

IMPORTANT NOTICE

National Securities Clearing Corporation



The Depository Trust &
Clearing Corporation

A#: 6550
P&S#: 6120
DATE: December 21, 2007
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackleton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, December 20, 2007:

MetLife Insurance Company/Life Insurance Business	No. 4627
MetLife Insurance Company/MetLife Life and Annuity	No. 4631
Company of Connecticut	
Gam Services, Inc.	No. 6581

Last Trade Date, December 21, 2007:

Funds Distributors, Inc./Merrimac Series	No. 5457
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- The following firm will no longer participate in the Trade Comparison, Trade Recording and RECAPS services, as detailed below:

Last Trade Date, December 20, 2007:

E*trade Capital Markets/CHX MSTR	No. 3501
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- The following firms will change their name only as detailed below:

Effective First Trade Date January 02, 2008:

From: Fimat USA LLC No. 0718
 To: Newedge USA, LLC No. 0718

From: Fimat USA LLC/Equity Clearing Division No. 0608
 To: Newedge USA, LLC/Equity Clearing Div No. 0608

From: Calyon Financial Inc. No. 0446
 To: Newedge Financial Inc. No. 0446

From: Fimat Canada, Inc./CDS No. 5003
 To: Newedge Canada/CDS No. 5003

- The following firms will begin participation in the Networking service, as detailed below:

First Trade Date, December 20, 2007:

Western-Southern Life Assurance Company/Columbus Life Insurance Company No. 4614
 Networking Code: UQ
 Contact: Jay Stevens
 Phone: (513) 362-7882

- The following firms will begin participation in the Fund/SERV and Networking services, as detailed below:

First Trade Date, January 02, 2008:

Alpine Securities Corporation Insurance Company No. 8072
 Networking Code: T7
 Contact: Todd Groskreutz
 Phone: (801) 355-5588

- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 19, 2007:

Aquarius Fund Distributors LLC/Sierra Core Retirement Fund No. 5628
 Networking Code: VD
 Contact: Brian Nielsen
 Phone: (402) 493-1731 ext. 2

- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 24, 2007:

Aquarius Fund Distributors LLC/Miller Convertible Funds	No. 5622
Networking Code:	WD
Contact: Brian Nielsen	
Phone: (402) 493-1731 ext. 2	

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>	<u>Executing Broker</u>
<u>Identifiers</u>	<u>Identifiers</u>

Delete Last Trade Date, December 18, 2007:

One Financial Securities, LTD.	PFSI	0234	NOBI
North Star Investment Services, Inc.	FCCP	0141	ABYL
Seibt Financial Services, Inc.	FCCP	0141	HANS
Penn Center Investments. Inc.	FCCP	0141	PCII
Stanboard Capital Markets, LLC	FCCP	0141	STBD
TimeCapital Securities Corporation	FCCP	0141	TIMC
Gainey Financial Services, Inc.	FCCP	0141	WBMK

Add First Trade Date, December 21, 2007:

Trustmont Financial Group, Inc.	MSRO	0727	CFGM
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- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, December 18, 2007:</u>				
BCG Securities	FCCP	0141	BGGS	
Investor Force Securities, Inc.	FCCP	0141	IFSI	
<u>Delete Last Trade Date, December 26, 2007:</u>				
East Shore Partners, Inc.	QRCC	0158	ESPN	
<u>Add First Trade Date, December 21, 2007:</u>				
Scottrade, Inc.	PFSI	0234	SCTO	
<u>Add First Trade Date, December 27, 2007:</u>				
East Shore Partners, Inc.	JEFF	0019	ESPN	
<u>Executing Broker Change, Effective First Trade Date, December 27, 2007:</u>				
From: Barclays Global Investors Services N.A.	BSSC	0352	BGIS	
To: Barclays Global Investors Services N.A.	QRCC	0158	BGIS	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.