

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6513
P&S#: 6083
DATE: October 12, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackelton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, October 12, 2007:

AFSG Securities Corporation	No. 5580
AFSG Securities Corporation/Transamerica Premier Funds	No. 5446
Scott & Strinfellow Inc.	No. 0702

- The following firm will no longer participate in the Trade Comparison and Trade Recording, ACATS, and RECAP services, as detailed below:

Last Trade Date, October 08, 2007:

Bondesk Trading LLC	No. 0382
---------------------	----------

- The following firms will change their name only as detailed below:

Effective First Trade Date October 12, 2007:

From: First Albany Capital, Inc.	No. 0312
To: Broadpoint Capital, Inc.	No. 0312

Effective First Trade Date October 15, 2007:

From: Natexis Bleichroeder, Inc.	No. 0031
To: Natixis Bleichroeder, Inc.	No. 0031

- The following firm will begin participation in the Mutual Fund Profile Phase I & II service, as detailed below:

First Trade Date, October 15, 2007:

GWFS Equities, Inc. No. 0257

First Trade Date, October 16, 2007:

Morningstar Investment Services, Inc. No. 6496

- The following firm will begin participation in the Fund/SERV, Networking, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, October 15, 2007:

Homestead Funds, Inc. No. 6553

Networking Code: RO

Contact: Cynthia L. Dove

Phone: (703) 907-6035

- The following firm will begin participation in the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I & II, and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 15, 2007:

Transamerica Capital, Inc. No. 5580

Networking Code: IS

Contact: Brenda Smith

Phone: (727) 299-1722

- An additional number has been assigned to Transamerica Capital, Inc. (#5446) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I & II, and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 15, 2007:

Transamerica Capital, Inc./Transamerica Premier Funds No. 5446

Networking Code: W2

Contact: Brenda Smith

Phone: (727) 299-1722

- The following firm will begin participation in the NYSE Listed, OTC Comparison, RECAPS, Fund/SERV, Networking, Commission Settlement, ACATS, and ACATS Fund/SERV services, as detailed below:

First Trade Date, October 15, 2007:

Clearview Correspondent Services, LLC	No. 0702
Listed Alpha Identifier:	CLRV
Networking Code:	SC
Contact: Jeff Catlett	
Phone: (804) 253-6433	

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>	<u>Executing Broker</u>
<u>Identifiers</u>	<u>Identifiers</u>

Delete Last Trade Date, October 12, 2007:

Share King, LLC	PRST	0551	MIST
-----------------	------	------	------

Add First Trade Date, October 09, 2007:

Alluvion Securities, LLC	SWST	0279	ALLU
--------------------------	------	------	------

Add First Trade Date, October 15, 2007:

Keystone Securities, LLC	SALI	0750	KEYS
Drexel Hamilton, LLC	PFSI	0234	DREX
Scott & Springfellow, Inc.	CLRV	0702	BBNT

Clearing Broker Name Change, Effective First Trade Date, October 12, 2007:

From: First Albany Capital Inc.	FACT	0312	FACT	0312
To: Broadpoint Capital, Inc.	FACT	0312	FACT	0312

Clearing Broker Name Change, Effective First Trade Date, October 15, 2007:

From: Natexis Bleichroder Inc.	ABLE	0031	ABLE	0031
To: NatIxis Bleichroder Inc.	ABLE	0031	ABLE	0031

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<u>Clearing Broker Change, Effective First Trade Date, October 10, 2007:</u>			
From: Infinium Securities Inc.	PFSI	0234	INFC
To: Infinium Securities Inc.	ECEE	5063	INFC

<u>Executing Broker and Clearing Broker Change, Effective First Trade Date, October 15, 2007:</u>			
From: Scott & Stringfellow, Inc.	BBNT	0702	BBNT 0702
To: Clearview Correspondent Services, LLC	CLRV	0702	CLRV 0702

<u>Clearing Broker Change, Effective First Trade Date, October 15, 2007:</u>			
From: Scott & Stringfellow, Inc.	BBNT	0702	BRGN
To: Scott & Stringfellow, Inc.	CLRV	0702	BRGN
From: H.G. Wellington & Co., Inc.	BBNT	0702	WELC
To: H.G. Wellington & Co., Inc.	CLRV	0702	WELC

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<u>Delete Last Trade Date, October 09, 2007:</u>			
Kalos Capital, Inc.	SWST	0279	KALO

<u>Delete Last Trade Date, October 12, 2007:</u>			
KBC Adirondack Electronic Markets, LLC	PRST	0551	KBAD
ML Professional CC	PRST	0551	PRBF
ML Professional CC/LaBranche & Co. LLC	PRST	0551	PRCD
ML Professional CC/ AGS Specialist PTNRS	PRST	0551	PRDB
ML Professional CC/Knight Financial Products LLC	PRST	0551	PRKF

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<u>Delete Last Trade Date, October 12, 2007:</u>			
Merrill Lynch Professional Clearing Corp./Peak 6	PRST	0551	PRPS
Merrill Lynch Professional Clearing Corp./TD OPT	PRST	0551	PRRM
ML Professional CC/GDK Inc	PRST	0551	PRSP
ML Professional CC/AGSIOTA/STR JT	PRST	0551	PRSR
ML Professional CC/Samuelson Trading Corp.	PRST	0551	PRSS
<u>Add First Trade Date, October 09, 2007:</u>			
Farina & Associates, Inc.	AFCO	0284	FARA
<u>Add First Trade Date, October 11, 2007:</u>			
Lime Brokerage, LLC	MLCO	0161	LMDA
Lime Brokerage, LLC	MLCO	0161	LMDX
<u>Add First Trade Date, October 12, 2007:</u>			
Fusion Analytics Securities LLC	WEDB	0103	INDX
<u>Add First Trade Date, October 15, 2007:</u>			
Wedbush Morgan Securities Inc.	WEDB	0103	WEDC
<u>Clearing Broker Change, Effective First Trade Date, October 10, 2007:</u>			
From: Infinium Securities Inc.	PFSI	0234	INFC
To: Infinium Securities Inc.	ECEE	5063	INFC
<u>Clearing Broker Change, Effective First Trade Date, October 15, 2007:</u>			
From: Scott & Stringellow, Inc.	BBNT	0702	BBTI
To: Scott & Stringellow, Inc.	CLRV	0702	BBTI

- Kindly update the NSCC Directory dated July 11, 2007 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
<u>Add First Trade Date, October 15, 2007:</u>				
Central Trust Bank	NFSC	0226	CTBK	
<u>Clearing Broker Change, Effective First Trade Date, October 09, 2007:</u>				
From: Bonddesk Trading LLC	BDTR	0382	BDTR	0382
To: Bonddesk Trading LLC	NFSC	0226	BDTR	
<u>Clearing Broker Change, Effective First Trade Date, October 10, 2007:</u>				
From: Kalos Capital, Inc.	SWST	0279	KALO	
To: Kalos Capital, Inc.	NFSC	0226	KALO	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.