

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6497  
**P&S#:** 6067  
**DATE:** August 31, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:  
**Last Trade Date, August 31, 2007:**  
Accessor Funds Inc. Growth Portfolio No. 4992
- The following firm will no longer participate in the ACATS, Trade Comparison and Trade Recording services, as detailed below:  
**Last Trade Date, September 11, 2007:**  
Hazlett Burt & Watson, Inc No. 0252
- The following firm will begin participation in the Insurance Processing service as detailed below:  
**First Trade Date, September 04, 2007:**  
Sun Trust Securities, Inc. No. 0153
- An additional number has been assigned to SEI Investments Distribution Company (#5820) for the purpose of utilizing the Fund/SERV, Networking, Mutual Fund Profile Phases I & II and ACATS Fund/SERV services as detailed below:  
**First Trade Date, September 04, 2007:**  
SEI Investments Distribution Co./Accessor Funds No. 4992  
Networking Code: G2  
Contact: Ashlee Pierce  
Phone: (206) 521-3882

- An additional number has been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing the Fund/SERV, Networking and Commission Settlement services as detailed below:

**First Trade Date, September 04, 2007:**

Unified Financial Securities, Inc./Penn Street Funds	No. 5902
Networking Code:	OE
Contact: Gregg Getts	
Phone: (440) 922-0066	

- An additional number has been assigned to Morgan Stanley & Co., Incorporated (#5857) for the purpose of utilizing the Fund/SERV and Networking services as detailed below:

**First Trade Date, September 04, 2007:**

Morgan Stanley & Co., Inc./Dublin Liquidity	No. 6646
Networking Code:	LG
Contact: Kevin Scott	
Phone: + 353 1 853 8280	

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, August 28, 2007:</u></b> Presidio Capital Advisors, LLC	BSSC	0352	PRES	
<b><u>Delete Last Trade Date, August 29, 2007:</u></b> Securities & Investment Planning Co.	NFSC	0226	SPCC	

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, August 31, 2007:</u></b> Deutsche Bank Securities Inc.	DBAB	0573	DBQT	
<b><u>Add First Trade Date, August 30, 2007:</u></b> Churchill Capital USA, Inc.	PERS	0443	CHLC	
<b><u>Add First Trade Date, September 04, 2007:</u></b> Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLBA	
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLBX	

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Clearing Broker Change, Effective First Trade Date**

**August 29, 2007:**

From: Radnor Research & Trading Company, LLC  
 To: Radnor Research & Trading Company, LLC

NFSC	0226	RRTC	
SILK	0501	RRTC	

- Kindly update the NSCC Directory dated July 11, 2007 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Delete Last Trade Date, August 28, 2007:**

Radnor Research & Trading Company, LLC  
 Atlanta/Sosnoff Management Corporation

NFSC	0226	RRTC	
BSSC	0352	ATLM	

**Add First Trade Date, September 12, 2007:**

Hazlett, Burt & Watson, Inc.

NFSC	0226	HAZL	
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If you have any questions regarding this notice, please contact Debra Mastrogliulo at (212) 855-1631.