

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 5995
P&S#: 5565
DATE: March 4, 2005 *REVISED*
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the Commission Settlement service, as detailed below:

First Trade Date, March 4, 2005:

Nicholas Company, Inc. No. 5590

- The following firm will begin participation in the Fund/SERV and Networking services, as detailed below:

First Trade Date, March 7, 2005:

HR Mellon Solutions LLC No. 7102
Networking Code: 90
Contact: Peter Forcellese
Phone: (617) 382-2348

- An additional number has been assigned to PFPC Distributors, Inc.(#6658) for the purpose of utilizing the Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 9, 2005:

PFPC Distributors, Inc./Constellation Institutional Portfolios No. 6602
Networking Code: 9V
Contact: Linda Leandres
Phone: (508) 871-8504

- The following firms will change their names only, as detailed below:

First Trade Date, March 1, 2005:

From: Melvin Securities, LLC No. 3675
To: LaSalle Capital, L.L.C. No. 3675

From: PNC Securities Corp. No. 2835
To: PNC Capital Markets, Inc. No. 2835

- The following firm will no longer participate in the Fund/SERV service, as detailed below:
Last Trade Date, March 2, 2005:
 Instinet Clearing Services Incorporated No. 0067
- The following firms will retire from all services, as detailed below:
Last Trade Date, February 28, 2005:
 Bisys Fund Services, LP/Enterprise Global Funds PLC No. 4852
 PNC Bank, N.A. No. 2834
- Last Trade Date, March 1, 2005:**
 Rock Island Securities, Inc. No. 0119
 Rock Island Securities, Inc./RKISTA No. 3558
- Last Trade Date, March 3, 2005:**
 Stephens Inc./Wells Fargo Offshore Funds No. 5828
- Last Trade Date, March 4, 2005:**
 Citistreet Equities LLC/TPA No. 7121
- Last Trade Date, March 7, 2005:**
 Annuity Investors Life Insurance Co. No. 4610
- Kindly update the NSCC Directory dated January 7, 2005 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, February 28, 2005:</u> ISI Capital, LLC	LEGG	0740	ISIL	
<u>Delete Last Trade Date, March 1, 2005:</u> Munich American Securities Corporation Palm Beach Capital Services Group Inc.	BSSC SALI	0352 0750	ARSC PBCS	
<u>Delete Last Trade Date, March 2, 2005:</u> Fiserv Securities, Inc.	BHCS	0632	FSHV	
<u>Add First Trade Date, March 1, 2005:</u> Florida Investment Advisers From: Centennial Securities Company, Inc. To: Centennial Securities Company, LLC	BHCS FCCP FCCP	0632 0141 0141	FIAF CTNL CTNL	
From: Tradetrek Securities, Inc. To: Tradetrek Securities, LLC	NFSC NFSC	0226 0226	TREK TREK	
<u>Executing Broker Symbol Change, Effective First Trade Date, March 7, 2005</u> From: Carter, Terry & Company, Inc. To: Carter, Terry & Company, Inc.		0235 0235	ATCA CATE	

**Clearing Broker Change, Effective First Trade Date
March 4, 2005:**

From: Vaughan and Company Securities, Inc.
To: Vaughan and Company Securities, Inc.

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

BOFA	0773	VCSI	
NEUB	0155	VCSI	

**Clearing Broker Change, Effective First Trade Date
March 9, 2005:**

From: Elliott-Ledgerwood & Company
To: Elliott-Ledgerwood & Company

LEGG	0740	ETLD	
	0235	ETLD	

- Kindly update the NSCC Directory dated January 7, 2005 (for OTC Executed-By List) as detailed below:

Add First Trade Date, March 3, 2005:

Sun State Equity Trading

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

PFSI	0234	SUNE	
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Add First Trade Date, March 4, 2005:

O'Connor & Company LLC

SHMR	0695	ALTR	
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Executing Broker Symbol Change, Effective First Trade Date March 7, 2005:

From: Schottenfeld Group LLC
To: Schottenfeld Group LLC

SILK	0501	TSGP	
SILK	0501	SHOT	

**Clearing Broker Change, Effective First Trade Date,
March 9, 2005:**

From: Kevin Dann & Partners, LLC
To: Kevin Dann & Partners, LLC

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

BOFA	0773	DANN	
QRCC	0158	DANN	

From: Fieldstone Services Corp.
To: Fieldstone Services Corp.

BOFA	0773	FSTN	
QRCC	0158	FSTN	

From: Granite Financial Group, Inc.
To: Granite Financial Group, Inc.

BOFA	0773	GFIN	
QRCC	0158	GFIN	

From: Granite Financial Group, Inc.
To: Granite Financial Group, Inc.

BOFA	0773	GFNC	
QRCC	0158	GFNC	

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
From: JMP Securities, LLC	BOFA	0773	JSSF	
To: JMP Securities, LLC	QRCC	0158	JSSF	
From: Sidoti & Company, LLC	BOFA	0773	SIDC	
To: Sidoti & Company, LLC	QRCC	0158	SIDC	

- Kindly update the NSCC Directory dated January 7, 2005(for Municipal Bond Comparison List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, March 8, 2005:</u>				
Kevin Dann & Partners, LLC	BOFA	0773	DANN	
Fieldstone Services Corp.	BOFA	0773	FSTN	
Granite Financial Group, Inc.	BOFA	0773	GFIN	
JMP Securities, LLC	BOFA	0773	JSSF	
Sidoti & Company, LLC	BOFA	0773	SIDC	

The following firms have remitted an executed Transfer of Retirement Assets Agreement during the week of March 4, 2005:

The Tocqueville Alexis Trust	No. 5688
Nicholas Company, Inc.	No. 5590
Frontegra Funds, Inc.	No. 5507

If you have any questions regarding this notice, please contact Frank DeRiso at (212) 855-4941 or Kevin Launer at (212) 855-4132.