



Important Notice
National Securities Clearing Corporation

A#:	9425
P&S #:	8998
Date:	05/10/2024
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	CLIENT ACCOUNT SERVICES
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the MF Profile service, as detailed below:
First Trade Date, May 10, 2024:
 Ultimus Fund Distributors, LLC/Waycross Funds No. 3210

- The following firm will begin participation in Insurance Processing Services, as detailed below:
First Trade Date, May 13, 2024:
 Gradient Insurance Brokerage, LLC No. 1440

- The following firms will begin participation in the Alternative Investment Product service, as detailed below:
First Trade Date, May 09, 2024
 Investnext Inc. No. 1A88

- First Trade Date, May 13, 2024**
 Phoenix American Financial Services, Inc./CEDARst OZ GP Fund I, L.P. No. 1AO8
 Phoenix American Financial Services, Inc./CEDARst GP Opportunity Zone Fund 1, LP No. 1AOC
 Phoenix American Financial Services, Inc./CEDARst DEV Fund Investor, LLC No. 1AOF
 Phoenix American Financial Services, Inc./CEDARst DEV Shareholder, LLC No. 1AOG
 The Parker Transfer Agency, Inc./USED 2024 B Drilling Fund LP No. 1AOH
 The Parker Transfer Agency, Inc./USED 2024 Drilling Fund LP No. 1AOK
 The Parker Transfer Agency, Inc./USED Opportunity Zone III LP No. 1AOL
 NESF Investment Services, LLC/Griffin Capital (Nashville) Fund, L.P. No. 1API

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- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, May 06, 2024</u>							
GWFS Equities, Inc.	NFSC	0226	MPWR		✓	✓	✓
Sierra Equity Group Ltd.	RBCD	0235	BBII	✓	✓	✓	✓
Fulcrum Securities, Inc.	RBCD	0235	OFKS	✓	✓		✓
Savoy Capital Management, Inc.	RBCD	0235	SAVY	✓	✓	✓	✓
<u>Delete Last Trade Date, May 07, 2024</u>							
Apex Clearing Corporation	PFCL	0158	ABEN	✓			
<u>Delete Last Trade Date, May 09, 2024</u>							
Intercoastal Capital Markets, Inc.	MIRA	1043	ICMB			✓	
<u>Add First Trade Date, May 07, 2024</u>							
Buckman, Buckman & Reid, Inc.	VSIN	0595	BBAR	✓	✓	✓	✓
<u>Add First Trade Date, May 09, 2024</u>							
Curvature Securities LLC	CURV	3450	CVMS	✓			
<u>Add First Trade Date, May 13, 2024</u>							
Rodman & Renshaw, LLC	MIRA	1043	RODM	✓			
Tor Brokerage LLC	QNTX	0294	TORM	✓			
<u>Add First Trade Date, June 07, 2024</u>							
Pragma LLC	INCA	0067	PRAG	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.