

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6533  
**P&S#:** 6103  
**DATE:** November 23, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Linda Shackleton  
Account Administration  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:  
**Last Trade Date, November 27, 2007:**  
Waters Parkerson & Co. Inc. No.7025
- The following firm will begin participation in Corporate Bonds service, as detailed below:  
**First Trade Date, November 28, 2007:**  
LBBW Securities, LLC No. 0181
- An additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:  
**First Trade Date, November 26, 2007:**  
Foreside Distribution Services, LP/Union Bank of California N.A. Stable No. 4695  
Value Fund  
Networking Code: TI  
Contact: Brandon Steinke  
Phone: (415) 273-2503

- An additional number has been assigned to Prudential Insurance Company of America (#4968) for the purpose of utilizing the Fund/SERV, Networking, and Mutual Fund Profile Phase I services, as detailed below:

**First Trade Date, November 26, 2007:**

Prudential Insurance Company of America/Prudential Retirement Insurance and Annuity Corporation No. 6525  
 Networking Code: TX  
 Contact: Alvin Kelly  
 Phone: (973) 716-8980

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>	<u>Executing Broker</u>
<u>Identifiers</u>	<u>Identifiers</u>

**Add First Trade Date, November 26, 2007:**

Commerce Street Capital, LLC	PFSI	0234	CSCL
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- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>	<u>Executing Broker</u>
<u>Identifiers</u>	<u>Identifiers</u>

**Add First Trade Date, November 20, 2007:**

NB Global Trading, LLC	FMAF	5008	NBGT
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If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.