

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6520
P&S#: 6090
DATE: November 2, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackelton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

Correction to Important Notice A#6518 (P&S#6088) dated October 26, 2007 for OTC Executed-By-List and Municipal Bond Comparison System.

Kindly disregard the Clearing Broker Change, effective first trade, October 31, 2007 for MPID: ESTA.

- The following firms will retire from all services as detailed below:
Last Trade Date, October 31, 2007:
Mercer Allied Company, LP No.5424

Last Trade Date, November 02, 2007:
PNC Capital Markets, Inc. No.2835
- The following firm will no longer participate in the Mutual Fund Profile Phase II service, as detailed below:
Last Trade Date, November 01, 2007:
Nuveen Investments, LLC/Dublin Funds No. 4828
- The following firm will no longer participate in the Trade Comparison, Trade Recording services, as detailed below:
Last Trade Date, November 01, 2007:
Glynn (J.A) & Company No. 8105

- The following firm will no longer participate in the ACATS service, as detailed below:
Last Trade Date, November 14, 2007:
Glynn (J.A.) & Company No. 8105
- The following firm will begin participation in the Commission Settlement service, as detailed below:
First Trade Date, October 30, 2007:
First Southwest Company No. 0309
- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:
First Trade Date, November 07, 2007:
Aquarius Fund Distributors, LLC/XTF Investors Trust No. 4909
- The following firm will begin participation in the When Issued Municipal Bond Comparison System, as detailed below:
First Trade Date, November 05, 2005:
PNC Bank N.A. No. 2835
Muni Alpha Identifier PNCM
- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:
First Trade Date, November 15, 2007:
Quasasr Distributors, LLC/Robeco Funds No. 4934
Networking Code: RF
Contact: Casey Sauer
Phone: (414) 347-5766
- An additional number has been assigned to Fortis Securities, LLC (#7253) for the purpose of utilizing the RECAP services, as detailed below:
First Trade Date, November 01, 2007:
Fortis Sec/Fortis Bank, NY Branch No. 7517

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<u>Clearing Broker Change, Effective First Trade Date, October 30, 2007:</u>			
From: Merrill Lynch Pierce Fenner & Smith Incorporated	MLCO	0161	WVAS
To: Merrill Lynch Pierce Fenner & Smith Incorporated	PRST	0551	WVAS

<u>Clearing Broker Change, Effective First Trade Date, October 31, 2007:</u>			
From: Newbridge Securities Corporation	SALI	0750	NUBG
To: Newbridge Securities Corporation	LGNT	0052	NUBG

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>
	<u>Identifiers</u>		<u>Identifiers</u>
<u>Add First Trade Date, November 01, 2007:</u>			
Mitsubishi UFJ Securities (USA), Inc.	LAZA	0308	MUFG

<u>Add First Trade Date, November 02, 2007:</u>			
Penson Financial Services, Inc.	ACRA	0436	PEAR

<u>Clearing Broker Change, Effective First Trade Date, November 07, 2007:</u>			
From: Seaboard Securities, Inc.	EDFM	0650	SEAB
To: Seaboard Securities, Inc.	LGNT	0052	SEAB

<u>Clearing Broker Change, Effective First Trade Date, November 02, 2007:</u>				
From: Glynn (J.A.) & Co.	GLYN	8105	GLYN	8105
To: Glynn (J.A.) & Co.	PERS	0443	GLYN	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.