

IMPORTANT NOTICE

National Securities Clearing Corporation



*The Depository Trust &
Clearing Corporation*

A#6500

P&S#6070

DATE: September 12, 2007

TO: All Participants

ATTENTION: MANAGING PARTNER / OFFICER, OPERATIONS PARTNER /
OFFICER, DIRECTOR OF OPERATIONS, DATA PROCESSING
MANAGER, MANAGER P & S DEPARTMENT & FLOOR BROKERS

FROM: Equity Product Development

SUBJECT: CNS Equity Master File, AutoRoute # 02040290 - Additional
value for Unit of Trade Field

In order to prepare for a possible change by the New York Stock Exchange to use round lot quantities of one share, the National Securities Clearing Corporation (NSCC) intends to provide an additional value for the Unit of Trade indicator on the CNS Equity Master File, (AutoRoute #02040290).

The implementation schedule for any changes to the NYSE Listed Equities into 1 share round lots is currently unavailable. According, please be advised that beginning October 5th, 2007 the NSCC participants CNS Equity Master File machine readable output (MRO) AutoRoute file # 02040290, could have the additional value of "0 = 1 share Round Lot" in position 78, known as the "Unit of Trade" indicator field. For further information regarding the Unit of Trade values for NYSE Listed Equity Securities, please contact one of the following people at the New York Stock Exchange: Lawrence Moreno at 212-656-4648 or John Schwarzbeck at 212-656-5462.

Given the response to an inquiry of NSCC participants that received the CNS Equity Master File MRO, it is anticipated that the introduction of a new value to the Unit of Trade indicator field will be transparent. However, a data output file is available for Participant testing of the above named AutoRoute MRO. To arrange for testing with NSCC, please contact the Enterprise Service Center at 1-888-382-2721, option 1, option 4.

For additional questions regarding this notice, please contact the undersigned at 212-855-7659.

Janice DiTore
Director, Product Management