

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6437
P&S#: 6007
DATE: April 27, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, April 26, 2007:

1ST Discount Brokerage, Inc.

No. 0026

Last Trade Date, April 30, 2007:

BISYS Fund Services, LP/Standard Master Funds Plc

No. 4930

- An additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services as detailed below:

First Trade Date, May 01, 2007:

Ultimus Fund Distributors, LLC/Centurion Investment Fund

No. 6611

Networking Code:

OY

Contact: Doug Jones

Phone: (513) 587-3408

- The following firm will begin participation in the OTC Comparison, ACATS, RECAPS, and Envelope Settlement services as detailed below:

First Trade Date, May 01, 2007:

ITG Inc.

No. 0099

OTC Alpha Identifier:

ITGI

Envelope Location: (New York)

* For more information on ITG Inc., please see page 3.

- The following Data Services Only member will begin participation in the Insurance Processing service as detailed below:
First Trade Date, May 05, 2007:
Investment Professionals, Inc. No. 6052
- The following firm will begin participation in the Mutual Fund Profile Phase I service as detailed below:
First Trade Date, April 25, 2007:
Mellon Trust Of New England, National Association No. 0954
- The following firm will no longer participate in the Mutual Fund Profile Phase I service as detailed below:
Last Trade Date, April 25, 2007:
Foreside Fund Services, LLC/Henderson Horizon Funds No. 5866
- The following firm will change their name only as detailed below:
Effective First Trade Date, April 27, 2007:
From: Ameritrade, Inc.
To: TD Ameritrade Clearing, Inc. No. 0188
- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 27, 2007:</u> Greentree Brolerage Services, Inc.	SWST	0239	GRTR	
<u>Delete Last Trade Date, May 01, 2007:</u> American Independent Securities Group, LLC	PFSI	0234	AISG	
<u>Add First Trade Date, April 24, 2007:</u> Rockwell Securities LLC	QRCC	0158	RKWS	
<u>Clearing Broker Name Change, Effective First Trade Date May 01, 2007:</u> From: Brockington Securities, Inc. To: Brockington Securities, Inc.	PFSI LGNT	0234 0052	BROK BROK	

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 26, 2007:</u>				
Trillium Trading LLC	SHON	0064	HUBB	
Penson Financial Services, Inc.	SHON	0064	PFSS	
<u>Delete Last Trade Date, April 30, 2007:</u>				
*ITG, Inc.	JEFF	0019	ITGI	

Kindly update the NSCC Directory dated April 06, 2007 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Name and Symbol Change, Effective First Trade Date April 27, 2007:</u>				
From: IDB Capital Corp.	PERS	0443	IDBC	
To: Alexandra & James Co.	PERS	0443	AJCO	

If you have any questions regarding this notice, please contact Debra Mastrogliulo at (212) 855-1631.