

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6502
P&S#: 6072
DATE: September 14, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:
Last Trade Date, September 14, 2007:
Mercantile Safe Deposit & Trust No. 0976
- The following firm will begin participation in the Fund/SERV service, as detailed below:
First Trade Date, September 13, 2007:
National Security Life and Annuity Co. No. 4656
- The following firm will begin participation in the Fund/SERV and Networking services, as detailed below:
First Trade Date, September 13, 2007:
Fallen Angels Family of Funds No. 6589
Networking Code: PY
Contact: Gregory Getts
Phone: (440) 922-0066 ext 101

- An additional number has been assigned to PFPC Distributors, Inc. (#6658) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, September 12, 2007:

PFPC Distributors, Inc./BlackRock Offshore Bond Allocation Target Shares Funds No. 4954
 Networking Code: OZ
 Contact: Victoria Kerman
 Phone: (353) 853-8323

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, September 12, 2007:

Palisade Capital Securities, LLC	BSSC	0352	PCSA
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Add First Trade Date, September 17, 2007:

Indiana Securities, LLC	MSRO	0727	INDI
Astoria Capital Markets, Inc.	JEFF	0019	JSMD

Clearing Broker Change, Effective First Trade Date, September 12, 2007:

From: Broker Dealer Financial Services, Corp.	LGNT	0052	BKFC
To: Broker Dealer Financial Services, Corp.	FCCP	0141	BKFC

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 11, 2007:

National Bank Financial Inc./CDS	FMAF	5008	NBFC
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<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 13, 2007:

AM Capital LLC

SILK	0501	AMPM	
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Add First Trade Date, September 14, 2007:

Depfa Securities LLC

PERS	0443	DEFA	
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Clearing Broker Change, Effective First Trade Date, September 17, 2007:

From: Thomas Anthony & Associates, Inc.

To: Thomas Anthony & Associates, Inc.

SALI	0750	TAAI	
PFSI	0234	TAAI	

- Kindly update the NSCC Directory dated July 11, 2007 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 17, 2007:

Thomas Anthony & Associates, Inc.

PFSI	0234	TAAI	
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Executing Broker Name Change, Effective First Trade Date, September 12, 2007:

From: Pinnacle Investments Inc.

To: Pinnacle Investments, LLC

NFSC	0226	PINA	
NFSC	0226	PINA	

Clearing Broker Change, Effective First Trade Date, September 14, 2007:

From: Navaid Financial Services, Inc.

To: Navaid Financial Services, Inc.

NFSC	0226	PHRS	
FSWC	0309	PHRS	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.