

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6499
P&S#: 6069
DATE: September 07, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:
Last Trade Date, September 07, 2007:
GSECLP/LIM, Inc. No. 3833
- The following firm will no longer participate in the ACATS service, as detailed below:
Last Trade Date, September 20, 2007:
State Street Bank & Trust Co/Inst'l Custody No. 2132
- The following firm will begin participation in the Commission Settlement service, as detailed below:
First Trade Date, September 17, 2007:
Neuberger & Berman Management, Inc. No. 5810
- The following firm will begin participation in the Fund/SERV and Networking services, as detailed below:
First Trade Date, September 05, 2007:
Georgetowne Funds No. 5992
Networking Code: GJ
Contact: Paul Hoffmeister
Phone: (312) 563-1390

- The following member of CDS Clearing and Depository Services Inc. will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, September 10, 2007:

Penson Financial Services Canada #4/CDS No. 5082
 OTC Alpha Identifier: FICA

- An additional number has been assigned to The Northern Trust Company (#6625) for the purpose of utilizing the Fund/SERV, Networking, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, September 10, 2007:

Northern Trust Company/Great Banc Collective Investment Trust I No. 6689
 Networking Code: PU
 Contact: Lisa Shea
 Phone: (312) 444-4214

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, September 05, 2007:</u> Presidio Capital Advisors, LLC	BSSC	0352	PRES	
<u>Add First Trade Date, September 07, 2007:</u> North Woodward Financial Corp.	FSWC	0309	NWFC	
<u>Add First Trade Date, September 10, 2007:</u> Bluechip Securities, Inc.	LGNT	0052	BCSI	
<u>Add First Trade Date, September 12, 2007:</u> Hazlett, Burt and Watson	NFSC	0226	HAZL	

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 05, 2007:

ESN North America, Inc.

JEFF	0019	ESNA	
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Add First Trade Date, September 10, 2007:

Lightspeed Trading, LLC

PFSI	0234	LSPE	
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- Kindly update the NSCC Directory dated July 11, 2007 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 04, 2007:

Dolphin Securities, Inc.

NFSC	0226	DOLP	
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If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.