## DTCC

## QUALIFIED INTERMEDIARY WITHHOLDING STATEMENT: IRC SECTION 302 TRANSACTIONS FOR A QUALIFIED INTERMEDIARY THAT HAS ASSUMED PRIMARY FORM 1099 REPORTING AND BACKUP WITHHOLDING RESPONSIBILITY

NAME OF QUALIFIED INTERMEDIARY:	
PARTICIPANT NUMBER:	
QUALIFIED INTERMEDIARY EIN:	
FUNCTION CODE:	
CUSIP / EVENT NAME:	
PAYMENT DATE:	

\_\_\_\_\_has provided a Form W-8IMY indicating it is a Qualified Intermediary that has assumed primary NRA withholding responsibility AND has assumed primary Form 1099 reporting and backup withholding responsibility.

This withholding statement is provided in accordance with the requirements of Treas. Reg. Section 1.1441-1 (e)(5)(v) and Part II of Form W-8IMY and forms an integral part of the Form W-8IMY and the penalties of perjury statement provided on the Form W-8IMY shall apply to this withholding statement.

Number of shares eligible to be treated as a payment In exchange for stock -Capital Gain To be reported on Form 1042-S under Income code 09 and exemption code 02

_	Withholding Rate Pools: Number of shares required to be treated as a Dividend To be reported on Form 1042-S under Income code 06	WITHHOLDING TAX RATE to be Applied to Dividend
1		30% Statutory Withholding Rate
2		25% Treaty Rate 3
3		24% Back Up Withholding
4		20% Treaty Rate 4
5		17.5% Tax Treaty Rate
6		15% Treaty Rate 1
7		14% Tax Rate 5
8		12.5% Treaty Rate
9		10% Treaty Rate 2
10	<u> </u>	5% Treaty Rate
11		4% Private Foundation Treaty Rate
2		0% Exempt Dividend

\*I confirm that for shares allocated to capital gain (income code 09), we have received Sec 302 certification from each underlying beneficial owner or another Qualified Intermediary to support this Sec 302 Withholding Statement.

Signature of Authorized Person:

Name of Signatory:

Title/Position of Signatory:

Date Signed: