

IMPORTANT NOTICE

National Securities Clearing Corporation



A#6510

P&S#6080

DATE: October 1, 2007

TO: ALL PARTICIPANTS

ATTENTION: MANAGING PARTNER/OFFICER,
OPERATIONS PARTNER/OFFICER,
DIRECTOR OF OPERATIONS,
DATA PROCESSING MANAGER,
MANAGER P&S DEPARTMENT

FROM: **Kaliym Islam**

SUBJECT: **DTCC Learning Schedule of Events - 4th Quarter 2007**

In our continuing effort to offer our customers a wide variety of training options, DTCC Learning is pleased to announce its schedule of events for 4th Quarter 2007. The schedule consists of a number of live instructor-led webinars on DTCC subsidiary products and services. These include courses in Asset Services, Clearance, Settlement and Mortgage-Backed Securities. We will also be offering DTCC Learning certification examinations in the Asset Services and Clearance curriculums as well as webinars that prepare candidates for these examinations.

In addition to our standard course offerings, DTCC Learning also provides custom training solutions. Our Training Consultants can work with your organization to analyze its needs and recommend the appropriate solution to meet those needs.

The scheduled dates and events are listed below.

October

- Oct. 2 Introduction to the Securities Industry and DTCC; Bonds and Stocks
- Oct. 3 Introduction to the Securities Industry - Players and Roles; Secondary Markets
- Oct. 4 Introduction to the Securities Industry - Life Cycle of a Trade
- Oct. 4 MBS EPN Training
- Oct.11 Introduction to CNS
- Oct. 18 Introduction to Asset Services: Securities Processing

Oct. 23 Introduction to DTC Settlement/Risk Management Controls

Oct. 25 Settlement Transactions/EOD Processing

Oct. 31 Stock Loan & Repo Resolution

Custom Training (as scheduled)

November

Nov. 5 Clearance Certification Pre-Exam Prep Course

Nov. 5 Introduction to Asset Services: Reorganization

Nov. 7 Clearance Certification Examination

Nov. 8 Introduction to Asset Services: Securities Processing

Nov. 12 Asset Services Certification Pre-Exam Prep Course

Nov. 14 Asset Services Certification Exam

Nov. 27 Introduction to DTC Settlement/Risk Management Controls

Nov. 29 Settlement Transactions/EOD Processing

Custom Training (as scheduled)

December

Custom Training Only

The fee for DTCC Learning webinars is \$95.00 per webinar. The certification examination fee is \$300.00. Please contact us for information on custom training fees.

For more information on the events listed above, please visit our website at <http://www.dtcc.com/products/training>. You may also call DTCC Learning at (888) 258-6393 or email training_administrator@dtcc.com

Kaliym Islam
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