



*Important Notice*  
**National Securities Clearing Corporation**

<b>A #:</b>	a9261
<b>P&amp;S #:</b>	p&s8834
<b>Date:</b>	January 30, 2023
<b>To:</b>	All Members and Limited Members
<b>Attention:</b>	Managing Partner/Officer, Operations Partner/Officer, Compliance Officer
<b>From:</b>	General Counsel's Office
<b>Subject:</b>	Submission of Rule Filing (SR-NSCC-2023-001) – Amend the Clearing Agency Risk Management Framework to update the description of the dashboards used by the Clearing Agencies as internal performance management tools and make other clarifying changes.

On January 30, 2023, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (SR-NSCC-2023-001) (“Filing”) with the Securities and Exchange Commission (“SEC”) to amend the Clearing Agency Risk Management Framework (“Framework”).

The proposed rule change would amend the Framework to (1) update the description of the dashboards used by the Clearing Agencies as internal performance management tools to measure the effectiveness of their various operations, and (2) clarify and revise the descriptions of certain matters within the Framework and correct errors in those descriptions, as further described in the Filing.

The full text of the Filing may be obtained by visiting the DTCC website at <https://www.dtcc.com/legal/sec-rule-filings>. Written comments on the Filing may be addressed to General Counsel's Office, 55 Water Street, New York, New York 10041, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549. Electronic comments may be submitted using the SEC's Internet comment form (<http://www.sec.gov/rules/sro.shtml>) or by sending an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number (SR-NSCC-2023-001) on the subject line. We request that you provide NSCC with a copy of your comments.

Questions regarding this Important Notice may be addressed to your Relationship Manager. Questions regarding the Filing or this Important Notice may be addressed to [RuleFilingAdmin@dtcc.com](mailto:RuleFilingAdmin@dtcc.com).

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Non-Confidential