

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6529
P&S#: 6099
DATE: November 16, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackelton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, November 13, 2007:

Synergy Investment Group, LLC No.7224

Last Trade Date, November 15, 2007:

Glynn (J.A.) & Company No.8105

- The following firm will begin participation in Commission Settlement and Insurance Processing Services, as detailed below:

First Trade Date, November 14, 2007:

Synergy Investment Group, LLC No. 7224

- The following firm will begin participation in the Networking service, as detailed below:

First Trade Date, November 16, 2007:

Ingalls & Syneder LLC No. 0124

Networking Code: JO

Contact: Joseph DiBuono

Phone: (212) 269-9483

- An additional number has been assigned to ING Funds Distributors, LLC (#5734) for the purpose of utilizing the Fund/SERV and Networking services, as detailed below:

First Trade Date, November 16, 2007:

ING Funds Distributors, LLC/ING Offshore No. 5473
 Networking Code: O7
 Contact: Andy Schlueter
 Phone: (480) 477-2698

- An additional number has been assigned to State Street Bank & Trust Co./SPDRS (#2950) for the purpose of utilizing the Fund/SERV and Networking services, as detailed below:

First Trade Date, November 19, 2007:

State Street Bank & Trust Co./ACS/Glaxosmithkline No. 5623
 Networking Code: TV
 Contact: Lisa Chu
 Phone: (781) 302-6213

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<u>Delete Last Trade Date, November 12, 2007:</u>		
Schild Asset Management, Inc.	BSSC 0352	SCLD
Puglisi & Co.	BSSC 0352	PUGS
<u>Delete Last Trade Date, November 14, 2007:</u>		
Dullnig (George E.) & Co	NFSC 0226	DULL
S.B.S. Financial Group, Inc.	NFSC 0226	WIPE
<u>Executing Broker Name Change, Effective First Trade Date, November 19, 2007:</u>		
From: Chicago Securities Corp.	MLCO 0161	TPSC
To: TouchPoint Securities, Inc.	MLCO 0161	TPSC

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u> <u>Identifiers</u>	<u>Executing Broker</u> <u>Identifiers</u>
<u>Add First Trade Date, November 19, 2007:</u>		
Kellogg Specialist Group, LLC	SILK 0501	KSGA
Mogavero, Lee & Co., Inc.	SILK 0501	MOGA

Clearing Broker Change, Effective First Trade Date, November 28, 2007:

From: Equity Station, Inc.	MLCO 0161	ESTA
To: Equity Station, Inc.	PFSI 0234	ESTA

- Kindly update the NSCC Directory dated October 11, 2007 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u> <u>Identifiers</u>	<u>Executing Broker</u> <u>Identifiers</u>
<u>Delete Last Trade Date, November 14, 2007:</u>		
Duerr Financial Corporation	NFSC 0226	DFCI

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.