

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6516  
**P&S#:** 6086  
**DATE:** October 19, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Linda Shackelton  
Account Administration  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:  
**Last Trade Date, October 31, 2007:**  
Bhirud Associates, Inc. No. 4887
- The following firm will no longer participate in the Trade Comparison and Trade Recording, services, as detailed below:  
**Last Trade Date, October 16, 2007:**  
Schonfeld Securities, LLC No. 0064
- The following firm will no longer participate in the ACATS services, as detailed below:  
**Last Trade Date, October 29, 2007:**  
Schonfeld Securities, LLC No. 0064
- The following firm will no longer participate in the Fund/SERV, Networking, Insurance Services, ACATS Fund/SERV, and RECAPS services, as detailed below:  
**Last Trade Date, October 24, 2007:**  
Hazlett Burt & Watson, Inc. No. 0252

- The following firms will begin participation in the Commission Settlement service, as detailed below:

**First Trade Date, October 29, 2007:**

Wells Fargo Bank, National Association	No. 2027
Wells Fargo Bank, National Association/Trust Recordkeeping Group	No. 2195

- The following firm will begin participation in the AMEX Listed Comparison service, as detailed below:

**First Trade Date, October 18, 2007:**

Calyon Securities (USA) Inc.	No. 0651
Listed Alpha Identifier	CRL

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>	<u>Executing Broker</u>
<u>Identifiers</u>	<u>Identifiers</u>

**Add First Trade Date, October 17, 2007:**

APB Financial Group	JEFF	0019	APBI
Summit Securities Group LLC	BSSC	0352	SUMS

**Add First Trade Date, October 19, 2007:**

DC Evans and Company, LLC	PFSI	0234	EVAN
---------------------------	------	------	------

**Clearing Broker Change, Effective First Trade Date, October 19, 2007:**

From: Keystone Securities, LLC	MLCO	0161	KEYS
To: Keystone Securities, LLC	SALI	0750	KEYS

- Kindly update the NSCC Directory dated July 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<b><u>Clearing Broker Change, Effective First Trade Date,</u></b>			
<b><u>October 16, 2007:</u></b>			
From: TORC Investments and Research LLC	PRST	0551	TORV
To: TORC Investments and Research LLC	PFSI	0234	TORV

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.