

IMPORTANT NOTICE

National Securities Clearing Corporation



The Depository Trust &
Clearing Corporation

A#: 6526
P&S#: 6096
DATE: November 9, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackelton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:

Last Trade Date, November 06, 2007:

King Financial

No.8301

- The following firm will no longer participate in the Trade Comparison, Trade Recording and RECAPS services, as detailed below:

Last Trade Date, November 09, 2007:

Waters Parkerson & Co. Inc.

No. 7025

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, November 23, 2007:

Waters Parkerson & Co. Inc.

No. 7025

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, November 13, 2007:

The Fifth Third Bank-DTC #2116

No. 2116

- An additional number has been assigned to Foreside Distribution, L.P. (#4967) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 12, 2007:

Foreside Distribution Services, LP/GenedeX Funds	No. 5619
Networking Code:	S5
Contact: Scott Roseberry	
Phone: (614) 470-8862	

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<u>Delete Last Trade Date, November 07, 2007:</u>		
Franklin Ross, Inc.	PFSI 0234	ROSS
Equity Trading Online, LLC	PFSI 0234	EQTY
<u>Add First Trade Date, November 07, 2007:</u>		
Equity Station, Inc.	NFSC 0226	ESTF
<u>Add First Trade Date, November 14, 2007:</u>		
Quantum Securities, Inc.	LGNT 0052	QUAN

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<u>Delete Last Trade Date, November 07, 2007:</u>		
Equity Trading Online, LLC	PFSI 0234	EQTO
<u>Add First Trade Date, November 06, 2007:</u>		
Saratoga Capital Markets	SILK 0501	TOGA

Clearing Broker Executing Broker
Identifiers Identifiers

Add First Trade Date, November 07, 2007:

Midamerica Financial Services, Inc.

LGNT 0052

MFSI

Add First Trade Date, November 09, 2007:

Pointe Atlantic

LGNT 0052

PTAL

Clearing Broker Change, Effective First Trade Date, November 07, 2007:

From: Pacific West Securities, Inc.

WEDB 0103

PACW

To: Pacific West Securities, Inc.

NFSC 0226

PACW

- Kindly update the NSCC Directory dated October 11, 2007 (for Municipal Bond Comparison System) as detailed below:

Clearing Broker Executing Broker
Identifiers Identifiers

Add First Trade Date, November 07, 2007:

Pacific West Securities, Inc.

NFSC 0226

PACW

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.