

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6544  
**P&S#:** 6114  
**DATE:** December 7, 2007  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Linda Shackleton  
Account Administration  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

**Last Trade Date, December 07, 2007:**

Schonfeld Securities, LLC	No.0064
IFS Fund Distributors, Inc./Westport Funds	No.5598

- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:

**First Trade Date, December 10, 2007:**

Nelnet Capital, LLC/Savings Group	No. 6583
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- The following firms will change their name only as detailed below:

**Effective First Trade Date December 04, 2007:**

From: Gartomore Distribution Service, Inc.	No. 6559
To: Nationwide Fund Distributors, LLC	No. 6559

**Effective First Trade Date December 04, 2007:**

From: Gartomore Distribution Service, Inc./Nationwide Global Funds	No. 5453
To: Nationwide Fund Distributors, LLC/Nationwide Global Funds	No. 5453

**Effective First Trade Date December 04, 2007:**

From: Gartmore Distribution Service, Inc./Gartmore SICAV No. 5794  
To: Nationwide Fund Distributors, LLC/Gartmore SICCAV No. 5794

- An additional number has been assigned to Western-Southern Life Assurance Company (#4542) for the purpose of utilizing Networking service, as detailed below:

**First Trade Date, December 05, 2007:**

Western Southern Life Assurance Company/Variable Annuity Business No. 5993  
Networking Code: UV  
Contact: Jay Stevens  
Phone: (513) 361-7882

- An additional number has been assigned to UMB Distribution Services, LLC/UMB Scout Funds (#4854) for the purpose of utilizing the Fund/SERV, Networking, Mutual Fund Profile Phase I, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, December 10, 2007:**

Unified Financial Securities, Inc./Landmark Fund No. 5598  
Networking Code: N3  
Contact: Darren Siverling  
Phone: (414) 299-2160

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<b><u>Delete Last Trade Date, December 04, 2007:</u></b>		
Robert Brandt & Co.	NFSC 0226	BRND
<b><u>Add First Trade Date, December 04, 2007:</u></b>		
BCP Securities, LLC	PERS 0443	BRCY
<b><u>Add First Trade Date, December 07, 2007:</u></b>		
Mercantor Associates, LLC	MLCO 0161	MERQ
<b><u>Add First Trade Date, December 10, 2007:</u></b>		
Seaboard Securities, Inc.	LGNT 0052	SEAL

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<b><u>Add First Trade Date, December 12, 2007:</u></b>		
DZ Financial Markets LLC	BNPS 0049	DZFM

**Clearing Broker Change, Effective First Trade Date, December 05, 2007:**

From: World Trade Financial Corporation	PFSI 0234	WORL
To: World Trade Financial Corporation	LGNT 0052	WORL

- Kindly update the NSCC Directory dated October 11, 2007 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>	<u>Executing Broker</u>
	<u>Identifiers</u>	<u>Identifiers</u>
<b><u>Add First Trade Date, December 05, 2007:</u></b>		
World Trade Financial Corporation	LGNT 0052	WORL

**Add First Trade Date, December 10, 2007:**

The Bancorp Bank	NFSC 0226	TBBK
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If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.