



Important Notice
National Securities Clearing Corporation

A#:	9421
P&S #:	8994
Date:	04/26/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, April 26, 2024

Forside Financial Services, LLC/Boston Trust Walden Company No. 6327

Last Trade Date, April 30, 2024

Fundamental Capital Management LLC No. 1302
 Forside Fund Services, LLC/AGF US Mutual Funds No. 6747

- The following firms will change their name only, as detailed below:

First Trade Date, April 24, 2024:

From: Muriel Siebert & Co., Inc. No. 0445
 To: Muriel Siebert & Co., LLC No. 0445
 OTC Alpha Identifier STOX
 MUNI Alpha Identifier STOX
 CORP Alpha Identifier STOX
 UIT Alpha Identifier STOX
 NYSE Alpha Identifier STXG

From: Muriel Siebert & Co., Inc./SFT No. 8513
 To: Muriel Siebert & Co., LLC/SFT No. 8513

First Trade Date, April 29, 2024:

From: Thornburg Securities Corporation No. 5541
 To: Thornburg Securities LLC No. 5541

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From: Thornburg Securities Corporation/Thornburg Global Investment PLC No. 3352
 To: Thornburg Securities LLC/Thornburg Global Investment PLC No. 3352

- The following firm will begin participation in the Fund/SERV service, as detailed below:

First Trade Date, April 25, 2024:

DAI Securities, LLC No. 4284

- The following firm will begin participation in MF Info Xchange services, as detailed below:

First Trade Date, April 26, 2024:

Foreside Fund Services, LLC/Denali Funds No. 1290

- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

First Trade Date, April 25, 2024

Alter Domus (US) LLC/Heritage Private Fund, L.P. Series 2 No. 1AF5

Alter Domus (US) LLC/Heritage Private Fund, L.P. Series 2B No. 1AF6

First Trade Date, April 30, 2024

Lodas Transfer, LLC/Triple Crown Realty Trust, Inc No. 1AFX

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, April 22, 2024</u>							
C6 Capital Securities LLC	PERS	0443	CSIX	✓	✓		
Ice Bonds Securities Corporation	PERS	0443	CTSC	✓			
<u>Add First Trade Date, April 24, 2024</u>							
Elequin Securities LLC	PRST	0551	ELEQ	✓			
C&C Trading L.L.C.	GSCO	0005	XCNC	✓	✓	✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.