“Lite files”) and (iii) reference guides on how to submit the Lite files provided on DTCC’s website are:

- [Manual Templates and User Guides](#)
- [CCF Asset Services & Corporate Actions | DTCC Settlement Consulting](#)

If you require additional training or connectivity to submit the automated files, please reach out to your Relationship Manager. If you have any questions, please contact the Customer Support Center via the hotline at (888) 382-2721 option 1, option 3 or email at csc@dtcc.com.

¹ The files provided by the agent are as described as follows:

- DCN file is used to provide wire instruction detail;
- BMA file is used to transmit new principal and interest announcement details and revised rates to DTC’s Announcement System; and
- REDCal file is used to transmit revised rates, late calls and revised call information to DTC’s Announcement System

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

