



Important Notice
National Securities Clearing Corporation

A#:	9407
P&S #:	8980
Date:	03/15/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 15, 2024:

Great Lakes Fund Solutions, Inc./Peco Real Estate Partners

No. 8299

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, March 18, 2024

UMB Fund Services, Inc./Peco Real Estate Partners

No. 8299

- The following firms will retire from all services, as detailed below:

Last Trade Date, March 28, 2024

The Prudential Insurance Company Of America/PRU Retirement Non-Reg Trading

No. 3077

Foreside Fund Services, LLC/Quaker Funds

No. 4885

Last Trade Date, April 01, 2024

State Street Bank & Trust Company/NRS/Intel Retirement Plans Collective
Investment Trust

No. 5120

- The following firms will change their name only, as detailed below:

First Trade Date, March 15, 2024:

From: American General Life Ins Co/AIG Annuities (SE2)

No. 4537

To: American General Life Ins Co/CRBG Annuities (SE2)

No. 4537

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Non-Confidential

From: American General Life Ins Co/AIG Annuities (SE2) - Variable	No.	4648
To: American General Life Ins Co/CRBG Annuities (SE2) - Variable	No.	4648
From: American General Life Ins Co/AIG Annuities - Fixed	No.	3310
To: American General Life Ins Co/CRBG Annuities - Fixed	No.	3310
From: American General Life Ins Co/AIG Annuities - Fixed And Index	No.	4623
To: American General Life Ins Co/CRBG Annuities - Fixed And Index	No.	4623
From: American General Life Ins Co/AIG Annuities – Service Only Fixed	No.	4507
To: American General Ins Co/CRBG Annuities - Service Only Fixed	No.	4507
From: American General Life Ins Co/AIG Annuities – Variable And Index	No.	4516
To: American General Life Ins Co/CRBG Annuities - Variable And Index	No.	4516
From: American General Life Ins Co/AIG Annuities-FA&IA IMO/BGA	No.	3253
To: American General Life Ins Co/CRBG Annuities - FA&IA IMO/BGA	No.	3253
From: The US Life Ins Co in the City Of NY/AIG Annuities (SE2)	No.	4536
To: The US Life Ins Co in the City Of NY/CRBG Annuities (SE2)	No.	4536
From: The US Life Ins Co in the City Of NY/AIG Annuities – Fixed And Index	No.	4664
To: The US Life Ins Co in the City Of NY/CRBG Annuities – Fixed And Index	No.	4664
From: The US Life Ins Co in the City Of NY/AIG Annuities - Variable	No.	4517
To: The US Life Ins Co in the City Of NY/AIG Annuities - Variable	No.	4517
From: The Variable Annuity Life Ins Co/AIG Annuities-Variable And Index	No.	3179
To: The Variable Annuity Life Ins Co/CRBG Annuities-Variable And Index	No.	3179

- The following firm will begin participating in the DTCC Payment aXis service, as detailed below:

First Trade Date, March 13, 2024

RFS Partners	No.	4989
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- The following firm will begin participation in the Networking, as detailed below:

First Trade Date, March 15, 2024:

Founders Financial Securities, LLC	No.	7044
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- The following firms will begin participation in the Alternative Investment Product services, as detailed below:

First Trade Date, March 15, 2024

Great Lakes Fund Solutions, Inc./RG Partners	No.	19U4
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First Trade Date, March 18, 2024

Securities Transfer Corporation/Mackenzie Realty Capital, Inc	No.	19UK
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- The following additional number has been assigned to RQD* Clearing, LLC (#4305) for the purpose of utilizing the Securities Financing Transaction Clearing service, as detailed below:

First Trade Date, March 14, 2024:

RQD* Clearing, LLC/SFT

No. 1604

- The following additional number has been assigned to Pine Distributors LLC (#1343) for the purpose of utilizing Fund/SERV services as detailed below:

First Trade Date, March 21, 2024:

Pine Distributors LLC

No. 1343

Contact: Daryn Levesque

Phone: (720) 323-2869

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<u>Delete Last Trade Date, March 28, 2024</u>								
Steward Partners Investment Solutions, LLC	WRET	0141	ATKC	✓				
<u>Add First Trade Date, March 14, 2024</u>								
Natasa Securities LLC	CURV	3450	NATI	✓	✓	✓	✓	✓
<u>Add First Trade Date, March 18, 2024</u>								
Atomic Vaults Securities, LLC	VLNT	4305	FRAC	✓				

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.