

# IMPORTANT NOTICE

**DTCC Deriv/SERV LLC**



**#:678**

**Date:** September 16, 2008

**To:** Distribution

**From:** Deriv/SERV Product Management

**Subject:** DTCC Deriv/SERV Credit Event Processing for Lehman Brothers Holdings Inc.

Please be advised that, as set forth in Section VIII of the Trade Warehouse Appendix to the Deriv/SERV Operating Procedures, Deriv/SERV has been requested to activate the Protocol Adherence Message function for the Reference Entity Name – Lehman Brothers Holdings Inc. Therefore, a Protocol Activation Event has occurred.

Users can begin submitting Adherence Notices or Messages to the system with respect to Warehouse Transactions related to the Reference Entity by using the following Credit Event Name where prompted in the System or in the appropriate field in the spreadsheet: **Lehman Sept08**. Alternatively, users may submit the DTCC Deriv/SERV Automated Adherence Request Form to Deriv/SERV Operations at [DERIVSERV\\_Operations@DTCC.com](mailto:DERIVSERV_Operations@DTCC.com)

In the event that a protocol is not established, Deriv/SERV will not perform Credit Event Processing for the Warehouse Transactions that are the subject of Protocol Adherence Messages.

Any questions or comments regarding this release or DTCC Deriv/SERV in general should be directed to your Client Account representative.

Non-Confidential

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