



DTCC Consulting Services

OUR EXPERTISE. YOUR ORGANIZATION.

For over 45 years, clients have trusted DTCC to solve some of the biggest issues facing the global financial services industry.

This unique vantage point has enabled us, through our family of companies, to develop techniques and tools that can help firms drive innovation and transformation.

DTCC Consulting Services experts are uniquely qualified to provide firms with

KNOWLEDGE | EXPERIENCE | GUIDANCE

DTCC



Our Approach

Today the industry faces an unprecedented degree of regulatory, financial and operational challenges. This is driving firms to examine their infrastructure and identify changes necessary to create efficiencies, optimize their operations and drive down costs - all at a time when regulatory demands have never been greater.

DTCC Consulting Services' flexible service model allows us to create a program that meets the unique requirements of your firm. We will help your firm navigate the complex landscape of regulatory and market structure change - assisting you in improving your overall operating model and technical architecture to build stronger, more resilient, and more scalable infrastructure that lowers your overall costs and risks.



Discover

Analysis, root-cause definition and remediation of control gaps and processing inefficiencies.

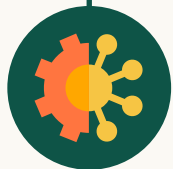
- Data quality assessment
- Regulatory change impact assessment
- Data integrity and lineage analysis
- Performance scorecards



Design

Definition and organizational alignment on operating models and technical architecture for post-trade technology and operations.

- Solution assessment, planning, and requirements definition
- Operating model design
- Control frameworks and documentation



Deliver

Post-trade experts with deep subject matter knowledge will assist with implementation and project management for the planning, testing, and coordination of activities related to the implementation of any DTCC solutions.

- Test strategy and execution
- Implementation planning, program/project management and program assurance

Areas of Expertise

No one understands DTCC's solutions, and the post-trade landscape better than we do. Recognized for our contributions in leading industry change and building market solutions, our experts provide consulting services across the post-trade lifecycle.

Regulatory Reporting

Whether it's readying for the upcoming changes in global derivatives trade reporting rules (including the Commodity Futures trading Commission (CFTC) rewrite, the European Markets Infrastructure Regulation (EMIR) refit, and rule changes by the Monetary Authority of Singapore (MAS) and the Australian Securities & Investments Commission (ASIC) or improving your compliance process for Securities Financing Transactions Regulation (SFTR), we'll help you navigate the rapidly changing world of regulatory reporting requirements.

- We will perform an end-to-end assessment of your reporting control framework, including your governance structure, data processing, pre- and post-submission processes, exception management and management information, providing you with a view of how you benchmark against your peers and industry best practices.
- Our experts have in-depth experience in leading and managing complex remediation efforts (as well as other regulatory inquiries) across multiple jurisdictions and can help you structure these programs and execute on existing remediation backlogs.

Securities Post-Trade Optimization

Tap into our securities operational management experience. As your firm continues to tackle the impacts of the Central Securities Depositories Regulation and the move to accelerate settlement to T+1 in the U.S., your firm's operating model will be tested. These challenges serve as an opportunity to reevaluate and re-engineer your post-trade infrastructure to better prepare your firm to whatever may come next: regulatory revisions, market structure changes, or unexpected market events.

- Our experts will help your firm identify and implement the right control and process enhancements, benchmark your performance against peers, address settlement fails, manage product implementation, revamp your technical architecture, optimize your overall trade processing operations, and more.

Visit www.dtcc.com/consulting



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