

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6484
P&S#: 6054
DATE: August 03, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:
Last Trade Date, July 31, 2007:
Funds Distributors, Inc./TD Asset Management USA Funds No. 6539
BISYS Fund Services, LP/Heartland Advisors, Inc. No. 5571
BISYS Fund Services, LP/Hirtle Callaghan TR Mutual Fund No. 6574
- The following firm will no longer participate in the Mutual Fund Profile Phase I & II service as detailed below:
Last Trade Date, July 31, 2007:
State Farm VP Management Corp No. 4971
- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and ACATS Fund/SERV services as detailed below:
First Trade Date, July 31, 2007:
Quasar Distributors, LLC/Seascape Funds No. 6528
Networking Code: DJ
Contact: Casey Sauer
Phone: (414) 347-5766
- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking and ACATS Fund/SERV services as detailed below:
First Trade Date, July 31, 2007:
Quasar Distributors, LLC/Marketfield Funds No. 6529
Networking Code: D8
Contact: Casey Sauer
Phone: (414) 347-5766

- An additional number has been assigned to Huntington National Bank (#2305) for the purpose of utilizing the Networking service as detailed below:
First Trade Date, August 01, 2007:
Huntington National Bank/HIC BD Mutual Fund No. 0086
Networking Code: J7
Contact: Steven Adams
Phone: (614) 480-5062
- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and ACATS Fund/SERV services as detailed below:
First Trade Date, August 01, 2007:
Aquarius Fund Distributors, LLC/AlphaStream Special Equity Fund No. 5688
Networking Code: JW
Contact: Brian Nielsen
Phone: (402) 493-3313 ext.7127
- An additional number has been assigned to SEI Investments Distribution, Company (#5820) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, MF Profile Phase I and ACATS Fund/SERV services as detailed below:
First Trade Date, August 01, 2007:
SEI Investments Distribution, Co./TD Asset Management USA Funds Inc. No. 6539
Networking Code: KQ
Contact: Keri Reed
Phone: (800) 257-5872
- An additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, MF Profile Phase I & II and ACATS Fund/SERV services as detailed below:
First Trade Date, August 01, 2007:
ALPS Distributors, Inc./Heartland Funds No. 5571
Networking Code: HA
Contact: Scott Roseberry
Phone: (614) 470-8862
- An additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing the Fund/SERV, Networking, MF Profile Phase I and ACATS Fund/SERV services as detailed below:
First Trade Date, August 01, 2007:
Foreside Fund Services, LLC/Hirtle Callaghan Trust No. 6574
Networking Code: 4J
Contact: Rob Trower
Phone: (816) 843-8794

- The following firms will begin participation in the Mutual Fund Profile Phase I & II service as detailed below:

First Trade Date, August 01, 2007:

U.S. Global Investors Funds No. 5442
 U.S. Global Accolade Funds No. 5443

- The following firm will begin participation in the Commission Settlement and Insurance Processing services as detailed below:

First Trade Date, August 02, 2007:

Equity Services, Inc. No. 0560

- The following firm will change their name as detailed below:

Effective First Trade Date, August 01, 2007:

From: IXIS Asset Management Distributors, L.P. No. 5542

To: Natixis Distributors, L.P. No. 5542

From: IXIS Asset Management Distributors, L.P./Hansberger Funds No. 5525

To: Natixis Distributors, L.P./Hansberger Funds No. 5525

From: IXIS Asset Management Distributors, L.P./Loomis Sayles Funds No. 5714

To: Natixis Distributors, L.P./Loomis Sayles Funds No. 5714

From: IXIS Asset Management Distributors, L.P./Delafield Fund No. 5871

To: Natixis Distributors, L.P./Delafield Fund No. 5871

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, August 01, 2007:</u> Nunami Services, LLC.	ECUT	0100	NAMB	
<u>Add First Trade Date, August 02, 2007:</u> Nunami Services, LLC.	SWIS	0012	NAMA	
<u>Add First Trade Date, August 06, 2007:</u> TORC Investments and Research LLC	PFSI	0234	TORF	
<u>Clearing Broker Change, Effective First Trade Date August 06, 2007:</u> From: H.C. Wainwright & Co., Inc.	QRCC	0158	WAIN	
To: H.C. Wainwright & Co., Inc.	LGNT	0052	WAIN	

If you have any questions regarding this notice, please contact Debra Mastrogliulo at (212) 855-1631.