

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6494
P&S#: 6064
DATE: August 17, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

Correction to Important Notice A#6488 (P&S#6058) dated August 10, 2007. The clearing broker change to the OTC Executed-By-List and Municipal Bond Comparison System for SBK-Brooks Investment Corp., the Executing Broker Identifier Alpha will remain as SBKB, not SBKS.

- An additional number has been assigned to New York Life Insurance and Annuity Corp (#4548) for the purpose of utilizing the Networking service as detailed below:
First Trade Date, August 20, 2007:
New York Life Insurance and Annuity Corp./SEC 22C-2 No. 4694
Networking Code: ML
Contact: Chuyen Nguy
Phone: (212) 576-3993
- The following firm will begin participation in the Corporate and Municipal Bond Comparison services as detailed below:
First Trade Date, August 20, 2007:
Cantor Fitzgerald & Co./Aqua Securities, L.P. No. 7310
MUNI Alpha Indicator: AQUA
- The following firm will begin participation in the Networking service as detailed below:
First Trade Date, August 20, 2007:
Securities America, Inc. No. 0590
Networking Code: MO
Contact: Brian Crocket
Phone: (800) 747-6111 ext. 2385

- The following firm will change their name as detailed below:

Effective First Trade Date, August 16, 2007:

From: Pacific International Securities Inc./CDS
 To: PI Financial Corp/CDS

No. 5075
 No. 5075

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

Delete Last Trade Date, August 15, 2007:

ISI Capital, LLC

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
NFSC	0226	ISIL	

Clearing Broker Change, Effective First Trade Date August 22, 2007:

From: Boenning & Scattergood, Inc.
 To: Boenning & Scattergood, Inc.

NFSC	0226	BOSC	
FCCP	0141	BOSC	

- Kindly update the NSCC Directory dated April 06, 2007 (for OTC Executed-By List) as detailed below:

Add First Trade Date, August 16, 2007:

Meeting Street Brokerage

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
NACI	0297	MSBB	

Add First Trade Date, August 20, 2007:

Citadel Derivatives Group LLC

PRST	0551	CDGO	
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Clearing Broker Change, Effective First Trade Date August 20, 2007:

From: Tullett Liberty Securities, Inc.
 To: Tullett Liberty Securities, Inc.

SILK	0501	TULL	
JEFF	0019	TULL	

If you have any questions regarding this notice, please contact Debra Mastrogliulo at (212) 855-1631.