



DTCC's Financial Industry Number Standard (FINS) Form

Print or type only

About the company

Company Name

Contact Name First

Contact Name Last

Address

City or Town

State/Prov/County

Postal (Zip) Code Telephone

Country Fax

Email Address

Return this completed form by email to: taservices@dtcc.com

For U.K. Use Only

When required by applicable law, the Applicant/Participant shall procure the consent of any of its representatives from time to time to:

- (i) the processing of personal data by the Corporation (whether before, during or after the membership application); and
- (ii) the transfer of personal data out of the European Economic Area (the "EEA")

in each case for purposes in connection with the Applicant's/Participant's application for, and membership in, the Corporation. We may use the information about representatives in conducting our business with the Applicant/ Participant, including for billing purposes. With respect to the transfer of personal data outside of the EEA, the data may be transferred to offices of the Corporation or its subsidiaries or to third parties (selected service providers who provide services in connection with the Corporation's products or services and regulatory authorities) located in countries that do not have such protective data protection legislation when compared to European law; by submitting personal data as set forth in this and similar forms related to the membership requirements of the Corporation, the Applicant/Participant agrees to the transfer, storing or processing of personal data outside of the EEA. If the Data Protection Act 1998 (the "Act") applies, the Applicant/Participant hereby warrants that the transfer of personal data of any of its representatives to the Corporation for the purposes of processing personal data as is specified herein is in compliance with the Act. Please refer to the Corporation's privacy policy at www.dtcc.com for additional information.

Please assist us to comply with the legal obligation to ensure that your information is kept accurate and up-to-date by ensuring that you inform us of any changes to your information.