

IMPORTANT NOTICE

National Securities Clearing Corporation



The Depository Trust &
Clearing Corporation

A#: 6477
P&S#: 6047
DATE: July 13, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

Correction to Important Notice A#6476 (P&S#6046) dated July 6, 2007 for Participant #7310 Cantor Fitzgerald & Co./Aqua Securities, L.P., they will not participate in the Municipal Bond Comparison services.

The following firm will retire from all services as detailed below:

Last Trade Date, July 12, 2007:

T.O. Richardson Securities, Inc./Builders Fixed Income Fund No. 6693

- The following firm will begin participation in the Insurance Processing service as detailed below:

First Trade Date, July 17, 2007:

Commonwealth Equity Services, LLP No. 0177

- The following firm will begin participation in the Commission Settlement service as detailed below:

First Trade Date, July 11, 2007:

Commonwealth Equity Services, LLP No. 0177

- An additional number has been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 13, 2007:

Unified Financial Securities, Inc./Builders Fixed Income Fund No. 6693
 Networking Code: CZ
 Contact: Craig Glenn
 Phone: (317) 917-7000 ext. 7343

- An additional number has been assigned to Payden & Rygel Distributors (#5535) for the purpose of utilizing the Fund/SERV, Networking, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 16, 2007:

Payden & Rygel Distributors/Payden/Wilshire Longevity Funds No. 4858
 Networking Code: CR
 Contact: Darren Siverling
 Phone: (414) 299-2160

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, July 16, 2007:

Basis Financial, LLC	LGNT	0052	BFLN
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- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, July 11, 2007:</u>				
Casey Professional Services, LLC	SILK	0501	CPSI	
<u>Add First Trade Date, July 17, 2007:</u>				
I-Bankers Securities, Inc.	QRCC	0158	MILE	
<u>Clearing Broker Change, Effective First Trade Date, July 10, 2007:</u>				
From: Legend Securities, Inc.	NACI	0297	LDSI	
To: Legend Securities, Inc.	PFSI	0234	LDSI	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.