



Important Notice
National Securities Clearing Corporation

A#:	8935
P&S #:	8508
Date:	11/27/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 27, 2020

Quasar Distributors, LLC/Rareview Capital LLC No. 9472

Last Trade Date, November 30, 2020

ALPS Distributors Inc./Clough Funds No. 3254

State Street Bank & Trust Company/Voya Institutional Plan Services/UPS No. 3299

- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, November 25, 2020:

ALPS Distributors, Inc./Total Income Plus No. 3387

ALPS Distributors, Inc./BBH Funds No. 5722

First Trade Date, November 27, 2020:

Ultimus Fund Distributors, LLC/Toews Funds No. 5906

Foreside Fund Services, LLC/Brinker Capital Destinations Trust No. 7383

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, December 03, 2020:

Ultimus Fund Distributors, LLC/Anchor Funds No. 3247

- The following firm will begin participation in the ACATS service, as detailed below:

First Trade Date, December 01, 2020:

Mirae Asset Securities (USA) Inc. No. 1043

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- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, December 01, 2020

Axonic Capital LLC

No. 0MUO

- The following firms will begin participation in Insurance Processing Services, as detailed below:

First Trade Date, December 01, 2020

Financial Enhancement Group, LLC

No. 2418

Larson Financial Group, LLC

No. 2670

- The following firm will begin participation in Fund/SERV, Networking and DTCC Payment aXis services, as detailed below:

First Trade Date, December 04, 2020

Herald Investment Marketing, LLC

No. 2413

Contact: Matthew Seidenberg

Phone: (484) 840-3704

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 01, 2020:

Quasar Distributors, LLC/Regan Funds

No. 2792

Contact: Casey Sauer

Phone: (414) 203-9122

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, December 21, 2020:

Global Trust Company/NT-GWK

No. 2550

Contact: Arvind Kesireddy

Phone: (781) 970-5050

- The following additional number has been assigned to Reliance Trust Company (#4896) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, January 12, 2021:

Reliance Trust Company/Colchester

No. 2519

Contact: James Kramer

Phone: (312) 557-3592

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, November 24, 2020</u> Clearpool Execution Services, LLC	IBFS	0824	CPEX	✓			
<u>Add First Trade Date, November 25, 2020</u> FBN Securities, Inc.	RBCD	0235	FBNS		✓	✓	✓
<u>Add First Trade Date, November 27, 2020</u> Digital Brokerage Services LLC	NFSC	0226	DIBS	✓			✓
<u>Add First Trade Date, December 02, 2020</u> United First Partners, LLC	NFSC	0226	UFPF	✓			

If you have any questions regarding this notice, please e-mail NSCCAA@DTCC.com.