

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6534  
**P&S#:** 6104  
**DATE:** November 30, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Linda Shackleton  
Account Administration  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:

**Last Trade Date, November 30, 2007:**

Bonddesk Trading LLC No.0382

- The following firm will begin participation in the Mutual Fund Profile Phase II service, as detailed below:

**First Trade Date, November 27, 2007:**

Forside Fund Services, LLC/American Beacon Funds No. 5742

- The following firms will begin participation in the Fund/SERV service, as detailed below:

**First Trade Date, December 03, 2007:**

IFC Holding, Inc./Invest Financial Corporation No. 0566

National Planning Corporation No. 0668

- The following Data Services Only member will begin participation in the Insurance Processing Service, as detailed below:

**First Trade Date, December 03, 2007:**

Financial Institution Marketing Company, Inc. No. 6498

- The following firm will change their name only as detailed below:

**Effective First Trade Date December 03, 2007:**

From: SEI Investments Distribution Company/Johnson Family of Funds No. 5876  
 To: SEI Investments Distribution Company/Optique Funds No. 5876

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 28, 2007:**

Quasasr Distributors, LLC/Huber Capital Funds No. 6530  
 Networking Code: EE  
 Contact: Casey Sauer  
 Phone: (414) 347-5766

- An additional number has been assigned to Unified Financial Securities, Inc (#4965) for the purpose of utilizing the Fund/SERV, Networking, and Commission Settlement services, as detailed below:

**First Trade Date, November 30, 2007:**

Unified Financial Securities, Inc./Landmark Fund No. 5419  
 Networking Code: T6  
 Contact: Craig Glenn  
 Phone: (317) 917-7000 Ext 7343

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<b><u>Delete Last Trade Date, December 06, 2007:</u></b>		
CyberTrader, Inc.	PFSI 0234	CYBR
<b><u>Add First Trade Date, November 30, 2007:</u></b>		
Investec Securities (US) LLC	PERS 0443	INVC
<b><u>Add First Trade Date, December 03, 2007:</u></b>		
Penson Financial Services, Inc.	PFSI 0234	PFIN
Penson Financial Services, Inc.	PFSI 0234	PFLS

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.