

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6472  
**P&S#:** 6042  
**DATE:** June 29, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

The following firms will retire from all services as detailed below:

**Last Trade Date, June 29, 2007:**

BISYS Fund Services, LP/Giant Fund 5 Funds	No. 6534
Mellon Funds Distributors, LP	No. 6558

- The following firms will change their name only as detailed below:

**Effective First Trade Date July 02, 2007,**

From: The Dreyfus Services Corporation	No. 5523
To: MBSC Securities Corporation	No. 5523

From: Dreyfus Services Corporation/Mellon Bank, N.A. (SMAM)	No. 6538
To: MBSC Securities Corporation/Mellon Bank, N.A. (SMAM)	No. 6538

From: The Dreyfus Service Corporation/Mellon Global Funds	No. 6615
To: MBSC Securities Corporation/Mellon Global Funds	No. 6615

From: Dreyfus Services Corporation/Mellon Bank, NA (MCM)	No. 6657
To: MBSC Securities Corporation/Mellon Bank, NA (MCM)	No. 6657

**Effective First Trade Date July 09, 2007,**

From: ADP Clearing & Outsourcing Services, Inc. No. 0158

To: Ridge Clearing & Outsourcing Solutions, Inc. No. 0158

From: ADP COSI/People's Securities Inc. No. 0220

To: Ridge Clearing & Outsourcing Solutions, Inc./People's Securities Inc. No. 0220

- The following Data Services Only member will begin participation in the Insurance Processing service as detailed below:

**First Trade Date, July 02, 2007:**

BancWest Investment Services No. 6130

- An additional number has been assigned to Allstate Life Insurance Company of New York (#4557) for the purpose of utilizing the Insurance Processing and ACATS IPS services as detailed below:

**First Trade Date, June 28, 2007:**

Allstate Life Insurance Company of New York/Administered by Prudential No. 4688

- Additional numbers have been assigned to Allstate Life Insurance Company (#4528) for the purpose of utilizing the Insurance Processing and ACATS IPS services as detailed below:

**First Trade Date, June 28, 2007:**

Allstate Life Insurance Company/Administered by Prudential No. 4687

Allstate Life Insurance Company/CIGNA/Administered by Prudential No. 4690

- An additional number has been assigned to UMB Distribution Services, LLC/UMB Scout Funds (#4854) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, July 02, 2007:**

UMB Fund Services LLC/Giant 5 Funds No. 6534

Networking Code: CF

Contact: Darren Siverling

Phone: (414) 299-2160

- An additional number has been assigned to MBSC Securities Corporation (#5523) for the purpose of utilizing the Fund/SERV, Networking, and Mutual Fund Profile Phase I & II services, as detailed below:

**First Trade Date, July 02, 2007:**

MBSC Securities Corporation/Mellon Institutional Funds No. 6558

Networking Code: 3J

Contact: Greg Owen

Phone: (617) 483-7864

- An additional number has been assigned to PFPC Distributors, Inc. (#6658) for the purpose of utilizing the Fund/SERV, and Networking services, as detailed below:

**First Trade Date, July 02, 2007:**

PFPC Distributors, Inc./Marvin & Palmer Large Cap Growth Fund of the RBB Fund, Inc. No. 6679  
 Networking Code: KH  
 Contact: Jayda Burke  
 Phone: (508) 871-3717

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, June 28, 2007:</u></b> Gelber Securities, Inc.	BSSC	0352	GELB	
<b><u>Delete Last Trade Date, June 29, 2007:</u></b> Standard New York Securities, Inc.	BSSC	0352	STNY	
<b><u>Add First Trade Date, July 02, 2007:</u></b> Numami Services, LLC	NAMI	0364	TNTO	

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, June 26, 2007:</u></b> BATS Trading, Inc.	WEDZ	0108	BATZ	

<b><u>Add First Trade Date, July 02, 2007:</u></b> Genesis Securities, LLC Intelatrade.com	GNDT	0118	GNSE	
	WEDB	0103	QUIK	

**Clearing Broker Change, Effective First Trade Date, July 02, 2007:**

From: BATS Trading Inc.	WEDZ	0108	BATS	
To: BATS Trading Inc.	WEDB	0103	BATS	

- Kindly update the NSCC Directory dated April 06, 2007 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Executing Broker Name Change, Effective First Trade Date, July 09, 2007:</u></b> From: ADP Clearing & Outsourcing Services, Inc.	QRCC	0158	ADPC	
To: Ridge Clearing & Outsourcing Solutions, Inc.	QRCC	0158	ADPC	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.