



Important Notice
National Securities Clearing Corporation

A#:	9254
P&S #:	8827
Date:	01/13/2023
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 13, 2023:

Ultimus Fund Distributors, LLC/Leader Funds

No. 5804

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Vigilant Distributors, LLC (#2413) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, Omni/SERV and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 17, 2022

Vigilant Distributors, LLC/Leader Capital

No. 5804

Contact: Matthew Seidenberg

Phone: (484) 840-3704

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 20, 2023:

Forside Financial Services, LLC/Evermore Value Trust

No. 5407

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following additional number has been assigned to Vigilant Distributors, LLC (#2413) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 23, 2022

Quasar Distributors, LLC/Evermore Funds	No.	5407
Contact: Erica Rankine		
Phone: (414) 710-3034		

- The following firms will retire from all services, as detailed below:

Last Trade Date, January 13, 2023:

APX Energy, LLC	No.	1483
Fairview Investment Administration, LLC/Sharpvue Real Estate Fund 2018 AI, LP	No.	4105
Fairview Investment Administration, LLC/Sharpvue Real Estate Fund 2018 QP, LP	No.	4106

Last Trade Date, January 17, 2023:

Resource Real Estate, Inc.	No.	1525
Financial Enhancement Group, LLC	No.	2418
Fairview Investment Administration, LLC	No.	4049

Last Trade Date, January 18, 2023:

Alder Alternative Income SPE, LLC	No.	1435
AO SPE 2, LLC	No.	1436

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, January 18, 2023:

U.S. Bank N.A./Quasar Distributors, LLC Dealer Clearing Services	No.	9487
--	-----	------

- The following firms will change their name only, as detailed below:

First Trade Date, January 11, 2023:

From: Foreside Fund Services, LLC/Forum CRE Income Fund	No.	3539
To: Foreside Fund Services, LLC/Forum Funds	No.	3539
From: Foreside Financial Services, LLC/PAX World Funds	No.	4940
To: Foreside Financial Services, LLC/IMPAX Funds	No.	4940

- The following firm will begin participating in the MF Profile service, as detailed below:

First Trade Date, January 13, 2023:

Drivewealth, LLC No. 2402

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, January 11, 2023:

Opus Fund Services (USA) LLC/OFW Low Beta Cayman, LLC No. 135E

First Trade Date, January 13, 2023:

Opto Investments, Inc No. 135B

Great Lakes Fund Solutions, Inc./Texas Standard Energy No. 137B

Great Lakes Fund Solutions, Inc./Palladius Real Estate No. 137U

First Trade Date, January 17, 2023:

Opus Fund Services (USA) LLC/Strategy Capital Investors, L.P. No. 139H

First Trade Date, January 18, 2023:

ECC Fund Manager II, LLC No. 12ZR

Opus Fund Services (USA) LLC/Series A Blackpoint Multi-Series Fund LLC No. 13AI

Opus Fund Services (USA) LLC/Series A Blackpoint Select Alternatives Fund LLC No. 13AJ

SS&C Technologies, Inc./NASO CRE Bridge Loan Fund, LP No. 13AK

Theorem Fund Services, LLC/Lightsail Partners, LP No. 13AO

First Trade Date, January 19, 2023:

TMF Fund Services North America LLC/PMOF Special Situations No. 13AZ

Private Credit Cayman Fund, LLC

- The following firm will begin participation in Insurance Processing services, as detailed below:

First Trade Date, January 20, 2023:

Sagicor Life Insurance Company No. 3615

- The following additional number has been assigned to Saturna Trust Company (#9494) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate and DTCC Payment aXis services, as detailed below:

First Trade Date, January 13, 2023:

Saturna Trust Company/HSA Business No. 3651

Contact: Ryan Gannon

Phone: (360) 650-6963

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>		
<u>Delete Last Trade Date, January 09, 2023</u> Newcourt Securities, Ltd	SWST	0279	NWCT	✓	✓			
<u>Add First Trade Date, January 12, 2023</u> ADM Securities LLC	IBKR	0534	ADMS	✓				
<u>Add First Trade Date, January 17, 2023</u> Bestex Research Securities, LLC	RBCD	0235	BERS	✓	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.