

IMPORTANT NOTICE

DTCC Deriv/SERV LLC



#:692

Date: November 6, 2008

To: Distribution

From: Deriv/SERV Product Management

Subject: Special Week-end Processing commencing November 14, 2008

To all Deriv/SERV participants: PLEASE REVIEW

Please be advised that Deriv/SERV will be performing special industry processing on the evening of November 14, 2008. It is scheduled to conclude by the close of November 15th, when standard week-end back-up processing commences.

On Friday, November 14th from approximately {**6:00 pm NY/11:00 pm London**} to Saturday, November 15th {**6:00 p.m. NY/11:00 p.m. London**} all MQ submissions will be pended. While the web will be available for input, we recommend that you suspend any web submissions until after Deriv/SERV finalizes its dedicated processing. Where possible, please refrain from report generation requests.

This pending of MQ input affects the Warehouse Credit, Interest Rates, and Equities platforms. Additionally, this applies to the Payment Reconciliation (PayRec) application.

Please forward this notice to your firms' operations and technical team for their reference. We apologize for any inconvenience this may cause.

For any follow-up questions or concerns related to this notice, please contact your particular Deriv/SERV contacts or the general Deriv/SERV Help Lines:

For US: 1-888-382-2721.

For Europe/Asia: +44 (0) 20-7136-6328.

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